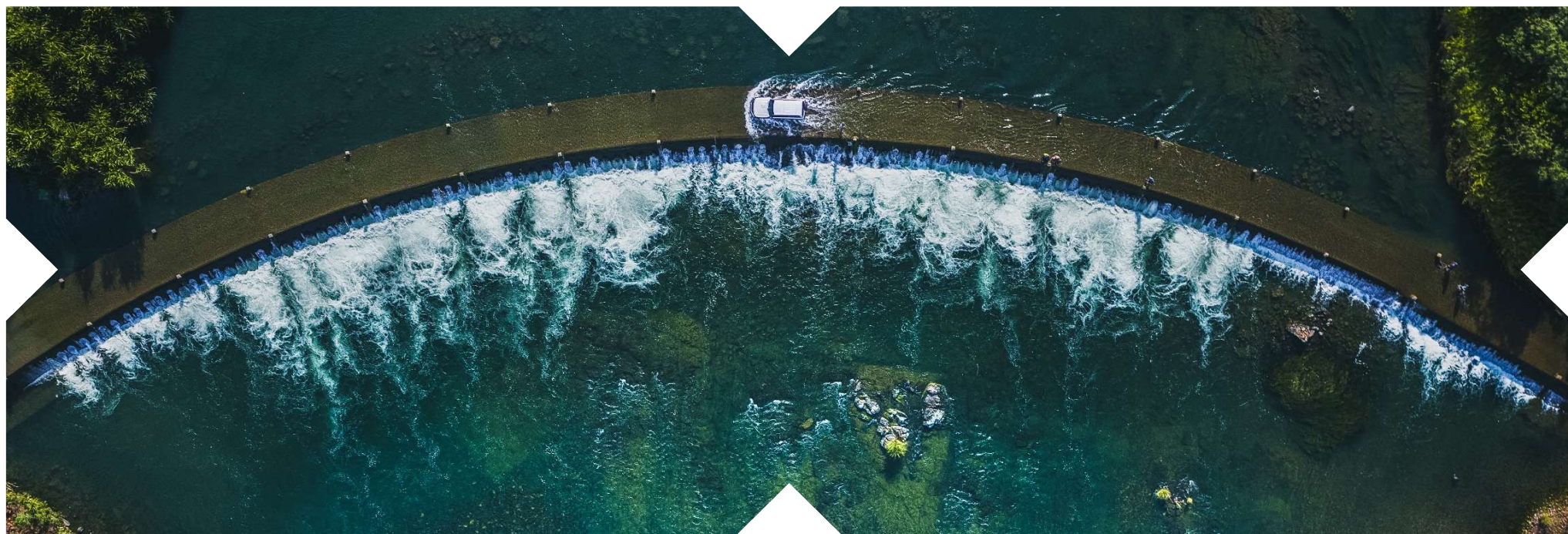


# ASX Austraclear

## Schedule of Fees

Effective Date: 01-Jul-2025



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# ASX Austraclear



All fees are shown in Australian dollars. All fees are effective 01-Jul-2025 unless otherwise indicated and are subject to change from time to time. If you require further clarification please contact your ASX Account Manager or ASX Customer Service (telephone 131 279)

\*GST exclusive prices are quoted in the Schedule of Fees applicable to ASX Austraclear. Usually ASX Austraclear services will be subject to GST and the GST inclusive prices will be charged. In certain situations, an otherwise taxable supply may be exempt from GST (for example, exports). However, ASX anticipates that ASX Austraclear services will not be 'exports' for the purposes of GST and accordingly, GST inclusive prices will be charged for these services.

## Admission & Participation Fees

### Initial Fee (Admission)<sup>1</sup>

Product Code	Service	Charge Basis	Fee (excluding GST)
33000030	Initial Fee - Austraclear Full Participant	Per admission	\$5,400.00
33000031	Initial Fee - Austraclear Associate Participant	Per admission	\$2,150.00
33000033	Initial Fee - Austraclear Special Purpose Participant	Per admission	\$2,150.00
33000034	Initial Fee - Austraclear Public Trust Participant	Per admission	\$2,150.00

