

# ASX SETTLEMENT OPERATING RULES PROCEDURES

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## SECTION 6

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### PROCEDURE 6.8A.2 IDENTITY OF CLIENT IN A SECURITIES LENDING TRANSACTION

A Participant must disclose required information to ASX Settlement in writing or as otherwise directed by ASX Settlement.

Introduced 02/11/09

### ~~PROCEDURE 6.8A.4 REGISTERING AS A SECURITIES LENDING PARTICIPANT~~

~~A Participant must inform ASX Settlement via ASX Online or as otherwise directed by ASX Settlement.~~

~~Introduced 14/12/09~~

### ~~PROCEDURE 6.8A.5 REPORTING OF SECURITIES LENDING ACTIVITY~~

~~A Securities Lending Participant must advise ASX Settlement via ASX Online or as otherwise directed by ASX Settlement:~~

- ~~(a) by 9.00am on each Business Day, of its Securities On Loan Position, for each class of Approved Financial Products, as at close of business (in each place of business of the Participant and its Related Bodies Corporate) two Business Days prior;~~
- ~~(b) by 9.00am on each Business Day, of its Securities Borrowed Position, for each class of Approved Financial Products, as at close of business (in each place of business of the Participant and its Related Bodies Corporate) two Business Days prior; and~~
- ~~(c) by 9.00am on the third Business Day of each Quarter, of its Securities Committed Position for each class of Approved Financial Products, as at close of business (in each place of business of the Participant and its Related Bodies Corporate) on the last Business day of the previous Quarter,~~

~~unless its Securities On Loan Position, Securities Borrowed Position or Securities Committed Position (as applicable) is equal to zero.~~

~~In this Procedure 6.8A.5, "Quarter" means each calendar quarter ending 31 March, 30 June, 30 September and 31 December.~~

~~Introduced 14/12/09~~