



ASX Equity Derivatives

Schedule of Fees

1 June 2022

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This fee schedule is a successor document to the 'Clearing, Settlement and Issuer Administration Schedule of Fees' dated 29 November 2021.

All fees are shown in Australian dollars. All fees are effective from 1 June 2022 unless otherwise indicated and are subject to change from time to time. If you require further clarification please contact your ASX Account Manager or ASX Customer Service (telephone 131 279)

GST exclusive prices are quoted in the Schedule of Fees applicable to Equity Derivatives. Usually Equity Derivative services will be subject to GST and the GST inclusive prices will be charged. In certain situations, an otherwise taxable supply may be exempt from GST (for example, exports). However, ASX anticipates that Equity Derivative services will not be 'exports' for the purposes of GST and accordingly, GST inclusive prices will be charged for these services.

**Equity Derivatives Services**

ASX Limited operates the ASX market and facilitates the execution of trades in financial products including equity-related options.

Clearing and Settlement Services

ASX Clear Pty Limited (ASX Clear) and ASX Settlement Pty Limited (ASX Settlement) are wholly owned subsidiaries of ASX Limited (ASX) and are responsible for the provision of clearing and settlement services to ASX Participants and Approved Market Operators. Fees are invoiced by ASX Operations Pty Limited (ASX Operations) on behalf of ASX Clear and ASX Settlement. Invoices are issued monthly in arrears.

The Clearing House Electronic Sub-register System (CHES) is used by ASX Settlement to settle cash market products such as equities, interest rate products, warrants and exchange traded funds. Exchange Traded Options offer an efficient, secure and stable market for the risk transfer of equity market exposure covering single-stocks and share market indices. Fees in this schedule cover trading (where applicable), clearing and settlement fees bundled together in registration and exercise fees in the event of exercise or assignment.

Derivatives Registration Fees

Free for option premiums of 1 cent or less, otherwise refer below

Derivatives – Equity Options Registration Fees (monthly)

Product Code	Service	Charge Basis	Fee (excluding GST)	Effective Date
20000141	Standard Registration Fee – Segregated Tier 1	Per Contract Per Side	\$0.06	31 May 21
22000341	Standard Registration Fee – Unsegregated Tier 1	Per Contract Per Side	\$0.06	31 May 21
20000142	Standard Registration Fee – Segregated Tier 2	Per Contract Per Side	\$0.10	31 May 21
22000342	Standard Registration Fee – Unsegregated Tier 2	Per Contract Per Side	\$0.10	31 May 21
20000004	Standard Registration Fee – Segregated Tier 3	Per Contract Per Side	\$0.13	31 May 21
22000004	Standard Registration Fee – Unsegregated Tier 3	Per Contract Per Side	\$0.13	31 May 21
20000144	Standard Registration Fee – Segregated Tier 4	Per Contract Per Side	\$0.21	31 May 21
22000344	Standard Registration Fee – Unsegregated Tier 4	Per Contract Per Side	\$0.21	31 May 21
20000145	Standard Registration Fee – Segregated Tier 5	Per Contract Per Side	\$0.31	31 May 21
22000345	Standard Registration Fee – Unsegregated Tier 5	Per Contract Per Side	\$0.31	31 May 21
20000005	Market Marker Registration Fee - Segregated	\$0.005 to Standard Registration Fee Per Contract Per Side depending on the number of underlyings covered and quoting performance	variable	31 May 21
22000005	Market Marker Registration Fee – Unsegregated	\$0.005 to Standard Registration Fee Per Contract Per Side depending on the number of underlyings covered and quoting performance	variable	31 May 21
20000002	Exercise / Assignment Fees – Segregated	Per Side for Each Exercised Option Contract	\$0.05	1 Apr 11
22000002	Exercise / Assignment Fees – Unsegregated	Per Side for Each Exercised Option Contract	\$0.05	1 Apr 11

Product Code	Service	Charge Basis	Fee (excluding GST)	Effective Date
20000009	Exercise / Assignment Fees – Market Maker - Segregated	Per Side for Each Exercised Option Contract	\$0.03	1 Apr 11
20000010	Exercise / Assignment Fees – Market Maker - Unsegregated	Per Side for Each Exercised Option Contract	\$0.03	1 Apr 11
06200600	Large Transaction fee	50% rebate for eligible trades when registration exceeds \$1,300 in a Single Series	variable	5 Jul 17