### Annual Fee (Participation)<sup>2</sup>

Product Code	Service	Charge Basis	Fee (excluding GST)
33000036	Annual Fee - Austraclear Full Participant	Per Participant - Paid annually in advance and are non-refundable	\$5,500.00
33000037	Annual Fee - Austraclear Associate Participant	Per Participant - Paid annually in advance and are non-refundable	\$845.00
33000039	Annual Fee - Austraclear Special Purpose Participant	Per Participant - Paid annually in advance and are non-refundable	\$845.00
33000040	Annual Fee - Austraclear Public Trust Participant	Per Participant - Paid annually in advance and are non-refundable	\$2,750.00
33000041	Annual Fee - Austraclear Sub-Participant Branch	Per Participant - Paid annually in advance and are non-refundable	\$845.00

<sup>1 2</sup> Existing Participants seeking to amend the participation type will be required to submit a new application form to ASX. Upon admission the Participant will be refunded their annual fee for that calendar year and invoiced for the relevant new annual fee, both on a pro-rata basis. No new admission fee will be billed for these kind of Participant changes.

Product Code	Service	Charge Basis	Fee (excluding GST)
33000055	Non Direct Debit Fee	If you choose not to pay your account by direct debit, a \$200.00 processing fee is charged in addition to your annual membership fee	\$200.00

### Other Participation Fees

Product Code	Service	Charge Basis	Fee (excluding GST)
03100004	Austraclear Users (uncapped)	Monthly charge per User - Each sub participant receives one free user	\$21.50
03100005	Austraclear Sub Accounts (uncapped)	Monthly charge per Sub Account - Each sub participant receives one free sub account	\$21.50

### Austraclear Transaction Fees

#### Cash

Product Code	Service	Charge Basis	Fee (excluding GST)
33000013	AUD Cash Transfer / Cash Trade	per side	\$5.70
33000014	ASX Clear Margin Payment / Receipt	per side	\$5.70
33000015	ASX 24 Margin Payment / Receipt	per side	\$5.70

## Fixed Income Securities (FIS) - Bonds

Product Code	Service	Charge Basis	Fee (excluding GST)
03100011	FIS Repo Trade Split	per side	\$3.60
33000005	FIS Settlement	per side	\$14.00
33000002	FIS Pledge	per pledge, pledger	\$3.60
33000002	FIS Release Pledge	per pledge, pledger	\$3.60
33000008	Maturity	per line, owner	\$18.00
33000002	FIS Substitute Pledge	per pledge, pledger	\$3.60
33000003	FIS Securities Transfer	per line, owner	\$3.55
33000001	FIS Uplift	per line, owner	\$35.00
33000004	FIS Trade Split	per side	\$3.60
33000027	FIS RBA Repo Settlement	per side, borrower only	\$3.60
33000027	FIS RBA Reverse Repo Settlement	per side, borrower only	\$3.60

Product Code	Service	Charge Basis	Fee (excluding GST)
33000028	Market Repo-Trade on Settlement	per side	\$14.00
33000029	Market Repo-Trade Renegotiation	per side	\$3.60
03200091	FIS Bulk Transfer Source	per line, owner	\$3.55
03200092	FIS Bulk Transfer Destination	per line, receiver	\$3.55

#### Fixed Income Securities - Global (Eurobonds held in Clearstream)

Product Code	Service	Charge Basis	Fee (excluding GST)
33000001	FIS Global Uplift	per holding, owner	\$35.00

#### Discount Securities System (DSS)

Product Code	Service	Charge Basis	Fee (excluding GST)
03100014	DSS Repo Settlement	per side	\$14.00
03100015	DSS Repo Trade Split	per side	\$3.60
03100013	DSS Repo Renegotiation	per side	\$3.60
03100012	DSS Trade Split	per side	\$3.60

Product Code	Service	Charge Basis	Fee (excluding GST)
33000012	DSS ISIN Codes	per ISIN	\$2.55
33000011	DSS Settlement	per side	\$14.00
33000009	DSS Pledge	per pledge, pledger	\$3.60
33000009	DSS Release Pledge	per pledge, pledger	\$3.60
33000009	DSS Substitute Pledge	per pledge, pledger	\$3.60
33000010	DSS Securities Transfer	per line, owner	\$3.55
33000008	Maturity	per line, owner	\$18.00
33000007	DSS Uplift	per line, owner	\$57.50
33000028	Market Repo-Trade on Settlement	per side	\$14.00
03200091	DSS Bulk Transfer Source	per line, owner	\$3.55
03200092	DSS Bulk Transfer Destination	per line, receiver	\$3.55
33000029	Market Repo-Trade Renegotiation	per side, borrower only	\$3.60

