

## Guidance Notes on Issue

Guidance Note	Name of Guidance Note	Date of last re-issue
1	Applying for Admission – ASX Listings	December 2019
2	Stapled Securities	September 2001
3	Co-operatives and Mutuals Listing on ASX	August 2020
4	Foreign Entities Listing on ASX	August 2020
5	CHESS Depository Interests	December 2019
6	Trusts	March 2002
7	US Entities – Regulation S Offerings on ASX	October 2021
8	Continuous Disclosure: Listing Rules 3.1 – 3.1B	June 2021
9	Disclosure of Corporate Governance Practices	January 2020
10	Review of Operations and Activities: Listing Rule 4.10.17	March 2003
11	Restricted Securities and Voluntary Escrow	October 2021
12	Significant Changes to Activities	August 2020
13	Spin-outs of Major Assets	December 2019
14	ASX Market Announcements Platform	June 2021
15	ASX Listing Fees	July 2017
15A	Schedule of ASX Listing Fees	January 2023
16	Trading Halts	August 2018
17	Waivers and In-Principle Advice	December 2019
18	Market Codes and Trading Procedures	March 2004
19	Performance Shares	March 2021
20	ASX Online	June 2021

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21	The Restrictions on Issuing Equity Securities in Chapter 7 of the Listing Rules	December 2019
22	Director Disclosure of Interests and Transactions in Securities – Obligations of Listed Entities	March 2002
23	Quarterly Reports	December 2019
24	Acquisitions and Disposals of Substantial Assets Involving Persons in a Position of Influence	December 2019
25	Issues of Equity Securities to Persons in a Position of Influence	December 2019
26	Management Agreements	April 2015
27	Trading Policies	August 2019
28	Reasonable Fees for activities covered by Listing Rule 8.14.1	December 2016
29	Applying for Admission – ASX Debt Listings	December 2019
30	Applying for Quotation of Additional Securities	June 2021
31	Reporting on Mining Activities	December 2013
32	Reporting on Oil & Gas Activities	December 2013
33	Removal of Entities from the ASX Official List	December 2019
34	Naming Conventions for Debt and Hybrid Securities	December 2019
35	Security Holder Resolutions	January 2020

## Qualifications

ASX issues Guidance Notes to promote commercial certainty, reduce costs to business and assist market participants, and is available to discuss any questions in relation to a Guidance Note. Contact the Manager, Companies at the home branch of the entity. For entities seeking admission to the official list, contact the office in the State where the main administrative functions of the entity are located.

ASX may replace Guidance Notes at any time. Entities should contact ASX to ensure they have the latest version of a Guidance Note. As a Guidance Note is only a guide to ASX policy and practice, entities are advised to contact ASX to discuss their particular circumstances and the application of the Listing Rules. ASX cannot give legal advice to entities and recommends that they consider taking advice from a qualified professional person.