



ASX
AUSTRALIAN SECURITIES EXCHANGE

ASX EXIGO SWIFT Messaging

SWIFT Message Protocols

AUSTRACLEAR Service Release 4

Updated Publication Date: 20 November 2017

Author: Mike Carroll

This document contains information that is proprietary to Australian Securities Exchange. Reproduction or transmittal in whole or in part is prohibited. All information within this document relating to the business of Australian Securities Exchange is the property of the company and deemed to be confidential information. Unauthorised disclosure of this information will be considered a breach of confidentiality resulting in material damage to Australian Securities Exchange.

Should you have any questions in regard to this paper or require further information regarding the Austraclear System, please contact:

Austraclear Help Desk:

1300 362 257

Email:

austraclear@ASX.com.au

Contents

1	INTRODUCTION	5
1.1	Purpose.....	5
1.2	Scope.....	5
1.3	References.....	5
2	MESSAGE TYPE OVERVIEW	6
2.1	Implemented SWIFT Message Types.....	6
3	MESSAGE TYPE DETAILS	8
3.1	MT103 – Single Customer Credit TranASXr (Cash Payment).....	8
3.2	MT192 – Request for Cancellation (of Cash Payment).....	10
3.3	MT198 – Response to MT 1xx Messages (SMT 102 and SMT103).....	11
3.4	MT202 – General Financial Institution TranASXr (Cash Payment).....	12
3.5	MT210 – Notice to Receive (Cash Receipt).....	13
3.6	MT292 – Request for Cancellation of MT202 and MT210.....	14
3.7	MT298 – Response to MT 2xx Messages (SMT 102 and SMT103).....	15
3.8	MT300 – Foreign Exchange Confirmation (incoming to ASX).....	16
	MT300U – Foreign Exchange Confirmation (return from ASX).....	19
3.9	MT398 – Response to MT 3xx Messages (SMT 102 and SMT103).....	21
3.10	MT535 – Statement of Holding (Intraday, Interim or End of Day).....	22
3.11	MT540 – Receive Free.....	27
3.12	MT541 – Receive Against Payment.....	32
3.13	MT542 – Deliver Free.....	38
3.14	MT543 – Deliver with Payment.....	44
3.15	MT544 – Settlement Confirmation for Receive Free.....	51
3.16	MT545 – Settlement Confirmation for Receive Against Payment.....	56
3.17	MT546 – Settlement Confirmation for Deliver Free.....	60
3.18	MT547 – Settlement Confirmation for Deliver with Payment.....	66
3.19	MT549 – Request for Statement/Status Advice.....	71
3.20	MT564 - SWIFT Corporate Action Notification Message.....	73
3.21	MT598 – Response to MT 5xx Messages (SMT 102, SMT103).....	82
3.22	MT599 SWIFT - Security Details Message.....	83
3.23	MT900 SWIFT - Confirmation of Debit Message (Response to inward MT103/MT202 Message).....	84
3.24	MT910 SWIFT - Confirmation of Credit Message (Response to MT 210 Message).....	85
3.25	MT598 SWIFT – New Combined Series Creation & Deposit Advice.....	86
3.26	MT599 HTHL – New Combined Series Creation & Deposit Advice.....	88
4	SWIFT INFORMATION AND ERROR CODES	90
4.1	SWIFT Information Codes.....	90
4.2	General SWIFT Errors.....	90
4.3	FBN SWIFT.....	90
4.4	SEC SWIFT.....	91
4.5	FX SWIFT.....	91

4.6	General HTHL Error Codes.....	92
4.7	SEC HTHL.....	92
4.8	FBN HTHL.....	92
4.9	FX HTHL.....	93
4.10	BRC HTHL.....	93
4.11	SEC REPO HTHL.....	94
4.12	Create Series And Deposit SWIFT.....	94
4.13	Create Series And Deposit HTHL.....	95
4.14	Exigo Create Series And Deposit Reason codes.....	95

1 Introduction

1.1 Purpose

The purpose of this document is to specify and document the message formats of the SWIFT messages supported by the ASX EXIGO system.

1.2 Scope

Message types covered by this document pertain to SWIFT messages only (see section 2.1).

1.3 References

This document was produced by the ASX with significant help and input from OM.

The following documents were referenced:

- SWIFT User Handbook, SWIFT, November 2006
- SWIFT Message Standards, SWIFT, www.swift.com
- SWIFT Message Protocols.doc, Austraclear, 1998
- SWIFT Message Standards 2005 Release, SWIFT, www.swift.com
- SWIFT Message Standards 2006 Release, SWIFT, www.swift.com
- SWIFT Message Standards 2007 Release, SWIFT, www.swift.com
- SWIFT Message Standards 2008 Release, SWIFT, www.swift.com
- SWIFT Message Standards 2009 Release, SWIFT, www.swift.com
- SWIFT Message Standards 2010 Release, SWIFT, www.swift.com
- SWIFT Message Standards 2011 Release, SWIFT, www.swift.com

2 Message Type Overview

2.1 Implemented SWIFT Message Types

MT103	Single Customer Credit TranASXr – sent for payments where one side of the transaction is not a Financial Institution. Used to match a transaction sent in via MT210.
MT192	Request for Cancellation of MT100 or 103 – sent by a participant when a message previously sent via MT103 is to be cancelled.
MT198	Response to MT1xx Messages – sent to a participant when an MT1xx message has been processed; either accepted or rejected.
MT202	General Financial Institution TranASXr – sent for payments only when both parties to the transaction are Financial Institutions. Used to match a transaction sent in via MT210.
MT210	Notice To Receive – notification of receipts only, where both participants are Financial Institutions. Used to match a transaction sent in via MT103 or MT202.
MT292	Request for Cancellation of MT202, MT203 and MT210 – sent by a participant when a message previously sent via MT202 or MT210 is to be cancelled.
MT298	Response to MT2xx Messages – sent to a participant when an MT2xx message has been processed; either accepted or rejected.
MT300	Foreign Exchange Confirmation – notification of Foreign Exchange confirmations.
MT398	Response to MT3xx Messages – sent to a participant when an MT3xx message has been processed; either accepted or rejected or when a previously accepted MT300 is manually rejected by the corporate counterparty.
MT535	Statement of Holding – is used to report the quantity and identity of securities holdings for an account owner.
MT540	Receive Free – this is sent to instruct receipt of securities free of payment or request cancellation of a trade performed free of payment.
MT541	Receive Against Payment – buyer entry or cancellation for DvP Fixed Interest or Discount Securities transactions. Includes buyer entry, unwind and renege for Market REPOs.

MT542	Deliver Free – this is sent to instruct delivery of securities free of payment or request cancellation of a trade performed free of payment.
MT543	Deliver with Payment – seller entry or cancellation for DvP Fixed Interest or Discount Securities transactions. Includes seller entry, unwind and renege for Market REPOs.
MT544	Settlement Confirmation for Receive Free – a post-settlement response message for buyer entry of Receive Free if buyer requests on UNSOLAD
MT545	Settlement Confirmation for Deliver Free – a post-settlement response message for seller entry of Deliver Free if seller requests on UNSOLAD
MT546	Settlement Confirmation for Receive Against Payment – a post-settlement response message for buyer entry of RAP if buyer requests on UNSOLAD
MT547	Settlement Confirmation for Deliver With Payment – a post-settlement response message for seller entry of DAP if seller requests on UNSOLAD
MT549	Request for Statements and Advice – is sent by an account owner to request a Statement of Holdings MT535
MT598	Response to MT5xx Messages – sent to a participant when an MT5xx message has been processed; either accepted or rejected.
MT564	Corporate Action Notification Message – sent to a Participant when a Corporate Action is updated in the Austraclear system.

3 Message Type Details

3.1 MT103 – Single Customer Credit TranASXr (Cash Payment)

Tag	Field Name	Allowable Content	Swift Status	Comments
20	Transaction Reference Number	16x	M	:20:TRN123456 Transaction reference included by the sending participant. Must be unique within 14 days across all message types.
13C	Time Indication	/8c/4!n1x4!n	O	This field will be ignored by ASX
23B	Bank Operation Code	4!c	M	This field will be ignored by ASX
23E	Instruction Code	4!c[\30x]	O	This field will be ignored by ASX
26T	Transaction Type Code	3!c	O	This field will be ignored by ASX
32A	Value Date, Currency Code, Amount	6n3a15d	M	:32A:021218AUD100000,00 <i>VD - Settlement Date (YYMMDD)</i> <i>CC – e.g. AUD, USD, SEK</i> <i>A – Amount (999999999999,99)</i>
33B	Currency/Instructed Amount	3!a15d	O	This field will be ignored by ASX
36	Exchange Rate	12d	O	This field will be ignored by ASX
50a	Ordering Customer	A or K	M	This field will be ignored by ASX
51A	Sending Institution	[!1!a][\34x]4!a2!a2!c[3!c]	O	This field will be ignored by ASX
52a	Ordering Institution	A or D	O	This field will be ignored by ASX
53a	Senders Correspondent	A/B/D	O	<i>Optional field: Sending sub-participant code (default to attached BIC)</i> Only Option D will be processed by ASX :53D:/SBNB20 <i>Sending participant ACLR mnemonic</i>
54a	Receiver's Correspondent	A, B or D	O	This field will be ignored by ASX
55a	Third Reimbursement Institution	A, B or D	O	This field will be ignored by ASX
56a	Intermediary Institution	A, C or D	O	This field will be ignored by ASX
57a	Account with Institution	A, B, C or D	O	This field will be ignored by ASX
59a	Beneficiary Customer	A or no letter option	M	ASX will only accept no letter option :59:/SFUB20 <i>Receiving participant ACLR mnemonic</i> Option A will be rejected
70	Remittance Information	4*35x	O	:70:/INTR/99,9999 <i>Interest Rate, defaults to zero</i>
71A	Details of Charges	3!a	M	This field will be ignored by ASX

Tag	Field Name	Allowable Content	Swift Status	Comments
71F	Sender's Charges	3!a15d	O	This field will be ignored by ASX
71G	Receiver's Charges	3!a15d	O	This field will be ignored by ASX
72	Sender to Receiver Information	6*35x	O	:72:Free format text <i>Comments, defaults to no comments</i>
77B	Regulatory Reporting	3*35x	O	This field will be ignored by ASX
77T	Envelope Contents	9000z	O	This field will be ignored by ASX

3.2 MT192 – Request for Cancellation (of Cash Payment)

Tag	Field Name	Allowable Content	Swift Status	Comments
20	Transaction Reference Number	16x	M	:20:TRN123456 Transaction reference included by the sending participant. Must be unique within 14 days across all message types.
21	Related Reference	16x	M	:21:TRN123456 <i>This is original TRN need to be cancelled</i>
11S	MT and Date of original message	3!n 6!n [4!n6!n]	M	:11S:103 021218 <i>MT – Original MT type</i> <i>Date of Original Message (YYMMDD)</i> The third sub-field is ignored by ASX
79	Narrative description of the original message	35*50x	O	:72:Free format text <i>35 lines maximum</i>

3.3 MT198 – Response to MT 1xx Messages (SMT 102 and SMT103)

Tag	Field Name	Allowable Content	Swift Status	Comments
20	Transaction Reference Number	16x	M	:20:50602 Unique Trans Reference Number
12	Sub Message Type	3n	M	“102” = Optional Positive confirmation of message processed “103” = Mandatory Negative confirmation of message processed
77E	Narrative		M	Blank, a SWIFT mandatory field
11S	MT and Date of original Message	3n6n[4n6n]	M	:11S:103 280504
21	Related Reference	16x	M	:21:TRN123456 TRN of message being responded to that was included in Tag 20 of original message
79	Narrative	35*50x	M	:79:TRN123456//6001 Format is 16x//4n This is the TRN of message being responded to, followed by status of that message. Note double “/”. Internal system trade identifier is no longer returned as in FINTRACS.

3.4 MT202 – General Financial Institution TranASXr (Cash Payment)

Tag	Field Name	Allowable Content	Swift Status	Comments
20	Transaction Reference Number	16x	M	:20:TRN123456 Transaction reference included by the sending participant. Must be unique within 14 days across all message types.
21	Related Reference	16x	M	:21:UNKNOWN <i>Data required by SWIFT only and ignored by the ASX</i>
13C	Time Indication	/8c/4!n! x4!n	O	<i>Will be ignored by the ASX</i>
32A	Value Date, Currency Code, Amount	6n3a15d	M	:32A:021218AUD100000,00 <i>VD - Settlement Date (YYMMDD)</i> <i>CC – e.g. AUD, USD, SEK</i> <i>A – Amount (99999999999,99)</i>
52a	Ordering Institution	A/D	O	<i>Will be ignored by the ASX</i>
53a	Senders Correspondent	A/B/D	O	<i>Optional field: Sending sub-participant code (default to attached BIC)</i> Option B & D will be processed by ASX :53B:/SFUB20 :53D:/SFUB20 Name & Address Note: For option B & D, Name & Address must be included but will not be validated by ASX.
54a	Receivers Correspondent	A/B/D	O	<i>Will be ignored by the ASX</i>
56a	Intermediary	A/D	O	<i>Will be ignored by the ASX</i>
57a	Account with Institution	A/B/D	O	<i>Will be ignored by the ASX</i>
58a	Beneficiary Institution	A/D	M	<i>Mandatory field, Receiving participant ASX will only accept option D</i> :58D:/ABCD20 <i>Receiving participant ACLR mnemonic</i> Note: For option D, Name & Address must be included but will not be validated by ASX.
72	Sender to Receiver information	6*35x	O	:72:Free format text <i>Comments, defaults to no comments</i>

3.5 MT210 – Notice to Receive (Cash Receipt)

Tag	Field Name	Allowable Content	Swift Status	Comments
20	Transaction Reference Number	16x	M	:20:TRN123456 Transaction reference included by the sending participant. Must be unique within 14 days across all message types.
25	Account Identification	35x	O	<i>Optional field, Receiving sub-participant code (if different then default attached to BIC)</i> :25:/ABCD20
30	Value Date	<u>6</u> n	M	:30: 021218 <i>Settlement Date(YYMMDD)</i>

--->

21	Related Reference	16x	M	:21:UNKNOWN <i>Data required by SWIFT only and ignored by the ASX</i>
32B	Currency Code, Amount to be Received	<u>3</u> a15d	M	:32B:AUD600,00 <i>CC - e.g. AUD, USD, SEK</i> <i>A - Amount to be received</i>
50a	Ordering customer	4*35x	O	<i>Will be ignored by the ASX</i>
52a	Ordering institution	A/D	M	:52D:/SFUB20 Name & Address <i>ASX Mandatory field, paying participant</i> Note: For option D, Name & Address must be included but will not be validated by ASX.
56a	Intermediary	A/D	O	<i>Will be ignored by the ASX</i>

~|

Note: in EXIGO, the repeating group is not repeating, instead there will be just one instance in each message.

3.6 MT292 – Request for Cancellation of MT202 and MT210

Tag	Field Name	Allowable Content	Swift Status	Comments
20	Transaction Reference Number	16x	M	:20:TRN123456 Transaction reference included by the sending participant. Must be unique within 14 days across all message types.
21	Related Reference	16x	M	:21:TRN123456 <i>This is original TRN need to be cancelled</i>
11S	MT and Date of original message	3!n 6!n [4!n6!n]	M	:11S:202 021218 <i>MT – Original MT type</i> <i>Date of Original Message (YYMMDD)</i> The third sub-field is ignored by ASX
79	Narrative description of original message	35*50x	O	:79:Free format text <i>35 lines maximum</i>

3.7 MT298 – Response to MT 2xx Messages (SMT 102 and SMT103)

Tag	Field Name	Allowable Content	Swift Status	Comments
20	Transaction Reference Number	16x	M	:20:50602 Unique Trans Reference Number
12	Sub Message Type	3n	M	“102” = Optional Positive confirmation of message processed “103” = Mandatory Negative confirmation of message processed
77E	Narrative		M	Blank, a SWIFT mandatory field
11S	MT and Date of original Message	3n6n[4n6n]	M	:11S:202 280504
21	Related Reference	16x	M	:21:TRN123456 TRN of message being responded to that was included in Tag 20 of original message
79	Narrative	35*50x	M	:79:TRN123456//6001 Format is 16x//4n This is the TRN of message being responded to, followed by status of that message. Note double “/”. Internal system trade identifier is no longer returned as in FINTRACS.

3.8 MT300 – Foreign Exchange Confirmation (incoming to ASX)

This message should be addressed to the ACLR Bureau BIC assigned to the corporate participant that is the counterparty to the transaction.

