

Notice reference number: 1632.15.12

Notice date: 29/12/2015

What's this about?

Effective date: 29/12/2015

<input checked="" type="checkbox"/> ASX Trade	<input type="checkbox"/> ASX 24	<input type="checkbox"/> ASX TECH				
<input type="checkbox"/> Trading	<input checked="" type="checkbox"/> Clearing	<input checked="" type="checkbox"/> Settlement	<input checked="" type="checkbox"/> ALC	<input type="checkbox"/> ASX NET		
<input checked="" type="checkbox"/> Operations	<input type="checkbox"/> Technology	<input checked="" type="checkbox"/> Market Data	<input checked="" type="checkbox"/> Rules	<input checked="" type="checkbox"/> Compliance	<input checked="" type="checkbox"/> Risk	<input type="checkbox"/> Other

Pulse Health Limited - DvP Settlement Retail Entitlement Shortfall ASX Code: PHGY

This Notice is being issued to provide Participants with further information on the DvP Settlement service extended to support the Retail Entitlement Shortfall by Pulse Health Limited.

What do I need to do and by when?

ISIN	AU0000PHGY1
31 December 2015	Deadline for all parties to transmit Dual Entry Settlement Instructions to effect CHES DVP Settlement between the two parties ^[1]
04 January 2016 [†]	Settlement Date
05 January 2016	PHGY holdings to be transformed to PHG by Computershare Investor Services Pty Limited
Settlement Agent:	Pershing Securities Australia (PID 01791)
Contact:	Alex Jessep
Phone:	(02) 8999 4034
Fax:	(02) 8999 4070
Email:	Corporate-Actions@pershing.com

^[1] An existing market convention states that transactions should be matched before 4 pm on S-1. Unmatched transactions after this time may be matched up until 9.30 am on S without prior agreement with the counterparty. Any transactions remaining should only be matched in the last hour with agreement with the counterparty.

[†] The CHES Subregister will close at 7.00pm.

CHES Charges

The CHES tariffs for processing individual transactions will not apply to Participants in the processing of PHGY where the Transaction Basis "I" (IPO) is used in CHES messages.

Participants should be aware that transactions do not receive the benefit of central counterparty backing. Therefore, if there were a default the non-defaulting party would not be able to look to ASX Clear for completion of the transaction.

Need more information?

Issued by

Eldon Hernando, Senior Officer, Post Trade Operations

Contact Details

Post Trade Operations, 1800 623 571, pmf@asx.com.au

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