**Foreign Exchange (FX Match & Check & Return)**

Product Code	Service	Charge Basis	Fee (excluding GST)
33000021	FX Confirmation	per side	\$3.60

**Austraclear Holding Fees****Depository Holdings**

Product Code	Service	Charge Basis	Fee (excluding GST)	
33000017	DSS Electronic Portfolio Holdings	- \$0 - \$10.0bn	\$1.00 per \$ million per month	Charge basis
		- \$10.0bn - \$50.0bn	\$0.30 per \$ million per month	
		- \$50.0bn and over	\$0.19 per \$ million per month	
33000018	Depository Holdings - FIS	- \$0 - \$10.0bn	\$1.00 per \$ million per month	Charge basis
		- \$10.0bn - \$50.0bn	\$0.30 per \$ million per month	
		- \$50.0bn and over	\$0.19 per \$ million per month	
33000006	Depository Holdings - FIS Global (Eurobonds)	All balances	\$25.00 per \$ million per month	Charge basis

## Austraclear Messages, Report Downloads & Audit Certificate Fees

### SWIFT Fees

Product Code	Service	Charge Basis	SWIFT Messages	Fee (excluding GST)
03100001	SWIFT Incoming Messages	per message, sender	M103, MT192, MT202, MT210, MT292, MT300, MT540, MT541, MT542, MT543, MT549, MT598	\$0.40
03100001	SWIFT Incoming Messages	per message, sender	pacs.008, pacs.009, camt.056, camt.057, camt.058	\$0.40
03100001	SWIFT Outgoing Messages	per message, receiver	MT198, MT298, MT300, MT398, MT598, MT544, MT545, MT546, MT547, MT548, MT578, MT900, MT910	\$0.30
03100001	SWIFT Outgoing Messages	per message, receiver	pacs.002, camt.029, camt.054	\$0.30
03100001	SWIFT Outgoing Messages	per message, receiver	MT535, MT564, MT599	\$0.50

### Host to Host Lite Fees

Product Code	Service	Charge Basis	Host to Host Lite Messages	Fee (excluding GST)
3100002	Host to Host Lite File Uploads	per transaction in file, sender	MT512, MT513, MT202, MT599, MT300	\$0.30

### Report Downloads & Audit Certificate Fees

Product Code	Service	Charge Basis	Format	Fee (excluding GST)
03100003	Austraclear Reports	per report download	Standard	\$1.35
03100003	Austraclear Reports	per report download	Premium	\$2.10
33000023	Audit Certificate	per audit certificate	As requested	\$90.00
03100003	Production of Archive Reports	per report	As requested	\$30.00

### Network Services

#### Network Services

Product Code	Service	Charge Basis	Fee (excluding GST)
33000068	Austraclear Internet Connection	Per Participant	Please refer to the ASX Information and Technical Services Schedule of Fees

## Multi-Currency Fees

### Cash

Product Code	Service	Charge Basis	Fee (excluding GST)
33000070	RMB Cash Transfer - Onshore	per side	\$5.70
33000071	RMB Cash Transfer - Offshore	per side	\$5.70
33000200	USD Cash Transfer	per side	\$5.70

### Rebates

#### Rebates

Product Code	Service	Charge Basis	Fee (excluding GST)
33000054	Holdings Rebate	50% rebate will apply on fees exceeding \$45,000 per month or \$540,000 per annum (excluding GST). Rebate is capped at \$400,000 per annum.  <i>A customer can consolidate its Austraclear accounts to meet the \$45,000 per month threshold.</i>	Charge basis
33000069	FIS & Market Repo Trade on Settlement Rebate	- 5,000 - 10,000    10% discount - 10,000 - 20,000    20% discount - 20,000 and over    25% discount  <i>* A customer can consolidate its Austraclear accounts to meet the thresholds.</i>	Charge basis

**Manual Processing****Manual Processing**

<b>Product Code</b>	<b>Service</b>	<b>Charge Basis</b>	<b>Fee (excluding GST)</b>
33000400	Manual Processing Fee	per hour	\$200.00

# Issue Administration Fees



## Background to Issue Administration Fees

Issue Administration Fees are applied when registered securities are deposited by Austraclear Participants in the Austraclear System. A different Issue Administration Fee regime applies for registered coupon paying securities and registered commercial paper securities. For coupon paying securities, fees are charged annually in advance on the issue anniversary dates and based on the deposited series face value outstanding at that date. For commercial paper securities fees are charged upfront at the time of deposit in the System. Fees detailed in this document are exclusive of GST, which will be added to the applicable fee.