3.8.1 Mandatory Sequence A – General Information

Tag	Field Name	Allowable Content	Swift Status	Comments
15A	New Sequence	(CrLf)	M	:15A:
20	Senders Reference	16x	M	:20:TRN123456 Transaction reference included by the sending participant. Must be unique within 14 days across all message types.
21	Related Reference	16x	O (C)	For a new message (NEWM), this field is optional and will be ignored by the ASX For a Cancellation (CANC), this field is mandatory and must contain the TRN (field 20) of the original message that is to be cancelled.
22A	Type of Operation	<u>4c</u>	M	:22A:NEWT or :22A:CANC <i>NEWT—A new message</i> <i>CANC – To cancel a previous message</i>
94A	Scope of Operation	<u>4c</u>	O	<i>Will be ignored by the ASX</i>
22C	Common Reference	<u>4a24n4a2c</u>	M	<i>Mandatory SWIFT field but ignored by ASX</i>
17T	Block Trade Indicator	1!a	O	<i>Will be ignored by the ASX</i>
17U	Split Settlement Indicator	1!a	O	<i>Will be ignored by the ASX</i>
82a	Party A	A/D/J	M	:82A:PTSAAUSSBN or SBNAAU20 :82D:/SBNB20 <i>Participant</i> <i>ASX only accepts A or D</i>
87a	Party B	A/D/J	M	:87A:ACLRAU2S234 :87D:/SFUB20 <i>Counterparty Participant. Option A requires Austraclear Bureau BIC</i> <i>ASX only accepts A or D</i>
83a	Fund or Beneficiary Customer	A or D	O	<i>Will be ignored by the ASX</i>
77D	Terms and Conditions	6*35x	O	:77D: Free format text

3.8.2 Mandatory Sequence B –Transaction Details

Tag	Field Name	Allowable Content	Swift Status	Comments
-----	------------	-------------------	--------------	----------

Tag	Field Name	Allowable Content	Swift Status	Comments
15B	New Sequence	(CrLf)	M	15B:
30T	Trade Date	8!n	M	:30T: 20021218 <i>Deal Date (YYYYMMDD)</i>
30V	Value Date	8!n	M	:30V: 20021218 <i>Settlement Date (YYYYMMDD)</i>
36	Exchange Rate	12d	M	:36: 18,00 <i>Exchange Rate</i>

3.8.3 Mandatory Sequence B1 – Amount Bought

Tag	Field Name	Allowable Content	Swift Status	Comments
32B	Currency, Amount	3!a15d	M	:32B:AUD1000,00 <i>AUD -- Purchase Currency 1000,00 --Purchase Amount</i>
53a	Delivery Agent	A/D/J	O	<i>Will be ignored by the ASX</i>
56a	Intermediary	A/D/J	O	<i>Will be ignored by the ASX</i>
57a	Receiving Agent	A/D/J	M	<i>Mandatory SWIFT field and ignored by the ASX</i>

3.8.4 Mandatory Sequence B2 – Amount Sold

Tag	Field Name	Allowable Content	Swift Status	Comments
33B	Currency, Amount	3!a15d	M	:33B:NZD 2000,00 <i>NZD -- Sale Currency 2000,00 -- Sale Amount</i>
53a	Delivery Agent	A/D/J	O	<i>Will be ignored by the ASX</i>
56a	Intermediary	A/D/J	O	<i>Will be ignored by the ASX</i>
57a	Receiving Agent	A/D/J	M	:57A:PTSAAUSSXXX <i>Mandatory SWIFT field and ignored by the ASX</i>
58a	Beneficiary Institution	A/D/J	O	<i>Will be ignored by the ASX</i>

Optional Sequence C Optional General Information will be ignored by the ASX

Optional Sequence D Split Settlement Details will be ignored by the ASX

Optional Sequence E Reporting Information will be ignored by the ASX

Please note the following; from the 20/11/17 ACLR has updated the MT300 with NDF specific tags with the additional optional tags introduced by SWIFT in the 2017 Release. These optional tags will be allowed however will be ignored by ASX as no processing is required on these tags.

Tags include:

77D optional in mandatory sequence A

17F optional in mandatory sequence A

17O conditional in mandatory sequence A

32E conditional in mandatory sequence A

30U conditional in mandatory sequence A

14S conditional in mandatory sequence A

21A conditional in mandatory sequence A

14E optional in mandatory sequence A

72 optional in conditional sequence C

35B optional in optional sequence

ACLR will also add following optional tags from the previous release (these will be allowed and ignored by ASX)

14C optional in mandatory sequence A

17I optional in mandatory sequence A

77H optional in mandatory sequence A

MT300U – Foreign Exchange Confirmation (return from ASX)

This message will be generated following confirmation of the transaction by the corporate counterparty. The message will be sent from the ACLR Bureau BIC assigned to the corporate counterparty back to the participant BIC that the original MT300 originated from.

3.8.5 Mandatory Sequence A – General Information

Tag	Field Name	Allowable Content	Swift Status	Comments
15A	New Sequence	(CrLf)	M	:15A:
20	Senders Reference	16x	M	:20:TRN123456 Transaction reference included by the corporate participant.
21	Related Reference	16x	O	This field will contain the TRN (Tag 20) of the original MT300 message.
22A	Type of Operation	<u>4c</u>	M	This will always be a new message :22A:NEWT
94A	Scope of Operation	<u>4c</u>	O	This will identify that ACLR is sending the message on behalf of a third Party (the confirmer using FXCONFIRM) :94A:AGNT
22C	Common Reference	4!a2!c4!n 4!a2!c	M	<i>This is a mandatory SWIFT field that ASX does not require.</i> This field consists of the party prefix and party suffix (from the ISO Business Identifier Code) of both the Sender and the Receiver of the MT 300 (Error code(s): T95). These codes must appear in alphabetical order (letters take precedence over numbers) (Error code(s): T96). Party Suffix 1 and Party Prefix 2 are separated by four digits, which must consist of the rightmost non-zero digit of field 36 in sequence B, preceded by the three digits to the left of it. If there are no digits to the left of it, the space must be zero filled (Error code(s): T22). Field Example From IVMAUS33 to GOLDUS33 :22C:GOLD330218IVMA33 :36:102,180
17T	Block Trade Indicator	1a	O	<i>This field will not be included by ASX</i>
17U	Split Settlement Indicator	1a	O	<i>This field will not be included by ASX</i>

Tag	Field Name	Allowable Content	Swift Status	Comments
82a	Party A	A or D	M	<i>This field will contain the SWIFT BIC from the original MT300 message :82A:PTSAAUSSSBN</i>
87a	Party B	A or D	M	<i>This field will contain the Counterparty Austraclear Bureau BIC :87A:ACLRAU2S234</i>
83a	Fund or Beneficiary Customer	A or D	O	<i>This field will not be included by ASX</i>
77D	Terms and Conditions	6*35x	O	<i>This field will not be included by ASX</i>

3.8.6 Mandatory Sequence B –Transaction Details

Tag	Field Name	Allowable Content	Swift Status	Comments
15B	New Sequence	(CrLf)	M	15B:
30T	Trade Date	8nn	M	:30T: 20021218 <i>Deal Date (YYYYMMDD)</i>
30V	Value Date	8nn	M	:30V: 20021218 <i>Settlement Date (YYYYMMDD)</i>
36	Exchange Rate	12d	M	:36: 18,00 <i>Exchange Rate</i>

3.8.7 Mandatory Sequence B1 – Amount Bought

Tag	Field Name	Allowable Content	Swift Status	Comments
32B	Currency, Amount	3 a15d	M	:32B:AUD1000,00 <i>AUD -- Purchase Currency 1000,00 --Purchase Amount</i>
53a	Delivery Agent	A/D	O	<i>This field will not be included by ASX</i>
56a	Intermediary	A/D	O	<i>This field will not be included by ASX</i>
57a	Receiving Agent	A/D	M	<i>This will always contain “Unknown” :57D:UNKNOWN</i>

3.8.8 Mandatory Sequence B2 – Amount Sold

Tag	Field Name	Allowable Content	Swift Status	Comments
33B	Currency, Amount	3 a15d	M	:33B:NZD 2000,00 <i>NZD -- Sale Currency 2000,00 -- Sale Amount</i>
53a	Delivery Agent	A/D	O	<i>This field will not be included by ASX</i>
56a	Intermediary	A/D	O	<i>This field will not be included by ASX</i>
57a	Receiving Agent	A/D	M	<i>This will always contain “Unknown” :57D:UNKNOWN</i>
58a	Beneficiary Institution	A/D	O	<i>This field will not be included by ASX</i>

Optional Sequence C Optional General Information will be ignored by the ASX

Optional Sequence D Split Settlement Details will be ignored by the ASX

Optional Sequence E Reporting Information will be ignored by the ASX

3.9 MT398 – Response to MT 3xx Messages (SMT 102 and SMT103)

Tag	Field Name	Allowable Content	Swift Status	Comments
20	Transaction Reference Number	16x	M	:20:50602 Unique Trans Reference Number
12	Sub Message Type	3n	M	“102” = Optional Positive confirmation of message processed “103” = Mandatory Rejection confirmation of message processed or Rejection of Confirmation by Counterparty
77E	Narrative	60x	M	SWIFT mandatory field Blank except for Counterparty Rejection when rejection comments are inserted in this field <i>77E:{CRLF}</i> <i>Invalid Exchange rate</i>
11S	MT and Date of original Message	3n6n[4n6n]	M	:11S:300 280504
21	Related Reference	16x	M	:21:TRN123456 TRN of message being responded to that was included in Tag 20 of original message
79	Narrative	35*50x	M	:79:TRN123456//6001 Format is 16x//4n This is the TRN of message being responded to, followed by status of that message.

3.10 MT535 – Statement of Holding (Intraday, Interim or End of Day)

3.10.1 Mandatory Sequence A – General Information

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	GENL	M	:16R:GENL
28E	Page Number/ Continuation Indicator	5n/4!c	M	
13a	Statement Number	A or J	O	ASX will use Option A :13A::STAT//Statement Number The statement number will be a 3 digit code that increments for every MT535 generated in the entire system regardless of participant.
20C	Sender's Reference	SEME	M	:20C::SEME//Sender's Reference
23G	Function of the Message	NEWM	M	ASX will only send New Messages :23G:NEWM
98a	Date/Time	A or C	M	ASX will use Option C and the Statement Date/Time (STAT) code. :98C::STAT//YYYYMMDDHHMMSS
22F	Indicator	:4!c/[8c]/4! c	O	:22F::SFRE//Frequency Where the frequency can be either: INDA for an intraday request in response to a MT549, or DAIL for Daily in response to a standing order.
22F	Indicator	:4!c/[8c]/4! c	O	ASX will always include :22F::STBA//SETT
22F	Indicator	:4!c/[8c]/4! c	M	ASX will always include :22F::CODE//COMP
22F	Indicator	:4!c/[8c]/4! c	O	ASX will always include :22F::STTY//CUST

Note: If the MT535 is generated as a result of a standing order (unsolicited advice) or “ALL” holdings are requested by MT549 then “ALL” holdings will constitute all holdings for that participant as well as all holdings for every participant that has a proxy relationship with that participant.

---> Repetitive Optional Subsequence A1 Linkages

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	LINK	M	:16R:LINK
13a	Linked Transaction	:4!c/3!c	O	This field will not be present for a automated MT535 response but will be present for a manually requested MT535 message
20C	Reference	:4!c//16x	M	If an MT535 was generated as response to MT549, the tag will contain the following information; RELA//123456 Where 123456 is the TRN of the MT549. If an MT535 was generate through

Tag	Field Name	Allowable Content	Swift Status	Comments
				unsolicited advises, then INTRN is replaced with “INT” for interim and “EOD” for evening.
16S	End of Block	LINK	M	:16S:LINK

---| End of Subsequence A1 Linkages

Tag	Field Name	Allowable Content	Swift Status	Comments
95a	Party	P or R	O	This field will not be present
97a	Safekeeping Account	A or B	M	ASX will always use Option A SAFE//ParticipantSub Account
17B	Flag	:4!c//1!a	M	Activity Flag – Y if records to report :ACTI//Y
17B	Flag	:4!c//1!a	M	:CONS//N
16S	End of Block	GENL	M	:16S:GENL

---| End of Sequence A General Information

3.10.2 Repetitive Optional Sequence B – Sub-safekeeping Account

16R	Start of block	SUBSAFE	M	SUBSAFE
95a	Party	P or R	O	This field will not be present
97a	Safekeeping Account	A or B	O	SAFE//ABCD20HOUSE
94a	Place of Safekeeping	B, C or F	O	This field will not be present
17B	Flag	:4!c//1!a	O	:17B:ACTI//Y

Repetitive Optional Sequence B1 – Financial Instrument

--->

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	FIN	M	:16R:FIN
35B	Identification of the Financial Instrument	[ISIN1!e12!c][4*35x]	M	ISIN code followed by optional ISIN description, coupon rate and maturity date if available :35B:ISIN AU0000XQLQC8 QLQC81 QLDT FRN 3MTH BBSW + 0.32% 6.100014-JAN-2011 If description is not present, rate and maturity will move up to 3 rd line Please note that for Discount Securities their will be no description unless there are distinguishing marks on the security.

---> Optional Subsequence B1a Financial Instrument Attributes

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	FIA	M	:16R:FIA
94a	Place of Listing	B or D	O	This field will not be present.
22F	Sub-Balance Details Narrative	:4!c//4*35x	O	This field will not be present
12a	Type of financial instrument	A,C or B	O	This field will not be present
11A	Currency	:4!c//3!a	O	This field will not be present.
98A	Date	:4!c//8!n	O	This field will not be present
92A	Rate	:4!c//[N]15d	O	This field will not be present
13a	Number Identification	A,B or K	O	This field will not be present
17B	Flag	:4!c//1!a	O	This field will not be present
90a	Price	4!c	O	This field will not be present
36B	Quantity of Financial Instrument	:4!c//4!c/15d	O	This field will not be present
35B	Identification of Financial Instrument	[ISIN1!e12!c][4*35x]	O	This field will not be present
70E	Narrative	:4!c//10*35x	O	This field will be present Optional Sequence F Included in 70E tag with the 3 possible values: :70E::FIAN//128F/Exempt :70E::FIAN//128F/Not Exempt :70E::FIAN//128F/Exemption Status Unknown

Tag	Field Name	Allowable Content	Swift Status	Comments
16S	End of Block	FIA	M	FIA

---> End of Sub Sequence B1a Financial Instrument Attributes

Tag	Field Name	Allowable Content	Swift Status	Comments
22H	Indicator	:4!c//4!c	O	This field will not be present
90a	Price	A,B or E	O	This field will not be present
94B	Place	:4!c/[8c]/4! c[/30x]	O	This field will not be present
98a	Date/Time	A or C	O	This field will not be present
93B	Balance	:4!c/[8c]/4! c/[N]15d	M	:93B::AGGR//FAMT/Aggregate Balance

---> Repetitive Optional Subsequence B1b Sub-Balance

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	SUBBAL	M	:16R:SUBBAL
93a	Balance	B or C	M	ASX will provide Option C only 93C::OTHR//FAMT//AVAI/Balance 1
94a	Place	B ,C or F	O	This field will not be present.
90a	Price	A,B or E	O	This field will not be present
98a	Date/Time	A or C	O	This field will not be present
99A	Number count	:4!c//[N]3! n	O	This field will not be present
19A	Amount	:4!c//[N]3! a15d	O	This field will not be present
92B	Exchange Rate	:4!c/3!a/3! a/15d	O	This field will not be present
70C	Sub Balance Narrative	:4!c/4*35x	O	This field will not be present
16S	End of Block	SUBBAL	M	:16S:SUBBAL

---| End of Subsequence B1b Sub-Balance

Tag	Field Name	Allowable Content	Swift Status	Comments
99A	Number of Days Accrued	:4!c//[N]3! n	O	This field will not be present.
19A	Amount	:4!c//[N]3! a 15d	O	:19A::HOLD//AUD Holding Amount
92B	Exchange Rate	:4!c/3!a/3! a/15d	O	This field will not be present.
70E	Holdings Narrative	:4!c//10*3 5x	O	This field will not be present.
16S	End of Block	FIN	M	:16S:FIN

---| End of Subsequence B1 - Financial Instrument

16S	End of block	SUBSAFE	M	:16S:SUBSAFE
-----	--------------	---------	---	--------------

---| End of Sequence B Sub-safekeeping Account

3.10.3 Repetitive Optional Sequence C – Additional Information

--->

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	ADDINFO	M	:16R:ADDINFO

---->

95a	Party	P, R or Q	O	This field will not be present.
-----	-------	-----------	---	---------------------------------

----|

---->

19A	Page Total Amount	:4!c//[N]3! a15d	O	Total value of positions reported in this message :19A::HOLP//AUD Page Amount
19A	Statement Total Amount	:4!c//[N]3! a15d	O	Total value of positions reported in this statement (may be one or more messages) :19A::HOLS//AUD Statement Amount

----|

16S	End of Block	ADDINFO	M	:16S:ADDINFO
-----	--------------	---------	---	--------------

---| End of Sequence C – Additional Information

3.11 MT540 – Receive Free

3.11.1 Mandatory Sequence A – General Information

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	GENL	M	:16R:GENL
20C	Sender's Reference	:4!c//16x	M	:20C:SEME//TRN123456 Transaction reference included by the sending participant. Must be unique within 14 days across all message types.
23G	Function of the Message	NEWM or CANC	M	:23G:NEWM
98a	Preparation Date/Time	A or C	O	This tag will be ignored by the ASX.
99B	Number Count	:4!c//3!n	O	This tag will be ignored by the ASX.

---> Repetitive Optional Subsequence A1 –Linkages

This optional subsequence is mandatory if the message type is CANC.

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	LINK	M	:16R:LINK
22F	Linkage Type Indicator	:4!c//[8c]/4!c	O	This tag will be ignored by the ASX.
13a	Linked Transaction	:4!c//3!c	O	This tag will be ignored by the ASX.
20C	Reference	:4!c//16x	M	Use of the 20C Tag is mandatory if this subsequence is present. If the message type is NEWM, the RELA qualifier can be used to include comments equivalent to the Member Reference field in a terminal entered trade screen. :20C::RELA//comments. If the message type is CANC, the PREV qualifier must be included to identify the transaction to be cancelled and must include the Sender's Reference from Tag20C:SEME// contained in the original message. :20C::PREV//TRN123456.
				Use of the PREV qualifier is mandatory if message type is CANC
36B	Quantity of Financial Instrument	:4!c//4!c/15d	O	This tag will be ignored by the ASX.
16S	End of Block	LINK	M	:16S:LINK

---| End of Subsequence A1 - Linkages

Tag	Field Name	Allowable Content	Swift Status	Comments
16S	End of Block	GENL	M	:16S:GENL

End of Sequence A - General Information

3.11.2 Mandatory Sequence B – Trade Details

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	TRADDET	M	:16R:TRADDET
94B	Place of Trade	:4!c/[8c]/4! c[/30x]	O	This tag will be ignored by the ASX.
98a	Date/Time	A, B or C	M	ASX will only accept option A with either the SETT or TRAD codes. SETT (Settle Date) is mandatory. TRAD (Trade Date) is optional. If omitted Trade Date will default to current day's date. :98A::SETT//20040505
90a	Deal Price	A or B	O	This is an optional non-matching field. ASX will accept either option A or B but will only process the Tag if Yield information is included with option A, ie: 90A::DEAL//YIEL/5,9500 90A::DEAL//PRCT must be >= 0 <= 100 Other codes will be accepted but will be ignored. The Deal Price will be derived in the system from the Consideration and any Deal Price figures included in this Tag will be ignored. If Yield information is not included in this Tag, the Yield will be entered as zero in the system.
99A	Number of Days Accrued	:4!c//[N]3! n	O	This tag will be ignored by the ASX.
35B	Identification of the Financial Instrument	[ISIN1!e12 !c] [4*35x]	M	:35B:ISIN AU0000XQLQC8

Optional Subsequence B1 - Financial Instrument Attributes The ASX will ignore this optional subsequence.

