



ASX

ASX Collateral Schedule of Fees



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All fees are shown in Australian dollars. All fees are effective 5-Aug-2021 unless otherwise indicated and are subject to change from time to time.

If you require further clarification please contact your ASX Account Manager or ASX Customer Service (telephone: 131 279)

*GST exclusive prices are quoted in the Schedule of Fees applicable to ASX Collateral. Usually ASX Collateral services will be subject to GST and the GST inclusive prices will be charged.

In certain situations, an otherwise taxable supply may be exempt from GST (for example, exports). However, ASX anticipates that ASX Collateral services will not be 'exports' for the purposes of GST and accordingly, GST inclusive prices will be charged for these services.



ASX Collateral

Product	Service	Charge Basis	Charge Frequency	Effective Date	Charge (GST Exclusive*)
1	Portfolio Fee				
34000800	Triparty Repo Service (TRS) Portfolio Fee	1. Balance below A\$3.0bn i. A\$0bn to A\$1.0bn 3.5bps ii. A\$1.0bn to A\$3.0bn 3.0bps 2. Balance above A\$3.0bn Entire Balance 1.4bps [Where balance falls below A\$3.0bn to a minimum of A\$1.6bn, a flat fee of A\$420,000 will apply (\$3.0bn*1.4bps) Where balance falls below A\$1.6bn fees revert to 1. above] 3. Balance above A\$5.0bn 1.0bps [Where balance falls below A\$5.0bn to a minimum of A\$3.6bn, a flat fee of A\$500,000 will apply (\$5.0bn*1bp) Where balance falls below A\$3.6bn fees revert to 1. and 2. above]	Monthly	1-May-15	Variable
34000843	Triparty Security Lending service (TSL) Portfolio Fee	1. A\$0bn to A\$1.0bn 3.5bps 2. A\$1.0bn to A\$3.0bn 3.0bps 3. A\$3.0bn and above 2.5bps	Monthly	27-Nov-17	Variable
34000844	Triparty Repo Service (TRS) – Inter-entity portfolio fee Note: Inter entity fee schedule applies to Collateral Provider collateral balances transacted between legal entities within the same parent group including re-use Inter entity fee schedule does not apply where collateral is provided to any external collateral receiver including re-use. The Triparty Repo Service (TRS) Portfolio Fee (34000800) and respective balance will be applied.	1. Balance below A\$3.0bn i. First A\$0bn to A\$1bn 2.8bps ii. Next A\$1bn to A\$3bn 2.1bps 2. Total balance above A\$3bn – entire balance at 0.84bps 3. Total balance above A\$5bn – entire balance at 0.5bps 4. Total balance above A\$10bn – entire balance at 0.45bps 5. Total balance above A\$15bn – entire balance at 0.4bps	Monthly	5-Aug-21	Variable
34000846	Triparty Collateral Management Service (TCMS) Portfolio Fee	1. A\$0bn to A\$1.0bn 3.5bps 2. A\$1.0bn to A\$3.0bn 3.0bps 3. A\$3.0bn and above 2.5bps	Monthly	1-May-15	Variable
2	Connectivity Fees				
34000802	ASX Collateral Connectivity Fee - Per Organisational Unit (Standard)	Per Organisational Unit	Once Off	15-Jul-13	A\$4,000
34000803	ASX Collateral Connectivity Fee - Per ASXCOL+ Participant (Standard)	- Per ASXCOL+ Participant	Once Off	15-Jul-13	A\$400
3	Set Up Fees				
34000806	Service Contract (CMSA) Set Up Fee (Standard)	Per Service Contract	Once Off	15-Jul-13	A\$4,000
34000807	Annual Fee for each Counterparty per basket profile added to Acceptance List (Standard)	Per Counterparty per basket profile added to Acceptance List	Annually	15-Jul-13	A\$200



Product	Service	Charge Basis	Charge Frequency	Effective Date	Charge (GST Exclusive*)	
4	Per (Principal) Contract Set Up Fee (For each Collateral Giver / Collateral Receiver relationship)					
4.1	Setting up a new, or linking an existing Collateral Source Account (Austraclear Sub-Account)					
34000810	New (Standard)	Per Contract Set Up	Once Off	15-Jul-13	A\$500 + Austraclear Fees	
34000811	Existing (Standard)	Per Contract Set Up	Once Off	15-Jul-13	A\$200	
4.2	Setting up a new or linking an existing Basket (eligibility profile of Collateral Receiver), applicable to Auto Assign and Manual Assign					
34000812	New (Standard)	Per Contract Set Up	Once Off	15-Jul-13	A\$750	
34000813	Existing (Standard)	Per Contract Set Up	Once Off	15-Jul-13	A\$200	
4.3	Setting up Collateral Account (Austraclear Sub-Account)					
34000814	Lodge (Title Transfer) (Standard)	Per Contract Set Up	Once Off	15-Jul-13	A\$300	
34000814	Pledge (Security Transfer) (Standard)	Per Contract Set Up	Once Off	15-Jul-13	A\$300	
5	Reporting Fees (via ASX Online)					
34000822	Daily Summary & Detail Exposure Report (MT569) (Standard)	Per Participant short code per contract	message: MT569	Annually	15-Jul-13	A\$750 per annum
34000823	Daily Forecast Report (Standard)	Per Participant short code per contract		Annually	15-Jul-13	A\$750 per annum
34000824	Daily Collateral Shortage Report (Standard)	Per Participant short code per contract		Annually	15-Jul-13	A\$500 per annum
34000825	ASX Online Access / Connectivity Charge (Standard)	Per Participant short code per contract		Annually	15-Jul-13	Per ASX Online
6	Austraclear Fees (as per ASX Austraclear Fee Schedule)					
34000830	Transaction / Settlement (Standard)	Incorporated in Portfolio Fee		Monthly	15-Jul-13	Incorporated in Portfolio Fee
34000831	Reporting (Standard)	As per Austraclear Fee Schedule		Monthly	15-Jul-13	As per Austraclear Fee Schedule
34000832	New Sub-Accounts (Standard)	As per Austraclear Fee Schedule		Monthly	15-Jul-13	As per Austraclear Fee Schedule
7	Manual Collateral Managemnt Fees (Assisted Transaction, i.e. no ASXCOL+ access)					
34000836	Open New / Close Exposure (Standard)	Per Instruction		Monthly	15-Jul-13	A\$60
34000837	Change to Exposure (Standard)	Per Instruction		Monthly	15-Jul-13	A\$60
8	RITS Fees					
34000840	RITS Fees (Standard)	Per RITS Cash Transaction Fee		N/A (charged by RITS)	15-Jul-13	Per RITS