Conditions apply. Refer to notice 0731.17.07

Derivatives – Equity Options Registration Fees (weekly)

Product Code	Service	Charge Basis	Fee (excluding GST)	Effective Date
22000241	Standard Registration Fee – Segregated Tier 1	Per Contract Per Side	\$0.06	31 May 21
22000251	Standard Registration Fee – Unsegregated Tier 1	Per Contract Per Side	\$0.06	31 May 21
22000242	Standard Registration Fee – Segregated Tier 2	Per Contract Per Side	\$0.10	31 May 21
22000252	Standard Registration Fee – Unsegregated Tier 2	Per Contract Per Side	\$0.10	31 May 21
22000204	Standard Registration Fee – Segregated Tier 3	Per Contract Per Side	\$0.13	31 May 21
22000205	Standard Registration Fee – Unsegregated Tier 3	Per Contract Per Side	\$0.13	31 May 21
22000244	Standard Registration Fee – Segregated Tier 4	Per Contract Per Side	\$0.21	31 May 21
22000254	Standard Registration Fee – Unsegregated Tier 4	Per Contract Per Side	\$0.21	31 May 21
22000245	Standard Registration Fee – Segregated Tier 5	Per Contract Per Side	\$0.31	31 May 21
22000255	Standard Registration Fee – Unsegregated Tier 5	Per Contract Per Side	\$0.31	31 May 21

Product Code	Service	Charge Basis	Fee (excluding GST)	Effective Date
22000206	Market Marker Registration Fee - Segregated	\$0.005 to Standard Registration Fee Per Contract Per Side depending on the number of underlyings covered and quoting performance	variable	31 May 21
22000207	Market Marker Registration Fee – Unsegregated	\$0.005 to Standard Registration Fee Per Contract Per Side depending on the number of underlyings covered and quoting performance	variable	31 May 21
22000200	Exercise / Assignment Fees – Segregated	Per Side for Each Exercised Option Contract	\$0.05	18 Oct 16
22000201	Exercise / Assignment Fees – Unsegregated	Per Side for Each Exercised Option Contract	\$0.05	18 Oct 16
22000202	Exercise / Assignment Fees – Market Maker - Segregated	Per Side for Each Exercised Option Contract	\$0.03	18 Oct 16
22000203	Exercise / Assignment Fees – Market Maker - Unsegregated	Per Side for Each Exercised Option Contract	\$0.03	18 Oct 16
06200600	Large Transaction fee	50% rebate for eligible trades when registration exceeds \$1,300 in a Single Series	variable	5 Jul 17
Conditions apply. Refer to notice 0731.17.07				

Derivatives – Index Options Registration Fees (monthly)

Product Code	Service	Charge Basis	Fee (excluding GST)	Effective Date
22000027	Standard Registration Fee – Segregated	Per Contract Per Side	\$0.45	2 Jul 12
20000026	Standard Registration Fee – Unsegregated	Per Contract Per Side	\$0.45	2 Jul 12
20000028	Market Maker Registration Fee – Segregated	\$0.10 Per Contract Per Side if quoting performance met. Standard Registration Fee if performance not met	Variable	1 Apr 11
22000029	Market Maker Registration Fee – Unsegregated	\$0.10 Per Contract Per Side if quoting performance met. Standard Registration Fee if performance not met	Variable	1 Apr 11

Product Code	Service	Charge Basis	Fee (excluding GST)	Effective Date
22000025	Exercise / Assignment Fee – Segregated	Per Side for Each Cash Settled Contract	\$0.35	1 Apr 11
20000024	Exercise / Assignment Fee – Unsegregated	Per Side for Each Cash Settled Contract	\$0.35	1 Apr 11

Derivatives – Index Options Registration Fees (weekly)

Product Code	Service	Charge Basis	Fee (excluding GST)	Effective Date
20000094	Standard Registration Fee – Segregated	Per Contract Per Side	\$0.45	18 Oct 16
22000095	Standard Registration Fee – Unsegregated	Per Contract Per Side	\$0.45	18 Oct 16
20000096	Market Maker Registration Fee – Segregated	\$0.07 Per Contract Per Side if quoting performance met. Standard Registration Fee if performance not met	Variable	18 Oct 16
22000097	Market Maker Registration Fee – Unsegregated	\$0.07 Per Contract Per Side if quoting performance met. Standard Registration Fee if performance not met	Variable	18 Oct 16
20000092	Exercise / Assignment Fee – Segregated	Per Side for Each Cash Settled Contract	\$0.35	18 Oct 16
22000093	Exercise / Assignment Fee – Unsegregated	Per Side for Each Cash Settled Contract	\$0.35	18 Oct 16