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	FIA	M	This tag will be ignored by the ASX.
94B	Place of Listing	:4!c/[8c]/4! c[/30x}	O	This tag will be ignored by the ASX.
22F	Indicator	:4!c/[8c]/4! c	O	This tag will be ignored by the ASX.
12a	Type of Financial Instrument	A, C or B	O	This tag will be ignored by the ASX.
11A	Currency of Denomination	:4!c//3!a	O	This tag will be ignored by the ASX.
98A	Date	:4!c//8!n	O	This tag will be ignored by the ASX.
92A	Rate	:4!c//[N]15 d	O	This tag will be ignored by the ASX.
13a	Number Identification	A, B or K	O	This tag will be ignored by the ASX.
17B	Flag	:4!c//1!a	O	This tag will be ignored by the ASX.
90a	Price	A or B	O	This tag will be ignored by the ASX.

Tag	Field Name	Allowable Content	Swift Status	Comments
36B	Quantity of Financial Instrument	:4!c//4!c/15d	O	This tag will be ignored by the ASX.
35B	Identification of the Financial Instrument	[ISIN1!e12!c] [4*35x]	O	This tag will be ignored by the ASX.
70E	Financial Instrument Attribute Narrative	:4!c//10*35x	O	This tag will be ignored by the ASX.
16S	End of Block	FIA	M	This tag will be ignored by the ASX.

End of Subsequence B1 –Financial Instrument Attributes

Tag	Field Name	Allowable Content	Swift Status	Comments
22F	Indicator	:4!c/[8c]/4!c	O	This tag will be ignored by the ASX.
11A	Currency to Sell	FXIS	O	This tag will be ignored by the ASX.
25D	Status	:4!c/[8c]/4!c	O	This tag will be ignored by the ASX.
70E	Narrative	:4!c//10*35x	O	This tag will be ignored by the ASX.
16S	End of Block	TRADEDET	M	:16S:TRADEDET

End of Sequence B – Trade Details

3.11.3 Repetitive Mandatory Sequence C – Financial Instrument/Account

-->

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	FIAC	M	:16R:FIAC
36B	Quantity of Financial Instrument to be Settled	:4!c//4!c/15d	M	:36B::SETT//FAMT/1000000,00 or SETT//UNIT/1000000,00 The ASX will accept both FAMT and UNIT
19A	Settlement Amount	:4!c//[N]3!a15d	O	This tag will be ignored by the ASX.
70D	Denomination Choice	:4!c//6*35x	O	This tag will be ignored by the ASX.
13B	Certificate Number	:4!c/[8c]/30x	O	This tag will be ignored by the ASX.
95a	Party	P or R	O	This tag will be ignored by the ASX.
97a	Account	B or A	M	If Option A is included with a valid ACLR mnemonic that has relevant relationships in place, the transaction will be entered for that mnemonic. :97A::SAFE//ABCD20 All other usage will result in the transaction being entered for the default ACLR Branch attached to the BIC.
94a	Place of Safekeeping	B,C or F	O	This tag will be ignored by the ASX.
16S	End of Block	FIAC	M	:16S:FIAC

---| End of Sequence C – Financial Instrument/Account

3.11.4 Optional Sequence D – Two Leg Transaction Details

This Optional Sequence is not valid for use in this message as Repo trades cannot be performed Free of Payment.

If any Tags from this Sequence are included beyond Tags 16R and 16S, the entire message will be rejected by the ASX.

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	REPO	M	This tag will be ignored by the ASX.
98a	Repurchase Date/Time	A, B or C	O	This tag will be ignored by the ASX.
22F	Indicator	:4!c/[8c]/4!c	O	This tag will be ignored by the ASX.
20C	Second Leg Reference	:4!c//16x	O	This tag will be ignored by the ASX.
92a	Rate	C or A	O	This tag will be ignored by the ASX.
99B	Repurchase Call Delay	:4!c//3!n	O	This tag will be ignored by the ASX.
19A	Amount	:4!c//[N]3!a 15d	O	This tag will be ignored by the ASX.
70C	Repurchase Narrative	:4!c//4*35x	O	This tag will be ignored by the ASX.
16S	End of Block	REPO	M	This tag will be ignored by the ASX.

End of Sequence D – REPO Details

3.11.5 Mandatory Sequence E – Settlement Details

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	SETDET	M	:16R:SETDET
22F	Indicator	:4!c/[8c]/4!c	M	This tag contains the trade type indicator. Only the TRAD code will be accepted. :22F::SETR//TRAD

---> Repetitive Mandatory Subsequence E1 – Settlement Parties

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	SETPRTY	M	:16R:SETPRTY
95a	Party	S, P, R or Q	M	ASX will only accept option R. All others will be rejected. :95R::DEAG/ACLR/Sub-Participant Austraclear (ACLR) is always the data source in second sub-field. Note that the inclusion of “ACLR” is a change from current usage and will result in a rejection if not adhered to.
16S	End of Block	SETPRTY	M	:16S:SETPRTY
16R	End of Block	SETPRTY	M	:16R:SETPRTY
95P	Place of settlement	PSET	M	Under the new protocols introduced by Swift if tag 95R is present with DEAG, then 95P is to be populated with place of settlement BIC code eg; ACLRAU2S
97a	Safekeeping Account	A or B	O	This tag will be ignored by the ASX.
98a	Processing Date/Time	A or C	O	This tag will be ignored by the ASX.

Tag	Field Name	Allowable Content	Swift Status	Comments
20C	Processing Reference	:4!c//16x	O	This tag will be ignored by the ASX.
70a	Narrative	E, C or D	O	This tag will be ignored by the ASX.
16S	End of Block	SETPRTY	M	:16S:SETPRTY

---| End of Subsequence E1 – Settlement Parties

---> Repetitive Optional Subsequence E2 – Cash Parties

This Repetitive Optional Subsequence will be ignored by the ASX

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	CSHPRTY	M	This tag will be ignored by the ASX.
95a	Counterparty	S, P, R or Q	M	This tag will be ignored by the ASX.
97A	Account	:4!c//35x	O	This tag will be ignored by the ASX.
70a	Narrative	E, C or D	O	This tag will be ignored by the ASX.
16S	End of Block	CSHPRTY	M	This tag will be ignored by the ASX.

---| End of Subsequence E2 – Cash Parties

---> Repetitive Optional Subsequence E3 – Amounts

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	AMT	M	This tag will be ignored by the ASX.
17B	Flag	:4!c//1!a	O	This tag will be ignored by the ASX.
19A	Amount	:4!c//[N]3!a 15d	M	:SETT//AUD0,00 Used for consideration
98a	Value Date/Time	A or C	O	This tag will be ignored by the ASX.
92B	Exchange Rate	:4!c//3!a/3!a /15d	O	This tag will be ignored by the ASX.
16S	End of Block	AMT	M	This tag will be ignored by the ASX.

---| End of Subsequence E3 – Amounts

Tag	Field Name	Allowable Content	Swift Status	Comments
16S	End of Block	SETDET	M	:16S:SETDET

End of Sequence E – Settlement Details

3.11.6 Repetitive Optional Sequence F – Other Parties

This Repetitive Optional Subsequence will be ignored by the ASX

--->

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	OTHRPRTY	M	This tag will be ignored by the ASX.
95a	Party	S, P, R or Q	M	This tag will be ignored by the ASX.
97A	Account	:4!c//35x	O	This tag will be ignored by the ASX.
70a	Narrative	E, C or D	O	This tag will be ignored by the ASX.
20C	Processing Reference	:4!c//16x	O	This tag will be ignored by the ASX.
16S	End of Block	OTHRPRTY	M	This tag will be ignored by the ASX.

---| End of Sequence F – Other Parties

3.12 MT541 – Receive Against Payment

3.12.1 Mandatory Sequence A – General Information

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	GENL	M	:16R:GENL
20C	Sender's Reference	:4!c//16x	M	:20C:SEME//TRN123456 Transaction reference included by the sending participant. Must be unique within 14 days across all message types.
23G	Function of the Message	NEWM or CANC	M	:23G:NEWM
98a	Preparation Date/Time	A or C	O	This tag will be ignored by the ASX.
99B	Number Count	:4!c//3!n	O	This tag will be ignored by the ASX.

---> Repetitive Optional Subsequence A1 –Linkages

This optional subsequence is mandatory if the message type is CANC.

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	LINK	M	:16R:LINK
22F	Linkage Type Indicator	:4!c/[8c]/4!c	O	This tag will be ignored by the ASX.
13a	Linked Transaction	:4!c//3!c	O	This tag will be ignored by the ASX.
20C	Reference	:4!c//16x	M	Use of the 20C Tag is mandatory if this subsequence is present. If the message type is NEWM, the RELA qualifier can be used to include comments equivalent to the Member Reference field in a terminal entered trade screen. :20C::RELA//comments. If the message type is CANC, the PREV qualifier must be included to identify the transaction to be cancelled and must include the Sender's Reference from Tag20C:SEME// contained in the original message. :20C::PREV//TRN123456.
36B	Quantity of Financial Instrument	:4!c//4!c/15d	O	This tag will be ignored by the ASX.
16S	End of Block	LINK	M	:16S:LINK

---| End of Subsequence A1 - Linkages

Tag	Field Name	Allowable Content	Swift Status	Comments
16S	End of Block	GENL	M	:16S:GENL

End of Sequence A - General Information

3.12.2 Mandatory Sequence B – Trade Details

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	TRADDET	M	:16R:TRADDET
94B	Place of Trade	:4!c/[8c]/4!c [/30x]	O	This tag will be ignored by the ASX.
98a	Date/Time	A, B or C	M	ASX will only accept option A with either the SETT or TRAD codes. SETT (Settle Date) is mandatory. TRAD (Trade Date) is optional. If omitted Trade Date will default to current day's date. :98A::SETT//20040505
90a	Deal Price	A or B	O	This is an optional non-matching field. ASX will accept either option A or B but will only process the Tag if Yield information is included with option A, ie: 90A::DEAL//YIEL/5,9500 90A::DEAL//PRCT must be >= 0 <= 100 Other codes will be accepted but will be ignored. The Deal Price will be derived in the system from the Consideration and any Deal Price figures included in this Tag will be ignored. If Yield information is not included in this Tag, the Yield will be entered as zero in the system.
99A	Number of Days Accrued	:4!c//[N]3!n	O	This tag will be ignored by the ASX.
35B	Identification of the Financial Instrument	[ISIN1!e12! c] [4*35x]	M	:35B:ISIN AU0000XQLQC8

Optional Subsequence B1 - Financial Instrument Attributes

The ASX will ignore this optional subsequence.

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	FIA	M	This tag will be ignored by the ASX.
94B	Place of Listing	:4!c/[8c]/4!c [/30x}	O	This tag will be ignored by the ASX.
22F	Indicator	:4!c/[8c]/4!c	O	This tag will be ignored by the ASX.
12a	Type of Financial Instrument	A, C or B	O	This tag will be ignored by the ASX.
11A	Currency of Denomination	:4!c//3!a	O	This tag will be ignored by the ASX.
98A	Date	:4!c//8!n	O	This tag will be ignored by the ASX.
92A	Rate	:4!c//[N]15d	O	This tag will be ignored by the ASX.
13a	Number Identification	A or B	O	This tag will be ignored by the ASX.
17B	Flag	:4!c//1!a	O	This tag will be ignored by the ASX.
90a	Price	A or B	O	This tag will be ignored by the ASX.
36B	Quantity of Financial Instrument	:4!c//4!c/15d	O	This tag will be ignored by the ASX.
35B	Identification of the Financial Instrument	[ISIN1!e12!c] [4*35x]	O	This tag will be ignored by the ASX.
70E	Financial Instrument Attribute Narrative	:4!c//10*35x	O	This tag will be ignored by the ASX.
16S	End of Block	FIA	M	This tag will be ignored by the ASX.

End of Subsequence B1 –Financial Instrument Attributes

Tag	Field Name	Allowable Content	Swift Status	Comments
22F	Indicator	:4!c/[8c]/4!c	O	This tag will be ignored by the ASX.
11A	Currency to Sell	FXIS	O	This tag will be ignored by the ASX.
25D	Status	:4!c/[8c]/4!c	O	This tag will be ignored by the ASX.
70E	Narrative	:4!c//10*35x	O	This tag will be ignored by the ASX.
16S	End of Block	TRADDET	M	:16S:TRADDET

End of Sequence B – Trade Details

3.12.3 Repetitive Mandatory Sequence C – Financial Instrument/Account

⇒

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	FIAC	M	:16R:FIAC
36B	Quantity of Financial Instrument to be Settled	:4!c//4!c/15d	M	:36B::SETT//FAMT/6500000,00 or SETT//UNIT/6500000,00 The ASX will accept both FAMT and UNIT
19A	Settlement Amount	:4!c//[N]3!a 15d	O	This tag will be ignored by the ASX.
70D	Denomination Choice	:4!c//6*35x	O	This field will be ignored by the ASX
13B	Certificate Number	:4!c/[8c]/30x	O	This tag will be ignored by the ASX.
95a	Party	P or R	O	This tag will be ignored by the ASX

Tag	Field Name	Allowable Content	Swift Status	Comments
97a	Account	B or A	M	If Option A is included with a valid ACLR mnemonic that has relevant relationships in place, the transaction will be entered for that mnemonic. :97A::SAFE//ABCD20 All other usage will result in the transaction being entered for the default ACLR Branch attached to the BIC.
94a	Place of Safekeeping	B,C or F	O	This tag will be ignored by the ASX.
16S	End of Block	FIAC	M	:16S:FIAC

--| End of Sequence C – Financial Instrument/Account

3.12.4 Optional Sequence D – Two Leg Transaction Details

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	REPO	M	:16R:REPO
98a	Repurchase Date/Time	A, B or C	O	This field will denote type of REPO. ASX will accept option A Eg. TERM//YYYYMMDD (fixed date REPO) Or option B Eg. TERM//OPEN (at call REPO) Or option C Eg. TERM//YYYYMMDDHHMMSS (fixed date REPO). In the case of option C, the Time will be ignored.
22F	Indicator	:4!c/[8c]/4!c	O	This tag will be ignored by the ASX.
20C	Second Leg Reference	:4!c//16x	O	This field will be the TRN to the 1 st leg in tag 20C of the original transaction. SECO//original reference
92a	Rate	C or A	O	This field will denote REPO rate: ASX will accept option A in the form: REPO//999,999.
99B	Repurchase Call Delay	:4!c//3!n	O	This tag will be ignored by the ASX.
19A	Amount	:4!c//[N]3!a 15d	O	If 1 st leg settlement date is the same as 2 nd leg settlement date (ie. Intra-day repo) this field is Mandatory. For an Unwind message, if this Tag is included, the amount will be populated in the system. If it is not included, the system will automatically populate the unwind consideration. :19A::TRTE//AUD12300,00 If this Tag is included for anything other than an intra-day repo or unwind, the message will be rejected.

Tag	Field Name	Allowable Content	Swift Status	Comments
70C	Repurchase Narrative	:4!c//4*35x	O	This field will advise if the REPO is to stay as an “At Call” or “Fixed” and specify same day settlement flag SECO//OPEN/Y or SECO//YYYYMMDD/N Where “Y” is used for same day and “N” is used for next day settlement.
16S	End of Block	REPO	M	:16S:REPO

End of Sequence D – REPO Details

3.12.5 Mandatory Sequence E – Settlement Details

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	SETDET	M	:16R:SETDET
22F	Indicator	:4!c/[8c]/4!c	M	This tag contains the trade type indicator. Two codes will be accepted: SETR//TRAD for an outright trade or SETR//REPU for a Repo. :22F::SETR//TRAD

---> Repetitive Mandatory Subsequence E1 – Settlement Parties

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	SETPRTY	M	:16R:SETPRTY
95a	Counterparty	S, P, R or Q	M	ASX will only accept option R. All others will be rejected. :95R::DEAG/ACLR/Sub-Participant Austraclear (ACLR) is always the data source in second sub-field. Note that the inclusion of “ACLR” is a change from current usage and will result in a rejection if not adhered to.
16S	End of Block	SETPRTY	M	:16S:SETPRTY
16R	End of Block	SETPRTY	M	:16R:SETPRTY
95P	Place of settlement	PSET	M	Under the new protocols introduced by Swift if tag 95R is present with DEAG, then 95P is to be populated with place of settlement BIC code eg; ACLRAU2S
97a	Safekeeping Account	A or B	O	This tag will be ignored by the ASX.
98a	Processing Date/Time	A or C	O	This tag will be ignored by the ASX.
20C	Processing Reference	:4!c//16x	O	This tag will be ignored by the ASX.
70a	Narrative	E, C or D	O	This tag will be ignored by the ASX.
16S	End of Block	SETPRTY	M	:16S:SETPRTY

---| End of Subsequence E1 – Settlement Parties

---> Repetitive Optional Subsequence E2 – Cash Parties

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	CSHPRTY	M	:16R:CSHPRTY
95a	Counterparty	S, P, R or Q	M	This tag will be ignored by the ASX.
97A	Account	:4!c//35x	O	This tag will be ignored by the ASX. Will default to the Trading Cash Account attached to the Sub-Participant.
70a	Narrative	E, C or D	O	This tag will be ignored by the ASX.
16S	End of Block	CSHPRTY	M	:16S:CSHPRTY

---| End of Subsequence E2 – Cash Parties

---> Repetitive Mandatory Subsequence E3 - Amounts

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	AMT	M	:16R:AMT
17B	Flag	:4!c//1!a	O	This tag will be ignored by the ASX.
19A	Amount	:4!c//[N]3!a 15d	M	Used for consideration :SETT//AUD5653950,00
98a	Value Date/Time	A or C	O	This tag will be ignored by the ASX.
92B	Exchange Rate	:4!c//3!a/3!a /15d	O	This tag will be ignored by the ASX.
16S	End of Block	AMT	M	:16S:AMT

---| End of Subsequence E3 – Amounts

Tag	Field Name	Allowable Content	Swift Status	Comments
16S	End of Block	SETDET	M	:16S:SETDET

End of Sequence E – Settlement Details

3.12.6 Repetitive Optional Sequence F – Other Parties

The ASX will ignore this optional subsequence.

