



ASX Trade Attestation: Confirming Tested Scope and IWT Participation

Participant Details	
Participant Name	
PID	
Participant Contact Details <i>(Person responsible for conducting test)</i>	
Name:	
Phone:	
Email:	
Software Names <i>(Please list all software names and versions used during this event)</i>	

Please indicate which testing your organisation has participated in (please tick all that apply).

<input type="checkbox"/> IWT 1	<input type="checkbox"/> IWT 2/BCP	<input type="checkbox"/> IWT 3	<input type="checkbox"/> CDE+*	<input type="checkbox"/> None*
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*If you have only tested in CDE+ and you are not planning to participate in IWT please specify the reason.

Please indicate which new functions your organisation has tested during IWT and/or CDE+

<input type="checkbox"/>	Opening Single Price Auction (OSPA)
<input type="checkbox"/>	Post Close
<input type="checkbox"/>	Special Crossing Trade Reports

Please mark each action where applicable:

<input type="checkbox"/>	The Participant as named above has successfully completed functional and operational testing activities for ASX Trade IWT using the above software and versions to the extent required for the Participant's business model.
<input type="checkbox"/>	The Participant as named above was unable to participate in ASX Trade IWT; however, The Participant has successfully completed functional and operational testing activities for CDE+ using the above software and versions to the extent required for the Participant's business model.
<input type="checkbox"/>	Please note any major technical issues experienced for any of the above named software including messages not able to be processed or systems not able to connect to and, if known, why. If none, please mark the below "N/A".
	Please provide any other comments or feedback relevant to the ASX Trade IWT events.

_____ (Participant) certifies that it has participated in the CDE+ and/or ASX Trade IWT, for the designated ASX market and completed testing as indicated above, as appropriate for the nature, scale and complexity of the Participant's business model.

If a participant does not complete IWT, it is understood that they must attest to their preparedness for go-live.

SIGNED for an on behalf of _____ (Participant)

..... Authorised Signature Position
..... Print Name	

Please email the completed and executed Attestation to CTS@asx.com.au by 2pm on Saturday 10th May 2025

*Authorised Signature – refers to an individual with appropriate delegated authority for the participants legal entity