Derivatives – Index LEPOs Registration Fees (monthly)

Product Code	Service	Charge Basis	Fee (excluding GST)	Effective Date
20000046	Standard Registration Fee – Segregated	Per Contract Per Side	\$0.45	2 Jul 12
22000047	Standard Registration Fee – Unsegregated	Per Contract Per Side	\$0.45	2 Jul 12
20000048	Market Maker Registration Fee – Segregated	\$0.10 Per Contract Per Side if quoting performance met. Standard Registration Fee if performance not met	Variable	2 Jul 12

Product Code	Service	Charge Basis	Fee (excluding GST)	Effective Date
22000049	Market Maker Registration Fee – Unsegregated	\$0.10 Per Contract Per Side if quoting performance met. Standard Registration Fee if performance not met	Variable	2 Jul 12
20000044	Exercise / Assignment Fee – Segregated	Per Side for Each Exercised LEPO Contract	\$0.35	1 Apr 11
22000045	Exercise / Assignment Fee – Unsegregated	Per Side for Each Exercised LEPO Contract	\$0.35	1 Apr 11

Derivatives – Index LEPOs Registration Fees (weekly)

Product Code	Service	Charge Basis	Fee (excluding GST)	Effective Date
20000100	Standard Registration Fee – Segregated	Per Contract Per Side	\$0.45	18 Oct 16
22000101	Standard Registration Fee – Unsegregated	Per Contract Per Side	\$0.45	18 Oct 16
20000102	Market Maker Registration Fee – Segregated	\$0.07 Per Contract Per Side if quoting performance met. Standard Registration Fee if performance not met	Variable	18 Oct 16
22000103	Market Maker Registration Fee – Unsegregated	\$0.07 Per Contract Per Side if quoting performance met. Standard Registration Fee if performance not met	Variable	18 Oct 16
20000098	Exercise / Assignment Fee – Segregated	Per Side for Each Exercised LEPO Contract	\$0.35	18 Oct 16
22000099	Exercise / Assignment Fee – Unsegregated	Per Side for Each Exercised LEPO Contract	\$0.35	18 Oct 16

Derivatives – Stock LEPOs Registration Fees (monthly)

Product Code	Service	Charge Basis	Fee (excluding GST)	Effective Date
20000361	Standard Registration Fee – Segregated Tier 1	Per Contract Per Side	\$0.06	31 May 21
22000371	Standard Registration Fee – Unsegregated Tier 1	Per Contract Per Side	\$0.06	31 May 21

Product Code	Service	Charge Basis	Fee (excluding GST)	Effective Date
20000362	Standard Registration Fee – Segregated Tier 2	Per Contract Per Side	\$0.10	31 May 21
22000372	Standard Registration Fee – Unsegregated Tier 2	Per Contract Per Side	\$0.10	31 May 21
20000036	Standard Registration Fee – Segregated Tier 3	Per Contract Per Side	\$0.13	31 May 21
22000037	Standard Registration Fee – Unsegregated Tier 3	Per Contract Per Side	\$0.13	31 May 21
20000364	Standard Registration Fee – Segregated Tier 4	Per Contract Per Side	\$0.21	31 May 21
22000374	Standard Registration Fee – Unsegregated Tier 4	Per Contract Per Side	\$0.21	31 May 21
20000365	Standard Registration Fee – Segregated Tier 5	Per Contract Per Side	\$0.31	31 May 21
22000375	Standard Registration Fee – Unsegregated Tier 5	Per Contract Per Side	\$0.31	31 May 21
20000038	Market Maker Registration Fee – Segregated	\$0.005 to Standard Registration Fee Per Contract Per Side depending on the number of underlyings covered and quoting performance	Variable	31 May 21
22000039	Market Maker Registration Fee – Unsegregated	\$0.005 to Standard Registration Fee Per Contract Per Side depending on the number of underlyings covered and quoting performance	Variable	31 May 21
20000032	Exercise Fee – Segregated	Per Side for Each Exercised LEPO Contract	\$0.05	1 Apr 11
22000033	Exercise Fee - Unsegregated	Per Side for Each Exercised LEPO Contract	\$0.05	1 Apr 11