--->

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	OTHRPRT Y	M	This tag will be ignored by the ASX.
95a	Party	S, P, R or Q	M	This tag will be ignored by the ASX.
97A	Account	:4!c//35x	O	This tag will be ignored by the ASX.
70a	Narrative	E, C or D	O	This tag will be ignored by the ASX.
20C	Processing Reference	:4!c//16x	O	This tag will be ignored by the ASX.
16S	End of Block	OTHRPRT Y	M	This tag will be ignored by the ASX.

---| End of Sequence F – Other Parties

3.13 MT542 – Deliver Free

3.13.1 Mandatory Sequence A – General Information

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	GENL	M	:16R:GENL
20C	Sender's Reference	:4!c//16x	M	:20C:SEME//TRN123456 Transaction reference included by the sending participant. Must be unique within 14 days across all message types.
23G	Function of the Message	NEWM or CANC	M	:23G:NEWM
98a	Preparation Date/Time	A or C	O	This tag will be ignored by the ASX.
99B	Number Count	:4!c//3!n	O	This tag will be ignored by the ASX.

---> Repetitive Optional Subsequence A1 –Linkages

This optional subsequence is mandatory if the message type is CANC.

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	LINK	M	:16R:LINK
22F	Linkage Type Indicator	:4!c/[8c]/4!c	O	This tag will be ignored by the ASX.
13a	Linked Transaction	:4!c//3!c	O	This tag will be ignored by the ASX.
20C	Reference	:4!c//16x	M	Use of the 20C Tag is mandatory if this subsequence is present. If the message type is NEWM, the RELA qualifier can be used to include comments equivalent to the Member Reference field in a terminal entered trade screen. :20C::RELA//comments. If the message type is CANC, the PREV qualifier must be included to identify the transaction to be cancelled and must include the Sender's Reference from Tag20C:SEME// contained in the original message. :20C::PREV//TRN123456.
36B	Quantity of Financial Instrument	:4!c//4!c/15d	O	This tag will be ignored by the ASX.
16S	End of Block	LINK	M	:16S:LINK

---| End of Subsequence A1 - Linkages

Tag	Field Name	Allowable Content	Swift Status	Comments
16S	End of Block	GENL	M	:16S:GENL

End of Sequence A - General Information

3.13.2 Mandatory Sequence B – Trade Details

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	TRADDET	M	:16R:TRADDET
94B	Place of Trade	:4!c/[8c]/4!c/[30x]	O	This tag will be ignored by the ASX.
98a	Date/Time	A, B or C	M	ASX will only accept option A with either the SETT or TRAD codes. SETT (Settle Date) is mandatory. TRAD (Trade Date) is optional. If omitted Trade Date will default to current day's date. :98A::SETT//20040505
90a	Deal Price	A or B	O	This is an optional non-matching field. ASX will accept either option A or B but will only process the Tag if Yield information is included with option A, ie: 90A::DEAL//YIEL/5,9500 90A::DEAL//PRCT must be >= 0 <= 100 Other codes will be accepted but will be ignored. The Deal Price will be derived in the system from the Consideration and any Deal Price figures included in this Tag will be ignored. If Yield information is not included in this Tag, the Yield will be entered as zero in the system.
99A	Number of Days Accrued	:4!c//[N]3!n	O	This tag will be ignored by the ASX.
35B	Identification of the Financial Instrument	[ISIN1!e12!c][4*35x]	M	:35B:ISIN AU0000XQLQC8

Optional Subsequence B1 - Financial Instrument Attributes

The ASX will ignore this optional subsequence.

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	FIA	M	This tag will be ignored by the ASX.
94B	Place of Listing	:4!c/[8c]/4!c [/30x]	O	This tag will be ignored by the ASX.
22F	Indicator	:4!c/[8c]/4!c	O	This tag will be ignored by the ASX.
12a	Type of Financial Instrument	A, C or B	O	This tag will be ignored by the ASX.
11A	Currency of Denomination	:4!c//3!a	O	This tag will be ignored by the ASX.
98A	Date	:4!c//8!n	O	This tag will be ignored by the ASX.
92A	Rate	:4!c//[N]15d	O	This tag will be ignored by the ASX.
13a	Number Identification	A or B	O	This tag will be ignored by the ASX.
17B	Flag	:4!c//1!a	O	This tag will be ignored by the ASX.
90a	Price	A or B	O	This tag will be ignored by the ASX.
36B	Quantity of Financial Instrument	:4!c//4!c/15d	O	This tag will be ignored by the ASX.
35B	Identification of the Financial Instrument	[ISIN!e12!c] [4*35x]	O	This tag will be ignored by the ASX.
70E	Financial Instrument Attribute Narrative	:4!c//10*35x	O	This tag will be ignored by the ASX.
16S	End of Block	FIA	M	This tag will be ignored by the ASX.

End of Subsequence B1 –Financial Instrument Attributes

Tag	Field Name	Allowable Content	Swift Status	Comments
22F	Indicator	:4!c/[8c]/4!c	O	This tag will be ignored by the ASX.
11A	Currency to Buy	FXIB	O	This tag will be ignored by the ASX.
25D	Status	:4!c/[8c]/4!c	O	This tag will be ignored by the ASX.
70E	Narrative	:4!c//10*35x	O	This tag will be ignored by the ASX.
16S	End of Block	TRADDET	M	:16S:TRADDET

End of Sequence B – Trade Details

3.13.3 Repetitive Mandatory Sequence C – Financial Instrument/Account

⇒

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	FIAC	M	:16R:FIAC
36B	Quantity of Financial Instrument to be Settled	:4!c//4!c/15d	M	:36B::SETT//FAMT/1000000,00 or SETT//UNIT/1000000,00 The ASX will accept both FAMT and UNIT
19A	Settlement Amount	:4!c//[N]3!a 15d	O	This tag will be ignored by the ASX.
70D	Denomination Choice	:4!c//6*35x	O	This tag will be ignored by the ASX.
13B	Certificate Number	:4!c/[8c]/30x	O	This tag will be ignored by the ASX.
95a	Party	P or R	O	This tag will be ignored by the ASX.

Tag	Field Name	Allowable Content	Swift Status	Comments
97a	Account	B or A	M	If Option A is included with a valid ACLR mnemonic that has relevant relationships in place, the transaction will be entered for that mnemonic. :97A::SAFE//ABCD20 All other usage will result in the transaction being entered for the default ACLR Branch attached to the BIC.
94a	Place of Safekeeping	B,C or F	O	This tag will be ignored by the ASX.
16S	End of Block	FIAC	M	:16S:FIAC

---| End of Sequence C – Financial Instrument/Account

3.13.4 Optional Sequence D – Two Leg Transaction Details

This Optional Sequence is not valid for use in this message as Repo trades cannot be performed Free of Payment.

If any Tags from this Sequence are included beyond Tags 16R and 16S, the entire message will be rejected by the ASX.

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	REPO	M	This tag will be ignored by the ASX.
98a	Repurchase Date/Time	A, B or C	O	This tag will be ignored by the ASX.
22F	Indicator	:4!c/[8c]/4!c	O	This tag will be ignored by the ASX.
20C	Second Leg Reference	:4!c//16x	O	This tag will be ignored by the ASX.
92a	Rate	C or A	O	This tag will be ignored by the ASX.
99B	Repurchase Call Delay	:4!c//3!n	O	This tag will be ignored by the ASX.
19A	Amount	:4!c//[N]3!a 15d	O	This tag will be ignored by the ASX.
70C	Repurchase Narrative	:4!c//4*35x	O	This tag will be ignored by the ASX.
16S	End of Block	REPO	M	This tag will be ignored by the ASX.

End of Sequence D – REPO Details

3.13.5 Mandatory Sequence E – Settlement Details

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	SETDET	M	:16R:SETDET
22F	Indicator	:4!c/[8c]/4!c	M	This tag contains the trade type indicator. Only the TRAD code will be accepted. :22F::SETR//TRAD

---> Repetitive Mandatory Subsequence E1 – Settlement Parties

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	SETPRTY	M	:16R:SETPRTY

Tag	Field Name	Allowable Content	Swift Status	Comments
95a	Party	S, P, R or Q	M	ASX will only accept option R. All others will be rejected. :95R::REAG/ACLR/Sub-Participant Austraclear (ACLR) is always the data source in second sub-field. Note that the inclusion of “ACLR” is a change from current usage and will result in a rejection if not adhered to.
16S	End of Block	SETPRTY	M	:16S:SETPRTY
16R	End of Block	SETPRTY	M	:16R:SETPRTY
95P	Place of settlement	PSET	M	Under the new protocols introduced by Swift if tag 95R is present with REAG, then 95P is to be populated with place of settlement BIC code eg; ACLRAU2S
97a	Safekeeping Account	A or B	O	This tag will be ignored by the ASX.
98a	Processing Date/Time	A or C	O	This tag will be ignored by the ASX.
20C	Processing Reference	:4!c//16x	O	This tag will be ignored by the ASX.
70a	Narrative	E, C or D	O	This tag will be ignored by the ASX.
16S	End of Block	SETPRTY	M	:16S:SETPRTY

---| End of Subsequence E1 – Settlement Parties

---> Repetitive Optional Subsequence E2 – Cash Parties

This Repetitive Optional Subsequence will be ignored by the ASX

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	CSHPRTY	M	This tag will be ignored by the ASX.
95a	Party	S, P, R or Q	M	This tag will be ignored by the ASX.
97A	Account	:4!c//35x	O	This tag will be ignored by the ASX.
70a	Narrative	E, C or D	O	This tag will be ignored by the ASX.
16S	End of Block	CSHPRTY	M	This tag will be ignored by the ASX.

---| End of Subsequence E2 – Cash Parties

---> Repetitive Mandatory Subsequence E3 – Amounts

This Repetitive Optional Subsequence will be ignored by the ASX

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	AMT	M	This tag will be ignored by the ASX.
17B	Standing Instructions Override Flag	:4!c//1!a	M	This tag will be ignored by the ASX.
19A	Amount	:4!c//[N]3!a 15d	M	:SETT//AUD0,00 Used for consideration
98a	Value Date/Time	A or C	O	This tag will be ignored by the ASX.
92B	Exchange Rate	:4!c//3!a/3!a /15d	O	This tag will be ignored by the ASX.
16S	End of Block	AMT	M	This tag will be ignored by the ASX.

---| End of Subsequence E3 – Amounts

Tag	Field Name	Allowable Content	Swift Status	Comments
16S	End of Block	SETDET	M	:16SETDET

---| End of Sequence E – Settlement Details

3.13.6 Repetitive Optional Sequence F – Other Parties

This Repetitive Optional Subsequence will be ignored by the ASX

-->

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	OTHRPRT Y	M	This tag will be ignored by the ASX.
95a	Party	S, P, R or Q	M	This tag will be ignored by the ASX.
97A	Account	:4!c//35x	O	This tag will be ignored by the ASX.
70a	Narrative	E, C or D	O	This tag will be ignored by the ASX.
20C	Processing Reference	:4!c//16x	O	This tag will be ignored by the ASX.
16S	End of Block	OTHRPRT Y	M	This tag will be ignored by the ASX.

---| End of Sequence F – Other Parties

3.14 MT543 – Deliver with Payment

3.14.1 Mandatory Sequence A – General Information

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	GENL	M	:16R:GENL
20C	Sender's Reference	:4!c//16x	M	:20C:SEME//TRN123456 Transaction reference included by the sending participant. Must be unique within 14 days across all message types.
23G	Function of the Message	NEWM or CANC	M	:23G:NEWM
98a	Preparation Date/Time	A or C	O	This tag will be ignored by the ASX.
99B	Number Count	:4!c//3!n	O	This tag will be ignored by the ASX.

---> Repetitive Optional Subsequence A1 –Linkages

This optional subsequence is mandatory if the message type is CANC.

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	LINK	M	:16R:LINK
22F	Linkage Type Indicator	:4!c/[8c]/4!c	O	This tag will be ignored by the ASX.
13a	Linked Transaction	:4!c//3!c	O	This tag will be ignored by the ASX.
20C	Reference	:4!c//16x	M	Use of the 20C Tag is mandatory if this subsequence is present. If the message type is NEWM, the RELA qualifier can be used to include comments equivalent to the Member Reference field in a terminal entered trade screen. :20C::RELA//comments. If the message type is CANC, the PREV qualifier must be included to identify the transaction to be cancelled and must include the Sender's Reference from Tag20C:SEME// contained in the original message. :20C::PREV//TRN123456.
36B	Quantity of Financial Instrument	:4!c//4!c/15d	O	This tag will be ignored by the ASX.
16S	End of Block	LINK	M	:16S:LINK

---| End of Subsequence A1 - Linkages

Tag	Field Name	Allowable Content	Swift Status	Comments
16S	End of Block	GENL	M	:16S:GENL

End of Sequence A - General Information

3.14.2 Mandatory Sequence B – Trade Details

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	TRADDET	M	:16R:TRADDET
94B	Place of Trade	:4!c/[8c]/4!c [/30x]	O	This tag will be ignored by the ASX.
98a	Date/Time	A, B or C	M	ASX will only accept option A with either the SETT or TRAD codes. SETT (Settle Date) is mandatory. TRAD (Trade Date) is optional. If omitted Trade Date will default to current day's date. :98A::SETT//20040505
90a	Deal Price	A or B	O	This is an optional non-matching field. ASX will accept either option A or B but will only process the Tag if Yield information is included with option A, ie: 90A::DEAL//YIEL/5,9500 90A::DEAL//PRCT must be >= 0 <= 100 Other codes will be accepted but will be ignored. The Deal Price will be derived in the system from the Consideration and any Deal Price figures included in this Tag will be ignored. If Yield information is not included in this Tag, the Yield will be entered as zero in the system.
99A	Number of Days Accrued	:4!c//[N]3!n	O	This tag will be ignored by the ASX.
35B	Identification of the Financial Instrument	[ISIN1!e12! c] [4*35x]	M	:35B:ISIN AU0000XQLQC8

Optional Subsequence B1 - Financial Instrument Attributes

The ASX will ignore this optional subsequence.

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	FIA	M	This tag will be ignored by the ASX.
94B	Place of Listing	:4!c/[8c]/4!c [/30x}	O	This tag will be ignored by the ASX
22F	Indicator	:4!c/[8c]/4!c	O	This tag will be ignored by the ASX.
12a	Type of Financial Instrument	A, C or B	O	This tag will be ignored by the ASX.
11A	Currency of Denomination	:4!c//3!a	O	This tag will be ignored by the ASX.
98A	Date	:4!c//8!n	O	This tag will be ignored by the ASX.
92A	Rate	:4!c//[N]15d	O	This tag will be ignored by the ASX.
13a	Number Identification	A or B	O	This tag will be ignored by the ASX.
17B	Flag	:4!c//1!a	O	This tag will be ignored by the ASX.
90a	Price	A or B	O	This tag will be ignored by the ASX.
36B	Quantity of Financial Instrument	:4!c//4!c/15 d	O	This tag will be ignored by the ASX.
35B	Identification of the Financial Instrument	[ISIN1!e12 !c] [4*35x]	O	This tag will be ignored by the ASX.
70E	Financial Instrument Attribute Narrative	:4!c//10*35x	O	This tag will be ignored by the ASX.
16S	End of Block	FIA	M	This tag will be ignored by the ASX.

End of Subsequence B1 –Financial Instrument Attributes

Tag	Field Name	Allowable Content	Swift Status	Comments
22F	Indicator	:4!c/[8c]/4!c	O	This tag will be ignored by the ASX.
11A	Currency	:4!c//3!a	O	This tag will be ignored by the ASX.
25D	Status	:4!c/[8c]/4!c	O	This tag will be ignored by the ASX.
70E	Narrative	:4!c//10*35x	O	This tag will be ignored by the ASX.
16S	End of Block	TRADDET	M	:16S:TRADDET

End of Sequence B – Trade Details

3.14.3 Repetitive Mandatory Sequence C – Financial Instrument/Account

⇒

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	FIAC	M	:16R:FIAC
36B	Quantity of Financial Instrument to be Settled	:4!c//4!c/15d	M	:36B::SETT//FAMT/6500000,00 or SETT//UNIT/6500000,00 The ASX will accept both FAMT and UNIT
19A	Settlement Amount	:4!c//[N]3!a 15d	O	This tag will be ignored by the ASX.
70D	Denomination Choice	:4!c//6*35x	O	This tag will be ignored by the ASX
13B	Certificate Number	:4!c/[8c]/30x	O	This tag will be ignored by the ASX.

