![ASX]

Austraclear Service Release 20 Enhancements Overview

Contents

Introduction

Austraclear is Australia's leading settlement system and central securities depository for the wholesale debt market. ASX is committed to continually enhancing the system to better serve market participants. For further information about Austraclear, visit www.asx.com.au/Austraclear
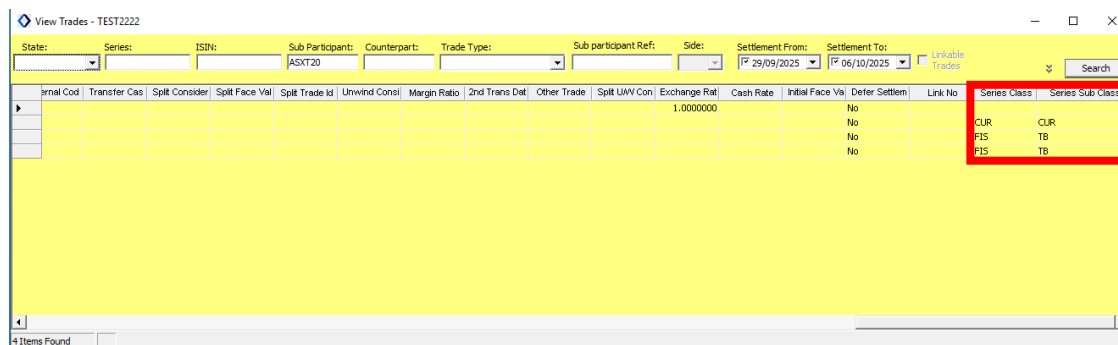
Austraclear Service Release 20 introduces a range of enhancements designed to improve user experience and system functionality. These include updates to the Austraclear GUI and SWIFT messaging.

Austraclear GUI Enhancements

View Trades Screen Improvements

The View Trades screen has been enhanced with the addition of Series Class and Subclass fields. This update aims to facilitate more effective reconciliation of invoice data.
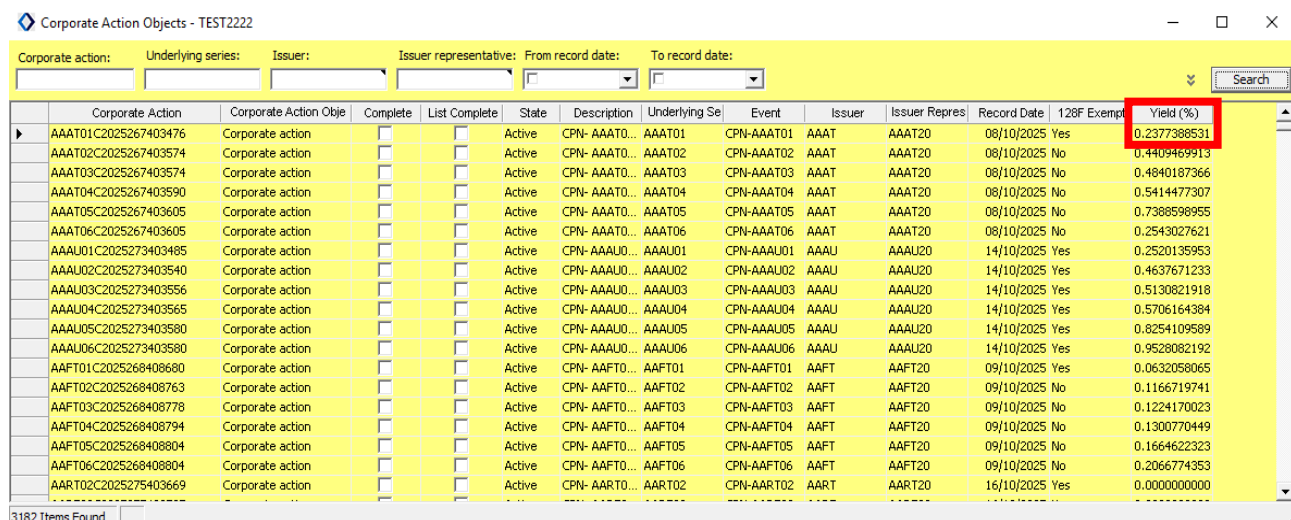
1. Trades – View Trades

Corporate Actions Screen: Yield Display

On the Series - View Corporate Actions screen, the system now displays the Yield (price per hundred) for upcoming corporate actions in a single, consolidated view. Please note that redemption values are not yet available for display; the relevant field will be incorporated in a future release and currently remains blank.

User Login Details for Audit Support

The Austraclear GUI now provides access to comprehensive user login details. This includes information such as Sub-Participant, Usercode, logon times, and disconnection records. These enhancements are intended to support Participants' internal audit processes.