Derivatives – Stock LEPOs Registration Fees (weekly)

Product Code	Service	Charge Basis	Fee (excluding GST)	Effective Date
22000221	Standard Registration Fee – Segregated Tier 1	Per Contract Per Side	\$0.06	31 May 21
22000231	Standard Registration Fee – Unsegregated Tier 1	Per Contract Per Side	\$0.06	31 May 21

Product Code	Service	Charge Basis	Fee (excluding GST)	Effective Date
22000222	Standard Registration Fee – Segregated Tier 2	Per Contract Per Side	\$0.10	31 May 21
22000232	Standard Registration Fee – Unsegregated Tier 2	Per Contract Per Side	\$0.10	31 May 21
22000212	Standard Registration Fee – Segregated Tier 3	Per Contract Per Side	\$0.13	31 May 21
22000213	Standard Registration Fee – Unsegregated Tier 3	Per Contract Per Side	\$0.13	31 May 21
22000224	Standard Registration Fee – Segregated Tier 4	Per Contract Per Side	\$0.21	31 May 21
22000234	Standard Registration Fee – Unsegregated Tier 4	Per Contract Per Side	\$0.21	31 May 21
22000225	Standard Registration Fee – Segregated Tier 5	Per Contract Per Side	\$0.31	31 May 21
22000235	Standard Registration Fee – Unsegregated Tier 5	Per Contract Per Side	\$0.31	31 May 21
22000214	Market Maker Registration Fee – Segregated	\$0.005 to Standard Registration Fee Per Contract Per Side depending on the number of underlyings covered and quoting performance	Variable	31 May 21
22000215	Market Maker Registration Fee – Unsegregated	\$0.005 to Standard Registration Fee Per Contract Per Side depending on the number of underlyings covered and quoting performance	Variable	31 May 21
22000208	Exercise Fee – Segregated	Per Side for Each Exercised LEPO Contract	\$0.05	18 Oct 16
22000209	Exercise Fee - Unsegregated	Per Side for Each Exercised LEPO Contract	\$0.05	18 Oct 16
22000210	Exercise / Assignment Fees – Market Maker - Segregated	Per Side for Each Exercised Option Contract	\$0.03	18 Oct 16
22000211	Exercise / Assignment Fees – Market Maker - Unsegregated	Per Side for Each Exercised Option Contract	\$0.03	18 Oct 16

Derivatives – OTC Equity Options

Product Code	Service	Charge Basis	Fee (excluding GST)	Effective Date
22000701	OTC Stock Option – Registration Fee – Segregated Tier 1	Per Contract Per Side	\$0.05	31 May 21
22000711	OTC Stock Option – Registration Fee – Unsegregated Tier 1	Per Contract Per Side	\$0.05	31 May 21
22000702	OTC Stock Option – Registration Fee – Segregated Tier 2	Per Contract Per Side	\$0.08	31 May 21
22000712	OTC Stock Option – Registration Fee – Unsegregated Tier 2	Per Contract Per Side	\$0.08	31 May 21
22000070	OTC Stock Option – Registration Fee – Segregated Tier 3	Per Contract Per Side	\$0.10	31 May 21
22000071	OTC Stock Option – Registration Fee – Unsegregated Tier 3	Per Contract Per Side	\$0.10	31 May 21
22000704	OTC Stock Option – Registration Fee – Segregated Tier 4	Per Contract Per Side	\$0.17	31 May 21
22000714	OTC Stock Option – Registration Fee – Unsegregated Tier 4	Per Contract Per Side	\$0.17	31 May 21
22000705	OTC Stock Option – Registration Fee – Segregated Tier 5	Per Contract Per Side	\$0.25	31 May 21
22000715	OTC Stock Option – Registration Fee – Unsegregated Tier 5	Per Contract Per Side	\$0.25	31 May 21
22000779	OTC Stock Option – Exercise / Assignment - Segregated	Per Side for Each Exercised Option Contract	\$0.05	28 May 12
22000780	OTC Stock Option – Exercise / Assignment - Unsegregated	Per Side for Each Exercised Option Contract	\$0.05	28 May 12
06200600	Large Transaction fee	50% rebate for eligible trades when registration exceeds \$1,300 in a Single Series	variable	5 Jul 17