Tag	Field Name	Allowable Content	Swift Status	Comments
95a	Party	P or R	O	This tag will be ignored by the ASX
97a	Account	B or A	M	If Option A is included with a valid ACLR mnemonic that has relevant relationships in place, the transaction will be entered for that mnemonic. :97A::SAFE//ABCD20 All other usage will result in the transaction being entered for the default ACLR Branch attached to the BIC.
94a	Place of Safekeeping	B,C or F	O	This tag will be ignored by the ASX.
16S	End of Block	FIAC	M	:16S:FIAC

---| End of Sequence C – Financial Instrument/Account

3.14.4 Optional Sequence D – Two Leg Transaction Details

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	REPO	M	:16R:REPO
98a	Repurchase Date/Time	A, B or C	O	This field will denote type of REPO. ASX will accept option A Eg. TERM//YYYYMMDD (fixed date REPO) Or option B Eg. TERM//OPEN (at call REPO) Or option C Eg. TERM//YYYYMMDDHHMMSS (fixed date REPO). In the case of option C, the Time will be ignored.
22F	Indicator	:4!c/[8c]/4!c	O	This tag will be ignored by the ASX.
20C	Second Leg Reference	:4!c//16x	O	This field will be the TRN to the 1 st leg in tag 20C of the original transaction and will also need to be validated. SECO//original reference
92a	Rate	C or A	O	This field will denote REPO rate: ASX will accept option A in the form REPO//999,999.
99B	Repurchase Call Delay	:4!c//3!n	O	This tag will be ignored by the ASX.

Tag	Field Name	Allowable Content	Swift Status	Comments
19A	Amount	:4!c//[N]3!a 15d	O	If 1 st leg settlement date is the same as 2 nd leg settlement date (ie. Intra-day repo) this field is Mandatory. For an Unwind message, if this Tag is included, the amount will be populated in the system. If it is not included, the system will automatically populate the unwind consideration. :19A::TRTE//AUD12300,00 If this Tag is included for anything other than an intra-day repo or unwind, the message will be rejected.
70C	Repurchase Narrative	:4!c//4*35x	O	This field will advise if the REPO is to stay as an “At Call” or “Fixed” and specify same day settlement flag SECO//OPEN/Y or SECO//YYYYMMDD/N Where “Y” is used for same day and “N” is used for next day settlement.
16S	End of Block	REPO	M	:16S:REPO

End of Sequence D – REPO Details

3.14.5 Mandatory Sequence E – Settlement Details

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	SETDET	M	:16R:SETDET
22F	Indicator	:4!c/[8c]/4!c	M	This tag contains the trade type indicator. Two codes will be accepted: SETR//TRAD for an outright trade or SETR//REPU for a Repo. :22F::SETR//TRAD

---> Repetitive Mandatory Subsequence E1 – Settlement Parties

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	SETPRTY	M	:16R:SETPRTY
95a	Party	S, P, R or Q	M	ASX will only accept option R. All others will be rejected. :95R::REAG/ACLR/Sub-Participant Austraclear (ACLR) is always the data source in second sub-field. Note that the inclusion of “ACLR” is a change from current usage and will result in a rejection if not adhered to.
16S	End of Block	SETPRTY	M	:16S:SETPRTY
16R	End of Block	SETPRTY	M	:16R:SETPRTY

Tag	Field Name	Allowable Content	Swift Status	Comments
95P	Place of settlement	PSET	M	Under the new protocols introduced by Swift if tag 95R is present with REAG, then 95P is to be populated with place of settlement BIC code eg; PSET//ACLRAU2S
97a	Safekeeping Account	A or B	O	This tag will be ignored by the ASX.
98a	Processing Date/Time	A or C	O	This tag will be ignored by the ASX.
20C	Processing Reference	:4!c//16x	O	This tag will be ignored by the ASX.
70a	Narrative	E, C or D	O	This tag will be ignored by the ASX.
16S	End of Block	SETPRTY	M	:16S:SETPRTY

---| End of Subsequence E1 – Settlement Parties

---> Repetitive Optional Subsequence E2 – Cash Parties

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	CSHPRTY	M	:16R:CSHPRTY
95a	Party	S, P, R or Q	M	This tag will be ignored by the ASX.
97A	Account	:4!c//35x	O	This tag will be ignored by the ASX. Will default to the Trading Cash Account attached to the Sub-Participant.
70a	Narrative	E, C or D	O	This tag will be ignored by the ASX.
16S	End of Block	CSHPRTY	M	:16S:CSHPRTY

---| End of Subsequence E2 – Cash Parties

---> Repetitive Mandatory Subsequence E3 - Amounts

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	AMT	M	:16R:AMT
17B	Flag	:4!c//1!a	O	This tag will be ignored by the ASX.
19A	Amount	:4!c//[N]3!a 15d	M	:SETT//AUD5653950,00 Used for consideration
98a	Value Date/Time	A or C	O	This tag will be ignored by the ASX.
92B	Exchange Rate	:4!c//3!a/3!a/ 15d	O	This tag will be ignored by the ASX.
16S	End of Block	AMT	M	:16S:AMT

---| End of Subsequence E3 – Amounts

Tag	Field Name	Allowable Content	Swift Status	Comments
16S	End of Block	SETDET	M	:16S:SETDET

End of Sequence E – Settlement Details

3.14.6 Repetitive Optional Sequence F – Other Parties

The ASX will ignore this optional subsequence.

--->

Tag	Field Name	Allowable Content	Swift Status	Comments
-----	------------	-------------------	--------------	----------

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	OTHRPRT Y	M	This tag will be ignored by the ASX.
95a	Party	S, P, R or Q	M	This tag will be ignored by the ASX.
97A	Account	:4!c//35x	O	This tag will be ignored by the ASX.
70a	Narrative	E, C or D	O	This tag will be ignored by the ASX.
20C	Processing Reference	:4!c//16x	O	This tag will be ignored by the ASX.
16S	End of Block	OTHRPRT Y	M	This tag will be ignored by the ASX.

---| End of Sequence F – Other Parties

3.15 MT544 – Settlement Confirmation for Receive Free

Note: Only transactions originally entered via SWIFT will be eligible to receive a Settlement Confirmation.

3.15.1 Mandatory Sequence A – General Information

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	GENL	M	:16R:GENL
20C	Sender's Reference	:4!c//16x	M	Sender's Reference This will be a unique ASX SWIFT Gateway Sequence Number. :20C::SEME//SAMPLE544
23G	Function of the Message	NEWM	M	:23G:NEWM
98a	Preparation Date/Time	A or C	O	This tag will not be included.
22a	Indicator	H or F	O	This tag will not be included.

---> Repetitive Mandatory Subsequence A1 –Linkages

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	LINK	M	:16R:LINK
22F	Linkage Type Indicator	:4!c/[8c]/4!c	O	This tag will not be included.
13a	Linked Transaction	:4!c//3!c	O	This tag will not be included.
20C	Reference	:4!c//16x	M	This field will contain any Reference entered in Tag 20C from the original MT540 message If populated, the format of this field is :20C::RELA//Reference
16S	End of Block	LINK	M	:16S:LINK

---| End of Subsequence A1 - Linkages

Tag	Field Name	Allowable Content	Swift Status	Comments
16S	End of Block	GENL	M	:16S:GENL

End of Sequence A - General Information

3.15.2 Mandatory Sequence B – Trade Details

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	TRADDET	M	:16R:TRADDET
94B	Place of Trade	:4!c/[8c]/4!c [/30x]	O	This tag will not be included.
98a	Trade Date/Time	A, B or C	O	ASX will use option A 98A::TRAD//YYYYMMDD
98a	Settle Date/Time	A, B or C	M	ASX will use option A 98A::ESET//YYYYMMDD
90a	Trade Deal Price	A or B	O	ASX will use option B with the ACTU code 90B::DEAL//ACTU/AUD98,215
99A	Number of Days Accrued	:4!c//[N]3!n	O	This tag will not be included.
35B	Identification of the Financial Instrument	[ISIN1!e12! c] [4*35x]	M	:35B:ISIN AU0000XQLQC8

Optional Subsequence B1 - Financial Instrument Attributes

Tag	Field Name	Allowable Content	Swift Status	:35B:ISIN AU0000XQLQC8Comments
16R	Start of Block	FIA	M	:16R:FIA
94B	Place of Listing	:4!c/[8c]/4!c [/30x}	O	This tag will not be included
22F	Indicator	:4!c/[8c]/4!c	O	This tag will not be included.
12a	Type of Financial Instrument	A, C or B	O	This tag will not be included.
11A	Currency of Denomination	:4!c/3!a	O	This tag will not be included.
98A	Date	:4!c/8!n	O	This tag will not be included.
92A	Rate	:4!c//[N]15d	O	This tag will not be included.
13a	Number Identification	A or B	O	This tag will not be included.
17B	Flag	:4!c/1!a	O	This tag will not be included.
90a	Price	A or B	O	ASX will use option A with the EXER qualifier and YIEL code 90A::EXER//YIEL/99,9999
36B	Quantity of Financial Instrument	:4!c/4!c/15 d	O	This tag will not be included.
35B	Identification of the Financial Instrument	[ISIN1!e12! !c] [4*35x]	O	This tag will not be included.
70E	Financial Instrument Attribute Narrative	:4!c/10*35x	O	This tag will not be included.
16S	End of Block	FIA	M	:16S:FIA

End of Subsequence B1 –Financial Instrument Attributes

Tag	Field Name	Allowable Content	Swift Status	Comments
22F	Indicator	:4!c/[8c]/4!c	O	This tag will not be included.

Tag	Field Name	Allowable Content	Swift Status	Comments
70E	Narrative	:4!c//10*35x	O	This tag will not be included
.16S	End of Block	TRADDET	M	:16S:TRADDET

End of Sequence B – Trade Details

3.15.3 Repetitive Mandatory Sequence C – Financial Instrument/Account

→

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	FIAC	M	:16R:FIAC
36B	Quantity of Financial Instrument Settled	:4!c//4!c/15d	M	:36B::ESTT//FAMT/ 1000000,00 or ESTT//UNIT/1000000,00 The ASX will accept both FAMT and UNIT
19A	Settlement Amount	:4!c//[N]3!a15d	O	This tag will not be included.
70D	Denomination Choice	:4!c//6*35x	O	This tag will not be included.
95a	Account Owner	P or R	O	This tag will not be included
13B	Certificate Number	:4!c//[8c]/30x	O	This tag will not be included.
97a	Account	B or A	M	ASX will use option A which will contain the Sub-participant code :97A::SAFE//ABCD20
94a	Place of Safekeeping	B,C or F	O	This tag will not be included
16S	End of Block	FIAC	M	:16S:FIAC

~| End of Sequence C – Financial Instrument/Account

3.15.4 Optional Sequence D – Two Leg Transaction Details

This Optional Sequence will not be included by the ASX as Repo trades cannot be performed Free of Payment.

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	REPO	M	This tag will not be included.
98a	Repurchase Date/Time	A, B or C	O	This tag will not be included.
22F	Indicator	:4!c//[8c]/4!c	O	This tag will not be included.
20C	Second Leg Reference	:4!c//16x	O	This tag will not be included.
92a	Rate	C or A	O	This tag will not be included.
99B	Repurchase Call Delay	:4!c//3!n	O	This tag will not be included.
19A	Amount	:4!c//[N]3!a15d	O	This tag will not be included.
70C	Repurchase Narrative	:4!c//4*35x	O	This tag will not be included.
16S	End of Block	REPO	M	This tag will not be included.

End of Sequence D – REPO Details

3.15.5 Mandatory Sequence E – Settlement Details

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	SETDET	M	:16R:SETDET
22F	Indicator	:4!c/[8c]/4!c	M	This field denotes type of transaction. Will always be SETR//TRAD

--> Repetitive Mandatory Subsequence E1 – Settlement Parties

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	SETPRTY	M	:16R:SETPRTY
95a	Party	C, S, P, R or Q	M	<i>Transaction Counterparty</i> ASX will use option R DEAG/ACLR/Sub-Participant Austraclear (ACLR) is always the data source in second sub-field.
16S	End of Block	SETPRTY	M	:16S:SETPRTY
16R	End of Block	SETPRTY	M	:16R:SETPRTY
95P	Place of settlement	PSET	M	Under the new protocols introduced by Swift if tag 95R is present with DEAG, then 95P is to be populated with place of settlement BIC code eg; PSET//ACLRAU2S
97a	Safekeeping Account	C, A or B	O	This tag will not be included.
98a	Processing Date/Time	A or C	O	This tag will not be included.
20C	Processing Reference	:4!c//16x	O	This tag will not be included.
70a	Narrative	E, C or D	O	This tag will not be included.
16S	End of Block	SETPRTY	M	:16S:SETPRTY

--| End of Subsequence E1 – Settlement Parties

--> Repetitive Optional Subsequence E2 – Cash Parties
This Optional Sequence will not be included by the ASX.

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	CSHPRTY	M	This tag will not be included.
95a	Party	S, P, R or Q	M	This tag will not be included.
97A	Account	:4!c//35x	O	This tag will not be included.
20C	Reference	:4!c//16x	O	This tag will not be included.
70a	Narrative	E, C or D	O	This tag will not be included.
16S	End of Block	CSHPRTY	M	This tag will not be included.

---| End of Subsequence E2 – Cash Parties

---> Repetitive Mandatory Subsequence – E3 – Amounts

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	AMT	M	:16R:AMT
17B	Flag	:4!c//1!a	O	This tag will not be included.
19A	Amount	:4!c//[N]3!a 15d	M	:ESTT//AUD0,00 Used for consideration

Tag	Field Name	Allowable Content	Swift Status	Comments
98a	Value Date/Time	A or C	O	This tag will not be included.
92B	Exchange Rate	:4!c//3!a/3!a/15d	O	This tag will not be included.
16S	End of Block	AMT	M	:16S:AMT

---| End of Subsequence E3 – Amounts

Tag	Field Name	Allowable Content	Swift Status	Comments
16S	End of Block	SETDET	M	:16S:SETDET

End of Sequence E – Settlement Details

3.15.6 Repetitive Optional Sequence F – Other Parties

This Optional Sequence will not be included by the ASX

--->

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	OTHRPTY	M	This tag will not be included.
95a	Party	S, P, R or Q	M	This tag will not be included.
97A	Account	:4!c//35x	O	This tag will not be included.
70a	Narrative	E, C or D	O	This tag will not be included.
20C	Processing Reference	:4!c//16x	O	This tag will not be included.
16S	End of Block	OTHRPTY	M	This tag will not be included.

---| End of Sequence F – Other Parties

3.16 MT545 – Settlement Confirmation for Receive Against Payment

Note: Only transactions originally entered via SWIFT will be eligible to receive a Settlement Confirmation.

3.16.1 Mandatory Sequence A – General Information

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	GENL	M	:16R:GENL
20C	Sender's Reference	:4!c//16x	M	Sender's Reference This will be a unique ASX SWIFT Gateway Sequence Number. :20C::SEME//SAMPLE545
23G	Function of the Message	NEWM	M	:23G:NEWM
98a	Preparation Date/Time	A or C	O	This tag will not be included.
99B	Number Count	:4!c//3!n	O	This tag will not be included.
22a	Indicator	H or F	O	This tag will not be included.