Issue Administration Fees are fees for issuance services provided by Austraclear Limited (Austraclear) being: issue set up, issue maintenance, beneficial ownership tracking and corporate action facilitation services. Detail on each service is provided below.

## Issue Set Up

Austraclear manages the security set up process for new registered securities by loading the complete security details into the Austraclear System in the required Austraclear format.

## Issue Maintenance

Austraclear maintains the security in the Austraclear System with material security parameter updates or issue top up related changes over the life of the security.

## Beneficial Ownership Tracking

Austraclear provides continuous reporting of beneficial interests in securities to allow issuers, via their Austraclear participant representatives (Issuer Representatives), to monitor Austraclear Participant holdings of their security. This functionality is critical for the timely and accurate pro-rata Real Time Gross Settlement distribution of coupon and redemption payments via the Austraclear System.

## Corporate Action Facilitation

The Austraclear System calculates the appropriate pro-rata corporate action distribution amounts based on the individual participant beneficial holdings at the relevant record date. Austraclear will then facilitate this pro-rata corporate action distribution in the System once the appropriate funds are available and their release authorised by the Issuer's Representative.

## Issuer Representatives

Only Austraclear Participant's may deposit registered securities in the Austraclear system. In circumstances where the issuer is not an Austraclear Participant, the issuer must nominate an Austraclear Participant to act as its "Issuer Representative" to deposit registered securities on its behalf and to operate a bank account on its behalf for the distribution of coupon and maturity proceeds.

Austraclear Services Limited (ACSL) can act as an Issuer Representative for an issuer subject to entering into an agency services agreement. In that instance ACSL will pass on the cost of the Issue Administration Fees to the Issuer.

## Schedule 1 – Registered Coupon Paying Securities (Medium Term Notes)

Issuers and/or their representatives have the choice of two billing methodologies for Registered Coupon Paying Securities - Issue Administration Fees. These are:

1. Annual in Advance Issue Administration Fee – Table 1A; or
2. Upfront Issue Administration Fee – Table 1B.

### Annual in Advance Issue Administration Fee and/or Upfront Top Up/Tap Fee

Table 1A – Annual issue administration fee paid annually in advance and/or on additional top ups/taps.

Amount issued per series	Fee (excluding GST)
Up to \$100 million	\$1,664
\$100,000,001 to \$500m	\$1,664 + 0.002744438% on excess over \$100m
\$500,000,001 to \$1,500m	\$12,642 + 0.002392104% on excess over \$500m
\$1,500,000,001 to \$15,000m	\$36,563 + 0.002153730% on excess over \$1,500m
Over \$15,000 million	\$327,317 + 0.001066410% on excess over \$15,000m

In the case of multiple deposits made to a series on the same day, one fee is charged based on the total value of deposits for the day. Annual in advance fees calculated annually on the anniversary month of the issue date. Annual in advance fees relate to the following products:

- 34000007 Issue Admin Fee Initial – MTN (GST)
- 34000014 Issue Admin Fee Initial – MTN (Non GST)

Upfront fees are calculated on the value of the top up/tap amount lodged, on a pro rata basis for the number of months between the top up date and the anniversary month of the series issue date, or the maturity date of the series if this occurs prior to the next anniversary month. Upfront top up/tap fees relate to the following products:

- 34000023 Issue Admin Fee Top Up – MTN (GST)
- 34000024 Issue Admin Fee Top Up – MTN (Non GST)

## Upfront Issue Administration Fee

Table 1B – Upfront issue administration fees where the administration fees are fully paid upfront on the initial issue balance for the life of the security.