Conditions apply. Refer to notice 0731.17.07

Derivatives – OTC Index Options

Product Code	Service	Charge Basis	Fee (excluding GST)	Effective Date
22000081	OTC Index Option – Registration Fee – Unsegregated	Per Contract Per Side	\$0.40	2 Jul 12
22000080	OTC Index Option – Registration Fee – Segregated	Per Contract Per Side	\$0.40	2 Jul 12
22000082	OTC Index Option – Registration Fee – Segregated	Per Side for Each Exercised Option Contract	\$0.30	28 May 12
22000083	OTC Index Option – Registration Fee – Unsegregated	Per Side for Each Exercised Option Contract	\$0.30	28 May 12

Derivatives – OTC Equity LEPOs

Product Code	Service	Charge Basis	Fee (excluding GST)	Effective Date
22000761	OTC Stock LEPOs – Registration Fee – Segregated Tier 1	Per Contract Per Side	\$0.05	31 May 21
22000771	OTC Stock LEPOs – Registration Fee – Unsegregated Tier 1	Per Contract Per Side	\$0.05	31 May 21
22000762	OTC Stock LEPOs – Registration Fee – Segregated Tier 2	Per Contract Per Side	\$0.08	31 May 21
22000772	OTC Stock LEPOs – Registration Fee – Unsegregated Tier 2	Per Contract Per Side	\$0.08	31 May 21
22000076	OTC Stock LEPOs – Registration Fee – Segregated Tier 3	Per Contract Per Side	\$0.10	31 May 21
22000077	OTC Stock LEPOs – Registration Fee – Unsegregated Tier 3	Per Contract Per Side	\$0.10	31 May 21
22000764	OTC Stock LEPOs – Registration Fee – Segregated Tier 4	Per Contract Per Side	\$0.17	31 May 21
22000774	OTC Stock LEPOs – Registration Fee – Unsegregated Tier 4	Per Contract Per Side	\$0.17	31 May 21
22000765	OTC Stock LEPOs – Registration Fee – Segregated Tier 5	Per Contract Per Side	\$0.25	31 May 21
22000775	OTC Stock LEPOs – Registration Fee – Unsegregated Tier 5	Per Contract Per Side	\$0.25	31 May 21
22000781	OTC Stock LEPOs – Exercise / Assignment - Segregated	Per Side for Each Exercised LEPO Contract	\$0.05	28 May 12

Product Code	Service	Charge Basis	Fee (excluding GST)	Effective Date
22000782	OTC Stock LEPOs – Exercise / Assignment - Unsegregated	Per Side for Each Exercised LEPO Contract	\$0.05	28 May 12

Derivatives – OTC Index LEPOs

Product Code	Service	Charge Basis	Fee (excluding GST)	Effective Date
22000084	OTC Index LEPOs – Registration Fee – Segregated	Per Contract Per Side	\$0.40	2 Jul 12
22000085	OTC Index LEPOs – Registration Fee – Unsegregated	Per Contract Per Side	\$0.40	2 Jul 12
22000090	OTC Index LEPOs – Exercise / Assignment – Segregated	Per Side for Each Exercised LEPO Contract	\$0.30	21 May 12
22000091	OTC Index LEPOs – Exercise / Assignment – Unsegregated	Per Side for Each Exercised LEPO Contract	\$0.30	28 May 12



Derivatives Settlement Obligations

Product Code	Service	Charge Basis	Fee (excluding GST)	Effective Date
22000100	Payments via Austraclear – Unsegregated ¹	Per Derivatives Settlement Obligation (applicable to both segregated and unsegregated accounts)	\$5.00	
22000105	Payments via Austraclear – Segregated	Per Derivatives Settlement Obligation (applicable to both segregated and unsegregated accounts)	\$5.00	
22000911	Trade Activity Transfer – Segregated	Per Request	\$2.50	
22000910	Trade Activity Transfer – Unsegregated	Per Request	\$2.50	

¹ Fees for the Derivatives Payment service via Austraclear are designed to recover the cost ASX Clear incurs by supporting payments to and from Clearing Participants through EXIGO. This fee may be adjusted from time to time in accordance with changes to Austraclear Fees. These fees are shown as separate line item within a Clearing Participant's settlement obligation and are included in the daily settlement total.