---> Repetitive Optional Subsequence A1 –Linkages

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	LINK	M	:16R:LINK
22F	Linkage Type Indicator	:4!c/[8c]/4!c	O	This tag will not be included.
13a	Linked Transaction	:4!c//3!c	O	This tag will not be included.
20C	Reference	:4!c//16x	M	This field will contain any Reference entered in Tag 20C from the original MT541 message If populated, the format of this field is :20C::RELA//Reference
16S	End of Block	LINK	M	:16S:LINK

--| End of Subsequence A1 - Linkages

Tag	Field Name	Allowable Content	Swift Status	Comments
16S	End of Block	GENL	M	:16S:GENL

End of Sequence A - General Information

3.16.2 Mandatory Sequence B – Trade Details

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	TRADDET	M	:16R:TRADDET
94B	Place of Trade	:4!c/[8c]/4!c [/30x]	O	This tag will not be included.
98a	Trade Date/Time	A, B or C	O	ASX will use option A 98A::TRAD//YYYYMMDD

Tag	Field Name	Allowable Content	Swift Status	Comments
98a	Settle Date/Time	A, B or C	M	ASX will use option A 98A::ESET//YYYYMMDD
90a	Trade Deal Price	A or B	O	ASX will use option B with the ACTU code 90B::DEAL//ACTU/AUD99,9999
99A	Number of Days Accrued	:4!c//[N]3!n	O	This tag will not be included.
35B	Identification of the Financial Instrument	[ISIN1!e12!c] [4*35x]	M	:35B:ISIN AU0000XQLQC8

Optional Subsequence B1 - Financial Instrument Attributes

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	FIA	M	:16R:FIA
94B	Place of Listing	:4!c/[8c]/4!c [30x}	O	This tag will not be included
22F	Indicator	:4!c/[8c]/4!c	O	This tag will not be included.
12a	Type of Financial Instrument	A, C or B	O	This tag will not be included.
11A	Currency of Denomination	:4!c//3!a	O	This tag will not be included.
98A	Date	:4!c//8!n	O	This tag will not be included.
92A	Rate	:4!c//[N]15d	O	This tag will not be included.
13a	Number Identification	A or B	O	This tag will not be included.
17B	Flag	:4!c//1!a	O	This tag will not be included.
90a	Price	A or B	O	ASX will use option A with the EXER qualifier and the YIEL code :90A:: EXER//YIEL/99,9999
36B	Quantity of Financial Instrument	:4!c//4!c/15d	O	This tag will not be included.
35B	Identification of the Financial Instrument	[ISIN1!e12!c] [4*35x]	O	This tag will not be included
70E	Financial Instrument Attribute Narrative	:4!c//10*35x	O	This tag will not be included.
16S	End of Block	FIA	M	:16S:FIA

End of Subsequence B1 –Financial Instrument Attributes

Tag	Field Name	Allowable Content	Swift Status	Comments
22F	Indicator	:4!c/[8c]/4!c	O	This tag will not be included.
70E	Narrative	:4!c//10*35x	O	This tag will not be included.
16S	End of Block	TRADDET	M	:16S:TRADDET

End of Sequence B – Trade Details

3.16.3 Repetitive Mandatory Sequence C – Financial Instrument/Account

-->

Tag	Field Name	Allowable Content	Swift Status	Comments
-----	------------	-------------------	--------------	----------

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	FIAC	M	:16R:FIAC
36B	Quantity of Financial Instrument to be Settled	:4!c//4!c/15d	M	:36B::ESTT//FAMT/AUD6500000,00 or ESTT//UNIT/AUD6500000,00 The ASX will accept both FAMT and UNIT
19A	Settlement Amount	:4!c//[N]3!a15d	O	This tag will not be included.
70D	Denomination Choice	:4!c//6*35x	O	This tag will not be included.
13B	Certificate Number	:4!c//[8c]/30x	O	This tag will not be included.
95a	Party	P or R		This tag will not be included.
97a	Account	B or A	M	ASX will use option A which will contain the Sub-participant code :97A::SAFE//ABCD20
94a	Place of Safekeeping	B,C or F	O	This tag will not be included.
16S	End of Block	FIAC	M	:16S:FIAC

---| End of Sequence C – Financial Instrument/Account

3.16.4 Optional Sequence D – Two Leg Transaction Details

This Optional Sequence will not be included by the ASX

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	REPO	M	This tag will not be included
98a	Repurchase Date/Time	A, B or C	O	This tag will not be included.
22F	Indicator	:4!c//[8c]/4!c	O	This tag will not be included.
20C	Second Leg Reference	:4!c//16x	O	This tag will not be included.
92a	Rate	C or A	O	This tag will not be included.
99B	Repurchase Call Delay	:4!c//3!n	O	This tag will not be included.
19A	Amount	:4!c//[N]3!a15d	O	This tag will not be included.
70C	Repurchase Narrative	:4!c//4*35x	O	This tag will not be included.
16S	End of Block	REPO	M	This tag will not be included

End of Sequence D – REPO Details

3.16.5 Mandatory Sequence E – Settlement Details

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	SETDET	M	:16R:SETDET
22F	Indicator	:4!c//[8c]/4!c	M	This field denote type of transactions. SETR//TRAD or SETR//REPU

---> Repetitive Mandatory Subsequence E1 – Settlement Parties

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	SETPRTY	M	:16R:SETPRTY

Tag	Field Name	Allowable Content	Swift Status	Comments
95a	Party	C, S, P, R or Q	M	<i>Transaction Counterparty</i> ASX will use option R DEAG/ACLR/Sub-Participant Austraclear (ACLR) is always the data source in second sub-field.
16S	End of Block	SETPRTY	M	:16S:SETPRTY
16R	End of Block	SETPRTY	M	:16R:SETPRTY
95P	Place of settlement	PSET	M	Under the new protocols introduced by Swift if tag 95R is present with DEAG, then 95P is to be populated with place of settlement BIC code eg; PSET//ACLRAU2S
97a	Safekeeping Account	A or B	O	This tag will not be included.
98a	Processing Date/Time	A or C	O	This tag will not be included.
20C	Processing Reference	:4!c//16x	O	This tag will not be included.
70a	Narrative	E, C or D	O	This tag will not be included.
16S	End of Block	SETPRTY	M	:16S:SETPRTY

---| End of Subsequence E1 – Settlement Parties

---> Repetitive Optional Subsequence E2 – Cash Parties

This Optional Sequence will not be included by the ASX.

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	CSHPRTY	M	This tag will not be included.
95a	Party	S, P, R or Q	M	This tag will not be included.
97A	Account	:4!c//35x	O	This tag will not be included.
20C	Reference	:4!c//35x	O	This tag will not be included.
70a	Narrative	E, C or D	O	This tag will not be included.
16S	End of Block	CSHPRTY	M	This tag will not be included.

---| End of Subsequence E2 – Cash Parties

---> Repetitive Mandatory Subsequence E3 - Amounts

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	AMT	M	:16R:AMT
17B	Flag	:4!c//1!a	O	This tag will not be included.
19A	Amount	:4!c//[N]3!a 15d	M	ESTT//AUD5653950,00 Used for consideration
98a	Value Date/Time	A or C	O	This tag will not be included.
92B	Exchange Rate	:4!c//3!a/3!a /15d	O	This tag will not be included.
16S	End of Block	AMT	M	:16S:AMT

---| End of Subsequence E3 – Amounts

Tag	Field Name	Allowable Content	Swift Status	Comments
16S	End of Block	SETDET	M	:16S: SETDET

End of Sequence E – Settlement Details

3.16.6 Repetitive Optional Sequence F – Other Parties

This Optional Sequence will not be included by the ASX

-->

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	OTHRPRT Y	M	This tag will not be included.
95a	Party	S, P, R or Q	M	This tag will not be included.
97A	Account	:4!c//35x	O	This tag will not be included.
70a	Narrative	E, C or D	O	This tag will not be included.
20C	Processing Reference	:4!c//16x	O	This tag will not be included.
16S	End of Block	OTHRPRT Y	M	This tag will not be included.

---| End of Sequence F – Other Parties

3.17 MT546 – Settlement Confirmation for Deliver Free

Note: Only transactions originally entered via SWIFT will be eligible to receive a Settlement Confirmation.

3.17.1 Mandatory Sequence A – General Information

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	GENL	M	:16R:GENL

Tag	Field Name	Allowable Content	Swift Status	Comments
20C	Sender's Reference	:4!c//16x	M	Sender's Reference This will be a unique ASX SWIFT Gateway Sequence Number. :20C::SEME//SAMPLE546
23G	Function of the Message	NEWM	M	:23G:NEWM
98a	Preparation Date/Time	A or C	O	This tag will not be included.
22a	Indicator	H or F	O	This tag will not be included.

---> Repetitive Optional Subsequence A1 –Linkages

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	LINK	M	:16R:LINK
22F	Linkage Type Indicator	:4!c/[8c]/4!c	O	This tag will not be included.
13a	Linked Transaction	:4!c//3!c	O	This tag will not be included.
20C	Reference	:4!c//16x	M	This field will contain any Reference entered in Tag 20C from the original MT542 message If populated, the format of this field is :20C::RELA//Reference
16S	End of Block	LINK	M	:16S:LINK

---| End of Subsequence A1 - Linkages

Tag	Field Name	Allowable Content	Swift Status	Comments
16S	End of Block	GENL	M	:16S:GENL

End of Sequence A - General Information

3.17.2 Mandatory Sequence B – Trade Details

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	TRADDET	M	:16R:TRADDET
94B	Place of Trade	:4!c/[8c]/4!c [30x]	O	This tag will not be included.
98a	Trade Date/Time	A, B or C	O	ASX will use option A 98A::TRAD//YYYYMMDD
98a	Settle Date/Time	A, B or C	M	ASX will use option "A" 98A::ESET//YYYYMMDD
90a	Deal Price	A or B	O	ASX will use option B with the ACTU code 90B::DEAL//ACTU/AUD98,215
99A	Number of Days Accrued	:4!c//[N]3!n	O	This tag will not be included.
35B	Identification of the Financial Instrument	[ISIN1!e12! c] [4*35x]	M	:35B:ISIN AU0000XQLQC8

3.17.3 Optional Subsequence B1 - Financial Instrument Attributes

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	FIA	M	:16R:FIA
94B	Place of Listing	:4!c/[8c]/4!c [/30x}	O	This tag will not be included
22F	Indicator	:4!c/[8c]/4!c	O	This tag will not be included.
12a	Type of Financial Instrument	A, C or B	O	This tag will not be included.
11A	Currency of Denomination	:4!c//3!a	O	This tag will not be included.
98A	Date	:4!c//8!n	O	This tag will not be included.
92A	Rate	:4!c//[N]15d	O	This tag will not be included.
13a	Number Identification	A or B	O	This tag will not be included.
17B	Flag	:4!c//1!a	O	This tag will not be included.
90a	Price	A or B	O	ASX will use option A with the EXER qualifier and YIEL code 90A::EXER//YIEL/99,9999
35B	Identification of the Financial Instrument	[ISIN1!e12 !c] [4*35x]	O	This tag will not be included
36B	Quantity of Financial Instrument	:4!c//4!c/15d	O	This tag will not be included.
70E	Financial Instrument Attribute Narrative	:4!c//10*35x	O	This tag will not be included.
16S	End of Block	FIA	M	:16S:FIA

End of Subsequence B1 –Financial Instrument Attributes

Tag	Field Name	Allowable Content	Swift Status	Comments
22F	Indicator	:4!c/[8c]/4!c	O	This tag will not be included.
70E	Narrative	:4!c//10*35x	O	This tag will not be included.
16S	End of Block	TRADDET	M	:16S:TRADDET

End of Sequence B – Trade Details

3.17.4 Repetitive Mandatory Sequence C – Financial Instrument/Account

⇒

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	FIAC	M	:16R:FIAC
36B	Quantity of Financial Instrument to be Settled	:4!c//4!c/15d	M	:36B::ESTT//FAMT/1000000,00 or ESTT//UNIT/1000000,00 The ASX will accept both FAMT and UNIT
19A	Settlement Amount	:4!c//[N]3!a 15d	O	This tag will not be included.
70D	Denomination Choice	:4!c//6*35x	O	This tag will not be included.
13B	Certificate Number	:4!c/[8c]/30x	O	This tag will not be included.
95a	Party	P or R	O	This tag will not be included.

Tag	Field Name	Allowable Content	Swift Status	Comments
97a	Account	B or A	M	ASX will use option A which will contain the Sub-participant code :97A::SAFE//ABCD20
94a	Place of Safekeeping	B,C or F	O	This tag will not be included.
16S	End of Block	FIAC	M	:16S:FIAC

---| End of Sequence C – Financial Instrument/Account

3.17.5 Optional Sequence D – Two Leg Transaction Details

This Optional Sequence will not be included by the ASX as Repo trades cannot be performed Free of Payment.

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	REPO	M	This tag will not be included.
98a	Repurchase Date/Time	A, B or C	O	This tag will not be included.
22F	Indicator	:4!c/[8c]/4!c	O	This tag will not be included.
20C	Second Leg Reference	:4!c//16x	O	This tag will not be included.
92a	Rate	C or A	O	This tag will not be included.
99B	Repurchase Call Delay	:4!c//3!n	O	This tag will not be included.
19A	Amount	:4!c//[N]3!a 15d	O	This tag will not be included.
70C	Repurchase Narrative	:4!c//4*35x	O	This tag will not be included.
16S	End of Block	REPO	M	This tag will not be included.

End of Sequence D – REPO Details

3.17.6 Mandatory Sequence E – Settlement Details

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	SETDET	M	:16R:SETDET
22F	Indicator	:4!c/[8c]/4!c	M	This field denotes type of transaction. Will always be SETR//TRAD

---> Repetitive Mandatory Subsequence E1 – Settlement Parties

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	SETPRTY	M	:16R:SETPRTY
95a	Party	C, S, P, R or Q	M	<i>Transaction Counterparty</i> ASX will use option R REAG/ACLR/Sub-Participant Austraclear (ACLR) is always the data source in second sub-field.
16S	End of Block	SETPRTY	M	:16S:SETPRTY
16R	End of Block	SETPRTY	M	:16R:SETPRTY

Tag	Field Name	Allowable Content	Swift Status	Comments
95P	Place of settlement	PSET	M	Under the new protocols introduced by Swift if tag 95R is present with REAG, then 95P is to be populated with place of settlement BIC code eg; PSET//ACLRAU2S
97a	Safekeeping Account	A or B	O	This tag will not be included.
98a	Processing Date/Time	A or C	O	This tag will not be included.
20C	Processing Reference	:4!c//16x	O	This tag will not be included.
70a	Narrative	E, C or D	O	This tag will not be included.
16S	End of Block	SETPRTY	M	:16S:SETPRTY

---| End of Subsequence E1 – Settlement Parties

---> Repetitive Optional Subsequence E2 – Cash Parties
This Optional Sequence will not be included by the ASX.

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	CSHPRTY	M	This tag will not be included.
95a	Party	S, P, R or Q	M	This tag will not be included.
97A	Account	:4!c//35x	O	This tag will not be included.
20C	Reference	:4!c//16x		
70a	Narrative	E, C or D	O	This tag will not be included.
16S	End of Block	CSHPRTY	M	This tag will not be included.

---| End of Subsequence E2 – Cash Parties

---> Repetitive Mandatory Subsequence E3 - Amounts

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	AMT	M	:16R:AMT
17B	Standing Instructions Override Flag	:4!c//1!a	O	This tag will not be included.
19A	Amount	:4!c//[N]3!a 15d	M	ESTT//AUD0,00 Used for consideration
98a	Value Date/Time	A or C	O	This tag will not be included.
92B	Exchange Rate	:4!c//3!a/3!a /15d	O	This tag will not be included.
16S	End of Block	AMT	M	:16S:AMT

---| End of Subsequence E3 – Amounts

Tag	Field Name	Allowable Content	Swift Status	Comments
16S	End of Block	SETDET	M	:16S: SETDET

End of Sequence E – Settlement Details

3.17.7 Repetitive Optional Sequence F – Other Parties

This Optional Sequence will not be included by the ASX
-->

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	OTHRPRT Y	M	This tag will not be included.
95a	Party	S, P, R or Q	M	This tag will not be included.
97A	Account	:4!c//35x	O	This tag will not be included.
70a	Narrative	E, C or D	O	This tag will not be included.
20C	Processing Reference	:4!c//16x	O	This tag will not be included.
16S	End of Block	OTHRPRT Y	M	This tag will not be included.

End of Sequence F – Other Parties

3.18 MT547 – Settlement Confirmation for Deliver with Payment

Note: Only transactions originally entered via SWIFT will be eligible to receive a Settlement Confirmation.

3.18.1 Mandatory Sequence A – General Information

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	GENL	M	:16R:GENL
20C	Sender's Reference	:4!c//16x	M	Sender's Reference This will be a unique ASX SWIFT Gateway Sequence Number. :20C::SEME//SAMPLE547
23G	Function of the Message	NEWM	M	:23G:NEWM
98a	Preparation Date/Time	A or C	O	This tag will not be included.
99B	Number Count	:4!c//3!n	O	This tag will not be included.
22a	Indicator	H or F	O	This tag will not be included.

---> Repetitive Optional Subsequence A1 –Linkages

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	LINK	M	:16R:LINK
22F	Linkage Type Indicator	:4!c/[8c]/4!c	O	This tag will not be included.
13a	Linked Transaction	:4!c//3!c	O	This tag will not be included.
20C	Reference	:4!c//16x	M	This field will contain any Reference entered in Tag 20C from the original MT541 message If populated, the format of this field is :20C::RELA//Reference
16S	End of Block	LINK	M	:16S:LINK

---| End of Subsequence A1 - Linkages

Tag	Field Name	Allowable Content	Swift Status	Comments
16S	End of Block	GENL	M	:16S:GENL

End of Sequence A - General Information

3.18.2 Mandatory Sequence B – Trade Details

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	TRADDET	M	:16R:TRADDET
94B	Place of Trade	:4!c/[8c]/4!c [/30x]	O	This tag will not be included.
98a	Trade Date/Time	A, B or C	O	ASX will use option A 98A::TRAD//YYYYMMDD

Tag	Field Name	Allowable Content	Swift Status	Comments
98a	Settle Date/Time	A, B or C	M	ASX will use option A 98A::ESET//YYYYMMDD
90a	Deal Price	A or B	O	ASX will use option B with the ACTU code 90B::DEAL//ACTU/AUD99,9999
99A	Number of Days Accrued	:4!c//[N]3!n	O	This tag will not be included.
35B	Identification of the Financial Instrument	[ISIN1!e12!c] [4*35x]	M	:35B:ISIN AU0000XQLQC8

Optional Subsequence B1 - Financial Instrument Attributes

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	FIA	M	:16R:FIA
94B	Place of Listing	:4!c/[8c]/4!c [30x}	O	This tag will not be included
22F	Indicator	:4!c/[8c]/4!c	O	This tag will not be included.
12a	Type of Financial Instrument	A, C or B	O	This tag will not be included.
11A	Currency of Denomination	:4!c//3!a	O	This tag will not be included.
98A	Date	:4!c//8!n	O	This tag will not be included.
92A	Rate	:4!c//[N]15d	O	This tag will not be included.
13a	Number Identification	A or B	O	This tag will not be included.
17B	Flag	:4!c//1!a	O	This tag will not be included.
90a	Price	A or B	O	ASX will use option A with the EXER qualifier and the YIEL code :90A:: EXER//YIEL/99,9999
35B	Identification of the Financial Instrument	[ISIN1!e12!c] [4*35x]	O	This tag will not be included
36B	Quantity of Financial Instrument	:4!c//4!c/15d	O	This tag will not be included.
70E	Financial Instrument Attribute Narrative	:4!c//10*35x	O	This tag will not be included.
16S	End of Block	FIA	M	:16S:FIA