Amount issued per series	Fee (excluding GST)
Up to \$100 million	\$1,664
\$100,000,001 to \$500m	\$1,664 + 0.002744438% on excess over \$100m
\$500,000,001 to \$1,500m	\$12,642 + 0.002392104% on excess over \$500m
\$1,500,000,001 to \$15,000m	\$36,563 + 0.002153730% on excess over \$1,500m
Over \$15,000 million	\$327,317 + 0.001066410% on excess over \$15,000m

*The upfront issue administration fee is calculated on the basis of Table 1B. The fee is subsequently applied to the number of years of the security and discounted at the RBA cash rate applicable on the date of issue by applying an NPV formula.*

Upfront issue administration fees relate to the following products:

- 34000035 Issue Admin Fee Upfront Initial – MTN (GST)
- 34000036 Issue Admin Fee Upfront Initial – MTN (Non GST)

In the case of a full early redemption or withdrawal of securities from Austraclear upfront fees are not refundable.

## Schedule 2 – Registered Commercial Paper (Short Term Notes)

### Upfront Initial Lodgement and/or Top Up/Tap Fee

Table 2A – upfront fees payable on initial lodgement and top up/tap future lodgements

Maturity or Days from Top up to maturity date	Amount issued per series	Charge Basis	Fee (excluding GST)
Any	Below \$100m	Per issue	\$102.00
Up to 45 Days	\$100m and over	Per issue, per \$100m of issued balance or part thereof	\$250.00
46-75 Days	\$100m and over	Per issue, per \$100m of issued balance or part thereof	\$190.00
76 Days or above	\$100m and over	Per issue, per \$100m of issued balance or part thereof	\$160.00

In the case of multiple deposits made to a series on the same day one fee is charged based on the total value of deposits for the day.

Upfront fees on additional top up/tap amounts are pro rata based for the number of months between the top up date and the anniversary month of the series issue date or the maturity date of the series if this occurs prior to the next anniversary date.

Upfront initial lodgement and top up/tap fees for Registered Commercial Paper relate to the following products:

- 34000031 Issue Admin Fee Upfront Initial – STN (GST)
- 34000032 Issue Admin Fee Upfront Initial – STN (Non GST)
- 34000033 Issue Admin Fee Upfront Top Up – STN (GST)
- 34000034 Issue Admin Fee Upfront Top Up – STN (Non GST)

# Appendix



## Austraclear Reports

### Standard

Report Name	Default Format	Fee (excluding GST)
Beneficial Holders by Issuer	CSV or PDF	\$1.35
Cash Open Trade	PDF	\$1.35
Cash Provider Transaction Detail	CSV	\$1.35
Cash Record Audit/Limit	PDF	\$1.35
DSS Open Trade	PDF	\$1.35
FIS Open Trade	PDF	\$1.35
FX Open Trade	PDF	\$1.35
Issuer Representatives Turnover	PDF	\$1.35
Market Repo Coupon Report	PDF	\$1.35
Market Repo Open Trade	PDF	\$1.35
Outstanding Deposits and Withdrawals	PDF	\$1.35
RBA Repo Open Trade	PDF	\$1.35
Role Allocation	CSV or PDF	\$1.35
Turnover by Issuer	CSV or PDF	\$1.35

### Premium

Report Name	Default Format	Fee (excluding GST)
Cash List Reports ( i.e.; Interim & Final)	CSV or PDF	\$2.10
Cash Report	CSV or PDF	\$2.10
Corporate Action Creation Date	CSV or PDF	\$2.10
Corporate Action End of Day	CSV or PDF	\$2.10
Corporate Action Intraday	CSV or PDF	\$2.10
Corporate Action Record Date	CSV or PDF	\$2.10
Granted Proxy Access	CSV or PDF	\$2.10
Holding	CSV or PDF	\$2.10
Income Receivable	CSV or PDF	\$2.10
Issuer Rep 1st Run FIS Payments	CSV or PDF	\$2.10
Issuer Rep 2nd Run FIS Payments	CSV or PDF	\$2.10
Issuer Rep DSS Maturities Payments	CSV or PDF	\$2.10
Issuer Rep Holding Report	CSV or PDF	\$2.10
Issuer Rep Record Date Report	CSV or PDF	\$2.10
Linked Settlement Report	CSV or PDF	\$2.10
Maturing Securities	PDF	\$2.10
Pledged Securities	CSV or PDF	\$2.10
Proxy User Role	CSV or PDF	\$2.10
Received Proxy Access	CSV or PDF	\$2.10
Record Date Report	CSV or PDF	\$2.10
Security Details	CSV or PDF	\$2.10
Settlement Instruction	CSV or PDF	\$2.10
Weekly Turnover by Issuer	CSV or PDF	\$2.10
Weekly Turnover by Security Type	CSV or PDF	\$2.10