For optimal security, users are recommended to log out of the Austraclear system at the close of each business day. We will look to further enhance the logging where users do not log out overnight in a future service release.

We are planning additional enhancements to user session logging in a future service release, specifically targeting scenarios where users remain logged in overnight. These improvements aim to provide greater transparency and support for audit and monitoring processes.

1. If a user remains logged in and the session is locked during the change of business date, any attempting to unlock the session the following morning will result in automatic logoff by the system.
    – On the user logon information screen, the "Disconnected At" field remains blank, indicating the user is still logged in.
    – The "Last Renewed At" field displays the last login time prior to the previous overnight session.
    – The "Duration" continues to be calculated as though the user is still logged on.
    – If the Austraclear GUI user exits the application instead of attempting to unlock, the "Disconnected At" value will update to reflect the current date and time when the user logs off.
2. Users who are not locked during the business date change, or those logged into the Common Gateway GUI Client, will remain active on the second day.
    – The "Renewed At" field does not update following the previous night.
    – Using "From" and "To" date search filters will not return the users if searching for the current date, although they are active on that date.
    – The "Disconnected At" field will update to the current date and time once the user logs off.

View – Logon Details

Cash Providers: Enhanced Transaction Visibility

Cash Providers now have the capability to view all customer cash transactions on a single screen. This removes the previous necessity of checking each cash record individually.

Sub Participant – Cash Records – View

Sub Participants can now use the 'Right Select' option to view all cash record transactions for their provider, improving oversight and administrative efficiency.

Volume on Issue Displayed in View Deposits & Withdrawal Screen

Add "Total Volume on Issue" to the View Deposits & Withdrawals screen so that users can verify deposited amounts against the total volume on issue.

View User Email

Add an email column to the primary search results grid for users.

Sub Participants – Sub Participants Users Sub Accounts - Explore

Report Availability

The following updates will be implemented:

- **Role Allocation Report** – This report will be generated **daily** to enhance audit compliance support.
- **Linked Settlement Report** – This report will now be produced at both Day End (4:28) and After Business (7:00pm) for improved operational oversight.

SWIFT Enhancements

Several updates have been made to SWIFT messaging to enhance clarity and relevance of information:

- Enhancement: Non-numeric characters in the **/Document/FIToFICstmrCdtTrf/CdtTrfTxInf/RmtInf/Ustrd** element of **pacs.008** are now disregarded; if the value is not numeric, the interest rate will be set to 0 by default.

- An enhancement to update the system logic for processing SWIFT messages 540, 541, 542 & 543 with Tag :22F: by allowing the trade type indicators (TRAD, REPU, RVPO, etc.) to be recognised in any order, rather than requiring: SETR//REPU to appear first. Additionally, the system will now throw an explicit error for invalid trade type indicators.

- The MT564 INTR message now includes the bond factor in field :92A::PRFC/4,9275 for series permitting partial redemptions only.

- Field :92A::INTR//4,9275 has been removed from the following messages, as the interest rate amount is already present in the INTR message:
- Partial Redemption (PRED)
- Final Maturity (REDM)
- Full Call/Early Redemption (MCAL)

Sample SWIFT Message Including Bond Factor

A sample SWIFT message has been provided to illustrate the inclusion of the bond factor for relevant series.

```
{4:
:16R:GENL
:28E:1/ONLY
:20C::CORP//TRIB07I251009
:20C::SEME//10898824
:23G:NEWM
:22F::CAEV//INTR
:22F::CAMV//MAND
:98A::PREP//20250924
:25D::PROC//COMP
:16S:GENL
:16R:USECU
:35B:ISIN AU3FN0075263
:16R:FIA
:94B::PLIS//EXCH/XASX
:92A::INTR//6,85
:16S:FIA
:16R:ACCTINFO
:95R::ACOW/ACLR/TEST20
:97A::SAFE//HOUSE
:93B::ELIG//FAMT/5000000,
:16S:ACCTINFO
:16S:USECU
:16R:CADETL
:98A::RDTE//20251008
:69A::INPE//20250909/20251009
:92A::PRFC//100,
:16S:CADETL
:16R:CAOPTN
:13A::CAON//001
:22F::CAOP//CASH
:11A::OPTN//AUD
:17B::DFLT//Y
:16R:CASHMOVE
:22H::CRDB//CRED
:97A::CASH//444-666-909090909
:19B::ENTL//AUD16890,41
:98A::PAYD//20251009
:92A::INTP//0,5630136986
:16S:CASHMOVE
:16S:CAOPTN
:16R:ADDINFO
:70E::TAXE//128F/Exempt
```

Get in touch

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