End of Subsequence B1 –Financial Instrument Attributes

Tag	Field Name	Allowable Content	Swift Status	Comments
22F	Indicator	:4!c/[8c]/4!c	O	This tag will not be included.
11A	Currency	:4!c//3!a	O	This tag will not be included.
25D	Status	:4!c/[8c]/4!c	O	This tag will not be included.
70E	Narrative	:4!c//10*35x	O	This tag will not be included.
16S	End of Block	TRADDET	M	:16S:TRADDET

End of Sequence B – Trade Details

3.18.3 Repetitive Mandatory Sequence C – Financial Instrument/Account

-->

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	FIAC	M	:16R:FIAC
36B	Quantity of Financial Instrument to be Settled	:4!c//4!c/15d	M	:36B::ESTT//FAMT/ AUD6500000,00 or ESTT//UNIT/AUD6500000,00 The ASX will accept both FAMT and UNIT
19A	Settlement Amount	:4!c//[N]3!a15d	O	This tag will not be included.
70D	Denomination Choice	:4!c//6*35x	O	This tag will not be included.
13B	Certificate Number	:4!c//[8c]/30x	O	This tag will not be included.
95a	Party	P or R	O	This tag will not be included.
97a	Account	B or A	M	ASX will use option A which will contain the Sub-participant code :97A::SAFE//ABCD20
94a	Place of Safekeeping	B,C or F	O	This tag will not be included.
16S	End of Block	FIAC	M	:16S:FIAC

---| End of Sequence C – Financial Instrument/Account

3.18.4 Optional Sequence D – Two Leg Transaction Details

This Optional Sequence will not be included by the ASX

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	REPO	M	This tag will not be included
98a	Repurchase Date/Time	A, B or C	O	This tag will not be included.
22F	Indicator	:4!c//[8c]/4!c	O	This tag will not be included.
20C	Second Leg Reference	:4!c//16x	O	This tag will not be included.
92a	Rate	C or A	O	This tag will not be included.
99B	Repurchase Call Delay	:4!c//3!n	O	This tag will not be included.
19A	Amount	:4!c//[N]3!a15d	O	This tag will not be included.
70C	Repurchase Narrative	:4!c//4*35x	O	This tag will not be included.
16S	End of Block	REPO	M	This tag will not be included

End of Sequence D – REPO Details

3.18.5 Mandatory Sequence E – Settlement Details

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	SETDET	M	:16R:SETDET
22F	Indicator	:4!c//[8c]/4!c	M	This field denote type of transactions. SETR//TRAD or SETR//REPU

---> Repetitive Mandatory Subsequence E1 – Settlement Parties

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	SETPRTY	M	:16R:SETPRTY

Tag	Field Name	Allowable Content	Swift Status	Comments
95a	Party	C, S, P, R or Q	M	<i>Transaction Counterparty</i> ASX will use option R REAG/ACLR/Sub-Participant Austraclear (ACLR) is always the data source in second sub-field.
16S	End of Block	SETPRTY	M	:16S:SETPRTY
16R	End of Block	SETPRTY	M	:16R:SETPRTY
95P	Place of settlement	PSET	M	Under the new protocols introduced by Swift if tag 95R is present with REAG, then 95P is to be populated with place of settlement BIC code eg; PSET//ACLRAU2S
97a	Safekeeping Account	A or B	O	This tag will not be included.
98a	Processing Date/Time	A or C	O	This tag will not be included.
20C	Processing Reference	:4!c//16x	O	This tag will not be included.
70a	Narrative	E, C or D	O	This tag will not be included.
16S	End of Block	SETPRTY	M	:16S:SETPRTY

---| End of Subsequence E1 – Settlement Parties

---> Repetitive Optional Subsequence E2 – Cash Parties

This Optional Sequence will not be included by the ASX.

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	CSHPRTY	M	This tag will not be included.
95a	Party	S, P, R or Q	M	This tag will not be included.
97A	Account	:4!c//35x	O	This tag will not be included.
20C	Reference	:4!c//16x	O	This tag will not be included.
70a	Narrative	E, C or D	O	This tag will not be included.
16S	End of Block	CSHPRTY	M	This tag will not be included.

---| End of Subsequence E2 – Cash Parties

---> Repetitive Mandatory Subsequence E3 - Amounts

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	AMT	M	:16R:AMT
17B	Standing Instructions Override Flag	:4!c//1!a	O	This tag will not be included.
19A	Amount	:4!c//[N]3!a 15d	M	ESTT//AUD5653950,00 Used for consideration
98a	Value Date/Time	A or C	O	This tag will not be included.
92B	Exchange Rate	:4!c//3!a/3!a/ 15d	O	This tag will not be included.
16S	End of Block	AMT	M	:16S:AMT

---| End of Subsequence E3 – Amounts

Tag	Field Name	Allowable Content	Swift Status	Comments
-----	------------	-------------------	--------------	----------

Tag	Field Name	Allowable Content	Swift Status	Comments
16S	End of Block	SETDET	M	:16S:SETDET

End of Sequence E – Settlement Details

3.18.6 Repetitive Optional Sequence F – Other Parties

This Optional Sequence will not be included by the ASX

~>

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	OTHRPRT Y	M	This tag will not be included.
95a	Party	S, P, R or Q	M	This tag will not be included.
97A	Account	:4!c//35x	O	This tag will not be included.
70a	Narrative	E, C or D	O	This tag will not be included.
20C	Processing Reference	:4!c//16x	O	This tag will not be included.
16S	End of Block	OTHRPRT Y	M	This tag will not be included.

---| End of Sequence F – Other Parties

3.19 MT549 – Request for Statement/Status Advice

3.19.1 Mandatory Sequence A – General Information

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	GENL	M	:16R:GENL
20C	Sender's Reference	:4!c//16x	M	:20C://TRN123456 Transaction reference included by the sending participant. Must be unique within 14 days across all message types.
23G	Function of message	4!C[/4!C]	M	:23G: NEWM
98a	Statement Date/Time	A	O	Is the date for which statement details are requested. Note: Back Dated Statements can be requested.
69a	Statement Period	A or B	O	This tag is ignored.
13A	Requested Message Type	:4!c//3!c	M	Only 535 will be available. :13A::REQU//535
95a	Party	P or R	O	This tag will be ignored
97a	Safekeeping Account	A	M	Only option A will be available. Option B will be ignored by ASX :97A::SAFE//ALL for all accounts for the sender participant including all proxy relationships :97A::SAFE//Sub-Participant for all accounts for the Sub-Participant :97A::SAFE//Sub-Participant Account for details of the specified Account for the Sub-Participant. The Account name must follow immediately after the Sub-Participant code.
22F	Complete/Updates Indicator	:4!c/[8c]/4!c	O	This tag is ignored.
16S	End of Block	GENL	M	:16S:GENL

Note: If “ALL” holdings are requested in Tag 97a “ALL” holdings will all constitute holdings for that participant as well as all holdings for every participant that has a proxy relationship with that participant.

End of Sequence A – General Information

3.19.2 Repetitive Optional Subsequence A1 Linkages

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	LINK	M	:16R:LINK
13a	Linked message	LINK	O	This tag is ignored.
20C	Reference	:4!c//16x	M	This tag is ignored.
16S	Identification of the Financial Instrument	[ISIN!e12!c][4*35x]	O	This tag is ignored.
16S	End of Block	LINK	M	:16S:LINK

End of Sequence B – Statement by Status/Reason and/or by Financial Instrument

3.19.3 Repetitive Optional Sequence B – Statement by Status/Reason and/or by Financial Instrument

-->

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	BYSTARE A	M	:16R:BYSTAREA
25D	Status	:4!c/[8c]/4!c	O	This tag is ignored.
24B	Reason	:4!c/[8c]/4!c	O	This tag is ignored.
35B	Identification of the Financial Instrument	[ISIN!e12!c][4*35x]	O	This tag is ignored.
16S	End of Block	BYSTARE A	M	:16S:BYSTAREA

End of Sequence B – Statement by Status/Reason and/or by Financial Instrument

3.19.4 Optional Sequence C – Statement by Instruction Reference

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	REF	M	:16R:REF
20C	Previous Reference	:4!c//16x	M	This field will be ignored.
16S	End of Block	REF	M	:16S:REF

End of Sequence C – Statement by Instruction Reference

3.19.5 Optional Sequence D – Additional Information

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	ADDINFO	M	:16R:ADDINFO
95a	Party	P, R or Q	O	This field will be ignored.
16S	End of Block	ADDINFO	M	:16S:ADDINFO

End of Sequence D – Additional Information

3.20 MT564 - SWIFT Corporate Action Notification Message

Mandatory Sequence A – General Information

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	GENL	M	:16R:GENL
20C	Corporate Action Reference	:4!c//16x	M	:20C::CORP//<Austraclear Series Code> Corporate Action Reference If the Austraclear Series Code (Underlying Series) exceeds 16 characters in length when use the first 16 characters to the left.
20C	Sender's Reference	:4!c//16x	M	:20C::SEME//<Generated number from sequence generator > Sender's Reference
23G	Function of the Message	NEWM	M	:23G:NEWM (For New Messages) NEWM for New Messages :23G:REPE (for Amendment Messages) REPE for Amendment Messages. In this case Optional Subsequence A1- Linkages is Mandatory and must include "20C:PREV//(Previous Senders Reference of the Original Corporate Action Notification Message sent for the particular Corporate Action) :23G:CANC (for Cancel Messages) In this case Optional Subsequence A1- Linkages is Mandatory and must include "20C:PREV//(Previous Senders Reference of the last Corporate Action Notification Message sent for the particular Corporate Action)

Tag	Field Name	Allowable Content	Swift Status	Comments
22F	Linkage Type Indicator (Corp Action Event Indicator)	:4!c/[8c]/4!c	M	:22F::CAEV//INTR (CAEV/(4 Char Code) from following list based on Corporate Action Type in Austraclear) INTR – <u>Interest Payment (Coupon)</u> MCAL – Full, <u>early redemption</u> of an entire issue before final maturity PRED – <u>Partial redemption</u> - Securities are redeemed in part before their scheduled final maturity date without reduction of the nominal value of the securities. This is commonly done by pool factor reduction. (Capital Value reduction is the terminology used in Austraclear). REDM – <u>Final Maturity</u> – The Redemption of an entire issue of outstanding securities at final maturity.
22F	Linkage Type Indicator (Mandatory / Voluntary Indicator)	:4!c/[8c]/4!c	M	:22F::CAMV//MAND Participation in CA event is mandatory
98a	Preparation Date/Time	A or C	M	:98A::PREP//20091222 PREP//YYYYMMDD Date of preparation
25D	Processing Status	:4!c[8c]/4!c	M	:25D::PROC//COMP COMP = Message contains complete details of the Corp Action at time of sending, however, Updates can still be made to the CA.

---> Repetitive Optional Subsequence A1 –Linkages <Used only for “REPE” and “CANC” Messages, used to note linked “NEWM” message (or previous REPE Message reference)>

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	LINK	M	:16R:LINK
22F	Linkage Type Indicator	:4!c/[8c]/4!c	O	<Field not used>
13a	Linked Transaction	:4!c/3!c	O	<Field not used>
20C	Reference	:4!c//16x	M	:20C::PREV//<Previous message reference, taken from 20C (all characters after SEME//)>
16S	End of Block	LINK	M	:16S:LINK

End of Subsequence A1 - Linkages

Tag	Field Name	Allowable Content	Swift Status	Comments
16S	End of Block	GENL	M	:16S:GENL

End of Sequence A - General Information

Mandatory Sequence B – Underlying Securities

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	USECU	M	:16R:USECU
35B	Identification of the Financial Instrument	[ISIN1!e12! c] [4*35]	M	:35B:ISIN <ISIN Number> ISIN of the Security for which a Corporate Action is applicable.

Optional Subsequence B1 - Financial Instrument Attributes <Not used>

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	FIA	M	<Field not used>
94B	Place of Listing	:4!c/[8c]/4!c [/30x}	O	<Field not used>
22F	Indicator Method of Interest Computation Indicator	:4!c/[8c]/4!c	O	<Field not used>
12a	Type of Financial Instrument	A, C or B	O	<Field not used>
11A	Currency of Denomination	:4!c//3!a	O	<Field not used>
98A	Date	:4!c//8!n	O	<Field not used>
92A	Rate	:4!c//[N]15d	O	<Field not used>
36B	Quantity of Financial Instrument	:4!c//4!c/15 d	O	<Field not used>
16S	End of Block	FIA	M	<Field not used>

End of Subsequence B1 –Financial Instrument Attributes

Mandatory Repetitive Subsequence B2 Account Information

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	ACCTINFO	M	:16R:ACCTINFO
95a	Account Owner	P or R	O	:95R::ACOW/ACLR/NABL30 (Sub-Participant Branch of holder)

Tag	Field Name	Allowable Content	Swift Status	Comments
97a	Safekeeping Account	A or C	M	:97A::SAFE//HOUSE (Safe-keeping account)
94a	Place of Safekeeping	B, C or F	O	<Not used>
93a	Balance	B or C	O	:93B::ELIG//FAMT/<\$Face Value of holding from Austraclear> ELIG = Security Holding eligible for Corporate Action FAMT = Face Value of the security holding
16S	End of Block	ACCTINFO	M	:16S:ACCTINFO

End of Repetitive Subsequence B2 Account Information

Tag	Field Name	Allowable Content	Swift Status	Comments
16S	End of Block	USECU	M	:16S:USECU

End of Sequence B Account Information

Optional Sequence C – Intermediate Securities <Not used>

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	INTSEC	M	<Field not used>
35B	Identification of Financial Instrument	[ISIN!e12!c] [4*35]	M	<Field not used>
36a	Quantity of Financial Instrument	B or E	O	<Field not used>
93a	Balance	B or C	O	<Field not used>
22F	Indicator	:4!c/[8c]/4!c	O	<Field not used>
92D	Rate	:4!c//15d/15d	O	<Field not used>
90B	Price	:4!c//4!c/3!a15d	O	<Field not used>
98a	Date/Time	A or B	M	<Field not used>
69a	Period	A, B, C, D, E or F	O	<Field not used>
16S	End of Block	INTSEC	M	<Field not used>

End of Sequence C – Intermediate Securities

Optional Sequence D – Corporate Action Details

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	CADETL	M	:16R:CADETL
98a	Date/Time	A	M	:98A::RDTE//20091228 (Record Date) (Always Shown)

Tag	Field Name	Allowable Content	Swift Status	Comments
69a	Period	A	C	:69A:INPE//20110602/20111202 Interest Period included for Interest Payment only (CA Event Type INTR Only).
99a	Number of Days Accrued	:4!c//[N]3!n	O	<Field not used>
92a	Rate	A	C	:92A:PRFC//0,849655921 (Previous Capital Value/Pool factor) Previous Capital Value included for Partial Redemption only (CA Event Type PRED Only).
92a	Rate	A	C	:92A:NWFC//0,843965216 (New Capital Value/Pool Factor) New Capital Value included for Partial Redemption only (CA Event Type PRED Only).
90A	Price			<Field not used>
36a	Quantity of Financial Instrument	B or C	O	<Field not used>
13a	Coupon Number	A OR B	O	<Field not used>
17B	Flag	:4!c//1!a	O	<Field not used>
22F	Indicator	:4!c/[8c]/4!c	O	<Field not used>
94G	Place	:4!c//2*35x	O	<Field not used>
70a	Narrative	E or G	O	<Field not used>
16S	End of Block	CADETL	M	:16S:CADETL

End of Sequence D – Corporate Action Details

Optional Repetitive Sequence E – Corporate Action Options

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	CAOPTN	M	:16R:CAOPTN
13A	CA Option Number	:4!c//3!c	M	:13A::CAON//001 This will always be 001
22F	Indicator	:4!c[8c]/4!c	M	:22F::CAOP//CASH Distribution of Cash to Holders
94C	Place	:4!c//2!a	O	<Field not used>
11A	Currency Option	:4!c//3!a	M	:11A::OPTN//AUD The currency of the Corporate Action Benefit in Austraclear
17B	Flag	:4!c//1!a	M	:17B::DFLT//Y
35B	Identification of Financial Instrument	[ISIN1!e12!c] [4*35]	O	<Field not used>
98a	Date/Time	A, B, C or D	O	<Field not used>
69a	Period	A, B, C, D, E, F or J	O	<Field not used>
92a	Rate	A	C	<Field not used>
90a	Price	A, B, D, E, F, J or K	O	<Field not used>
36B	Quantity of Financial Instrument	:4!c//4!c/15d	O	<Field not used>

Optional Repetitive SubSequence E1 – Securities Movement <Only used for Maturity Corporate Actions – CA Event Type REDM Only>

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	SECMOVE	M	:16R:SECMOVE
22a	Indicator	H	M	:22H::CRDB/DEBT (Debit the securities currently held, maturity only)
35B	Identification of Financial Instrument	[ISIN1!e12!c] [4*35]	M	:35B:ISIN AU1234567890 Identification of the instrument

Optional SubSequence E1a – Financial Instrument Attributes (Not used)

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	FIA	M	<Field not used>
94B	Place of Listing	:4!c/[8c]/4!c{/30x}	O	<Field not used>
22F	Indicator	:4!c/[8c]/4!c	O	<Field not used>

Tag	Field Name	Allowable Content	Swift Status	Comments
12a	Type of Financial Instrument	A, C or B	O	<Field not used>
11A	Currency of Denomination	:4!c//3!a	O	<Field not used>
98A	Date	:4!c//8!n	O	<Field not used>
92A	Rate	:4!c//[N]15d	O	<Field not used>
36B	Quantity of Financial Instrument	:4!c//4!c/15d	O	<Field not used>
16S	End of Block	FIA	M	<Field not used>

End of Optional SubSequence E1a – Financial Instrument Attributes

Tag	Field Name	Allowable Content	Swift Status	Comments
36B	Quantity of Financial Instrument	:4!c//4!c/15d	M	:36B::ENTL//FAMT/12000000 Quantity to be debited from the holder at maturity.
22F	Indicator (Disposition of Fractions)	:4!c//[8c]/4!c	O	<Field not used>
11A	Currency Option	:4!c//3!a/	O	<Field not used>
69a	Period	A, B, C, D, E, F or J	O	<Field not used>
90a	Price	A, B, E, F, J or K	O	<Field not used>
92a	Rate	A B D F K L M or N	O	<Field not used>
98A	Date	:4!c//8!n	M	:98A::PAYD//20091228 Date securities will be debited.
16S	End of Block	SECMOVE	M	:16S:SECMOVE

Optional Repetitive SubSequence E2 – Cash Movement

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	CASHMOVE	M	:16R:CASHMOVE (NA)
22H	Indicator	:4!c//4!c	M	:22H::CRDB//CRED Corporate Action is for a cash payment
97A	Cash Account	:4!c//35x	M	:97A::CASH//112879-045130941 Cash Record Code of the Sub-participant receiving the funds
19B	Amount	:4!c//3!a15d	M	:19B::ENTL//AUD<Amount of Benefit of the CA> The Amount of Benefit Paid for the Corporate Action
98a	Date/Time	A	M	:98A::PAYD//20091228 Payment Date
92A	Rate	:4!c//3!a/3!a/15d	C	:92A::INTP//2,7 (Interest Payment, effective rate e.g. 2.7%) Interest Payment – effective Rate included for Interest Payment only (CA Event Type INTR Only).
92A	Rate	:4!c//3!a/3!a/15d	C	:92A::RATE//0,5690705 Corporate Action Event – MCAL and PRED: :92A:RATE/ - This is the Redemption Yield in Add/Modify Partial Redemptions for the not yet settled Redemption Corporate Action Event - REDM :92A:RATE/ - If 'has redemption values' on the series = Yes, then Rate equals current Capital Value. Else Rate equals 100
90a	Price	A, B, E, F, J or K	C	:90A::OFFR//PRCT/100 Corporate Action Event – MCAL and PRED: :90A//OFFR//PRCT/ - This is the Yield in the CA Redemption Benefit . Corporate Action Event - REDM :90A//OFFR//PRCT/ - This is the Yield in the CA Maturity Benefit
16S	End of Block	CASHMOVE	M	:16S:CASHMOVE

End SubSequence E2 – Cash Movement

Tag	Field Name	Allowable Content	Swift Status	Comments
70E	Narrative	:4!c//10*35x	O	<Field not used>
16S	End of Block	CAOPTN	M	:16S:CAOPTN

a. End of Sequence E – Corporate Action Options

Optional Sequence F – Additional Information <Not Used>

Tag	Field Name	Allowable Content	Swift Status	Comments
16R	Start of Block	ADDINFO	M	<Field not used>
70E	Narrative	:4!c//10*35x	O	Field is used Optional Sequence F Includes 70E tag with the 3 possible values: :70E::TAXE//128F/Exempt :70E::TAXE//128F/Not Exempt :70E::TAXE//128F/Exemption Status Unknown
95a	Party	P, Q or R	O	<Field not used>
16S	END of Block	ADDINFO	M	<Field not used>

End of Sequence F – Additional Information

3.21 MT598 – Response to MT 5xx Messages (SMT 102, SMT103)

Tag	Field Name	Allowable Content	Swift Status	Comments
20	Transaction Reference Number	16x	M	Sender's Reference This will be a unique ASX SWIFT Gateway Sequence Number.
12	Sub Message Type	3n	M	"102" = Optional Positive confirmation of message processed "103" = Mandatory Negative confirmation of message processed
77E	Narrative		M	
11S	MT and Date of original Message	3n6n[4n6n]	M	SWIFT MT, Date, of original message.
21	Related Reference	16x	M	:21:TRN123456 TRN of message being responded to that was included in Tag 20 of original message
79	Narrative	35*50x	M	:79:TRN123456//6001 Format is 16x//4n This is the TRN of message being responded to, followed by status of that message.

3.22 MT599 SWIFT - Security Details Message

Tag	Field Name	Allowable Content	Swift Status	Comments
20	Transaction Reference Number	16x	M	:20: 10457202 This will be a unique ASX SWIFT Gateway Sequence Number.
21	Related Reference	16x	O	21: 104347827 <This is either populated with the Transaction Reference from the Inward MT598 Series Creation Message or not used>
79	Narrative	35 * 50x	M	79: (Line 1) ISIN <ISIN> (Line 2) Series Sub Class <Series Sub Class (example: ECD, EPN, ECD, PN, AB)> (Line 3) Austraclear Series ID <Series ID> (Line 4) Series Name <Series Name> (Line 5) Series Description <Series Description> (Line 6) Issuer <Issuer> (Line 7) Issuer Name <Issuer Name> (Line 8) Issue date <Issue Date> (Line 9) Maturity date <Maturity Date> (Line 10) Total volume on issue <Total Volume on issue/lodged amount> (Line 11) Minimum Settlement Volume <Minimum Settlement Volume> (Line 12) Multiple Volume <Multiple Volume> A new line is used for all information. (Line 13) 128F Exemption Status <Exemption Status>

3.23 MT900 SWIFT - Confirmation of Debit Message (Response to inward MT103/MT202 Message)

Tag	Field Name	Allowable Content	Mandatory / Optional	Comments
20	Transaction Reference Number	16x	M	:20:50602 Unique Transaction Reference generated by Austraclear
21	Related Reference	16x	M	21:2405712143813 Sender's reference from the incoming MT103 or MT202 Message
25	Account Identification	35x	M	25:ABEL20 Field 53 (Senders Correspondent) of the Inward Message)
32A	Value Date, Currency Code, Amount	6!n3!a15d	M	:32A:021218AUD100000,00 <i>VD - Settlement Date (YYMMDD)</i> <i>CC – e.g. AUD, USD, SEK</i> <i>A – Amount (99999999999,99)</i>
52a	Ordering Institution	A or D	O	Not sent by ASX as incoming field 52a is ignored
72	Sender to Receiver Information	6*35x	O	Comments, copied from the incoming MT103/MT202 Message

3.24 MT910 SWIFT - Confirmation of Credit Message (Response to MT 210 Message)

Tag	Field Name	Allowable Content	Swift Status	Comments
20	Transaction Reference Number	16x	M	:20:50602 Unique Transaction Reference generated by Austraclear
21	Related Reference	16x	M	21:2405712143813 Sender's reference from the incoming MT210
25	Account Identification	35x	M	25:ABEL20 Field 25 of the inward MT210 if present else the default sub-participant attached to BIC
32A	Value Date, Currency Code, Amount	6!n3!a15d	M	:32A:101218AUD100000,00 <i>VD - Settlement Date (YYMMDD)</i> <i>CC - e.g. AUD, USD, SEK</i> <i>A - Amount (100000,00)</i>
50a	Ordering Customer	A, F or K	O	Not sent by ASX as incoming 50a is ignored on original MT210
52a	Ordering Institution	A or D	O	52D:/SFUB20 Name & Address <i>ASX Mandatory field, paying participant</i> Field 52 Ordering Institution of the Inward MT210 Message
56a	Intermediary	A or D	O	Not sent by ASX as incoming 56a is ignored on original MT210

3.25 MT598 SWIFT – New Combined Series Creation & Deposit Advice

Tag	Field Name	Allowable Content	Swift Status	Comments
20	Transaction Reference Number	16x	M	20:<Sender's Reference>
12	Sub Message Type	3n	M	12: 500
77E Narrative --- The fields contained within 77E are listed below. Line 1 should contain: 77E:20C:SEME//<Template Reference>				
20C	Template Reference	:4!c//32x	M	20C:SEME//NAB – EBA (500K)
70E	Series Name	:4!c//4*30x	O	70E:FIAN// Series name of the new series
70E	Series Description	:4!c//4*30x	O	70E:FIAD// Series description of the new series
95Q	Issuer	:4!c//4!	M	95Q:ISSR//NABL
95Q	Issuer Representative	:4!c//6x	M	95Q:SAFE//NABL30
95Q	Issuer Balance Responsible	:4!c//6x	M	95Q:ISBR//NABL30
36B	Total Volume on Issue	:4!c//14d	M	36B:SIZE//1000000,00
36B	Minimum Settlement Volume	:4!c//14d	M	36B:MIEX//100000,00
36B	Multiple Volume	:4!c//14d	M	36B:MILT//100000,00
36B	Unit Face Value	:4!c//14d	M	36B:FAMT//100000,00
98A	Issue Date	:4!c//YYYYMMDD	M	98A:ISSU//20081003
95Q	CA Cash Record Owner	:4!c//6x	M	95Q:ACOW//NABL30
20C	CA Cash Record	:4!c//32x	M	20C:CCMV//013-006-MATURE30
97A	CA Sub Account	:4!c//32x	M	97A:SAFE//ISSUERACCOUNT
98A	Maturity Date	:4!c//YYYYMMDD	M	98A:MATU//20090803
95Q	Drawer Name	:4!c//2*40x	O	95Q:DRAW//J P Robinson
95Q	Display Drawer (Y or N)	:4!c//1!c	C (Conditional)	95Q:DISP//Y (This is a mandatory field if drawer is entered).
95Q	Prime Name	:4!c//6x	M	95Q:PRIM//NABL20 (Participant Code)
95Q	Place Payable	:4!c//6x	M	95Q:PLAC//NABL3B (Sub-Participant Branch)
95Q	Payee	:4!c//2*40x	M	95Q:PAYE//FREE Text
Deposit details section				
95Q	Sub-Participant	:4!c//6x	O	95Q:PART//NABL30

Tag	Field Name	Allowable Content	Swift Status	Comments
				(Defaulted to Issuer Rep if not included)
97A	Holding sub account	:4!c//32x	O	97A:SAFE//HOUSE (Defaulted to the Sub Participants Default Sub Account, if not included)
36B	Volume	:4!c//14d	O	36B:PSTA//1000000,00 (Defaulted to volume on Issue, if not included)
98A	Settlement Date	:4!c//YYYY MMDD	O	98A:SETT//20080803 (Defaulted to Issue Date, if not included)
70E	Deposit Comments	4!c//3*40x	O	70E:ADTX//General Comments (can be blank)

3.26 MT599 HTHL – New Combined Series Creation & Deposit Advice

Tag	Field Name	Allowable Content	Swift Status	Comments
20	Transaction Reference Number	16x	M	20:<Sender's Reference>
12	Sub Message Type	3n	M	12: 500
77E Narrative --- The fields contained within 77E are listed below. Line 1 should contain: 77E:20C:SEME//<Template Reference>				
20C	Template Reference	32x	M	20C:NAB – EBA (500K)
70E	Series Name	:4!c/120x	O	70E:FIAN /Series name of the new series
70E	Series Description	:4!c/120x	O	70E:FIAD /Series description of the new series
95Q	Issuer	:4!c/4!	M	95Q:ISSR/NABL
95Q	Issuer Representative	:4!c/6x	M	95Q:SAFE/NABL30
95Q	Issuer Balance Responsible	:4!c/6x	M	95Q:ISBR/NABL30
36B	Total Volume on Issue	:4!c/14d	M	36B:SIZE/1000000,00
36B	Minimum Settlement Volume	:4!c/14d	M	36B:MIEX/100000,00
36B	Multiple Volume	:4!c/14d	M	36B:MILT/100000,00
36B	Unit Face Value	:4!c/14d	M	36B:FAMT/100000,00
98A	Issue Date	YYYYMMDD	M	98A:20081003
95Q	CA Cash Record Owner	6x	M	95Q:NABL30
20C	CA Cash Record	32x	M	20C:013-006-MATURE30
97A	CA Sub Account	32x	M	97A:ISSUERACCOUNT
98A	Maturity Date	YYYYMMDD	M	98A:20090803
95Q	Drawer Name	:4!c/80x	O	95Q:DRAW/J P Robinson
95Q	Display Drawer (Y or N)	:4!c/1!c	C (Conditional)	95Q:DISP/Y (This is a mandatory field if drawer is entered).
95Q	Prime Name	:4!c/6x	M	95Q:PRIM/NABL20 (Participant Code)
95Q	Place Payable	:4!c/6x	M	95Q:PLAC/NABL3B (Sub-Participant Branch)
95Q	Payee	:4!c/80x	M	95Q:PAYE/FREE Text
Deposit details section				
95Q	Sub-Participant	:4!c/6x	O	95Q:PART/NABL30 (Defaulted to Issuer Rep if not included)
97A	Holding sub account	32x	O	97A:HOUSE

Tag	Field Name	Allowable Content	Swift Status	Comments
				(Defaulted to the Sub Participants Default Sub Account, if not included)
36B	Volume	14d	O	36B:1000000,00 (Defaulted to volume on Issue, if not included)
98A	Settlement Date	YYYYMMD D	O	98A:20080803 (Defaulted to Issue Date, if not included)
70E	Deposit Comments	120x	O	70E:General Comments (can be blank)

4 SWIFT Information and Error Codes

4.1 SWIFT Information Codes

None	0000
UnmatchedE	6001
UnmatchedPendingSellerAuthE	6002
MatchedE	6003
UnmatchedPendingBuyerAuthE	6004
DeletedPendingBuyerE	6006
DeletedPendingFixedE	6007
DeletedPendingFloatE	6007
DeletedPendingSellerE	6007
DeletedE	6008
SettledE	6009
PairedE	6010
PairedPendingSellerE	6011
PairedPendingBuyerE	6012
PairedPendingE	6011
UnmatchedPendingAuthE	6002
SuccessE	6013
SeriesPendAuth	6014
SeriesFailure	6016

4.2 General SWIFT Errors

InvalidTimeOrDateE	4000
UnknownErrorE	4000
InvalidSenderE	4035
InvalidTraderE	4050
InvalidCounterPartyE	4055
MissingCounterPartyE	4055
DuplicateReferenceE	5025
TradeAlreadyCancelledE	5050
InvalidOperationOnState	5065
InvalidPendingSynchE	5055

4.3 FBN SWIFT

InvalidCashCurrencyE	4010
InvalidCashFaceValueE	4010
InvalidCashSettleDateE	4010
InvalidCashInterestRateE	4020
InvalidCashSenderE	4035
InvalidCashTraderE	4050
InvalidCashCounterPartyE	4055
FbnTradeAlreadyCancelledE	6000
FbnTradeCantCancelE	6000
FbnTradeNotFoundE	6000
FbnTradeCantCancelOrigMsgTypeE	4023

4.4 SEC SWIFT

SecMissingMandatoryTagE	4005
InvalidSecYieldE	4030
InvalidSecSenderE	4035
InvalidSecSohAccountE	4050
InvalidSecTraderE	4050
InvalidSecCounterPartyE	4055
InvalidSettlementPartyE	4055
InvalidSecSohRequestMTE	4060
InvalidSecUnwindSetDateE	4105
InvalidSecTradeDateE	5005
InvalidSecSettleDateE	5010
InvalidSecFaceValueE	5015
InvalidSecMinFaceValueE	5016
InvalidSecMultFaceValueE	5017
InvalidSecSohMessageRefE	5025
InvalidSecNovUnwindE	5030
InvalidSecOpUnmatchedE	5040
InvalidSecConsiderationE	5040
NovationSettClosedE	5065
InvalidSecSohRequestDateE	5070
InvalidSecMessageFunctionE	5075
InvalidSecIsinE	5300
InvalidTradeTypeIndicatorE	5301
InvalidRepoDateE	5302
InvalidRepoRenegDateE	5303
InvalidRenegSameDayE	5304
InvalidSecCashRateE	5305
SecTradeAlreadyCancelledE	6000
SecTradeCantCancelE	6000
SecTradeNotFoundE	6000
InvalidSecurityIdE	4000
InvalidRepoOperationE	5305
InvalidSecCurrencyE	5306
InvalidSecUnwConsiderationE	5310
InvalidSecMarginRatioE	5307

4.5 FX SWIFT

InvalidFxSenderE	4035
InvalidFxTraderE	4050
InvalidFxCounterPartyE	4055
InvalidFxSellCurrencyE	4070
InvalidFxBuyCurrencyE	4075
InvalidFxBuyAmountE	4080
InvalidFxBuyAmountE	4085
InvalidFxDealDateE	5005
InvalidFxSettleDateE	5010
InvalidFxExchangeRateE	5500
FxTradeAlreadyCancelledE	6000
FxTradeCantCancelE	6000
FxTradeNotFoundE	6000
FxManualReject	7000

4.6 General HTHL Error Codes

UnknownErrorE	2000
InvalidSenderE	2010
InvalidTraderE	2030
InvalidCounterPartyE	2040
DuplicateReferenceE	2080
InvalidTimeOrDateE	2105
InvalidSecurityIdE	2395
InvalidAmountE	2415
InvalidCurrencyE	2425
InvalidSecurDecimaleE	2455
InvalidPendingSynchE	2456
SecTradeNotFoundE	0

4.7 SEC HTHL

InvalidSecSenderE	2010
InvalidSecCashRateE	2018
InvalidSecHeaderE	2020
InvalidSecMessageVersionE	2020
InvalidSecTraderE	2030
InvalidSecCounterPartyE	2040
InvalidSecMarginRatioE	2041
InvalidSecMessageReceiverE	2070
InvalidSecTransactionRefE	2080
InvalidSecFurtherIdE	2090
InvalidSecSettleDateE	2100
InvalidSecTradeDateE	2110
InvalidSecConsiderationE	2120
InvalidSecSettlementCurrE	2120
InvalidSecYieldE	2130
InvalidSecDealPriceE	2140
InvalidSecFaceValueE	2150
InvalidSecMinFaceValueE	2151
InvalidSecMultFaceValueE	2152
InvalidSecSecurityE	2160
InvalidSecBankAccountE	2180
InvalidSecFurtherInfoE	2190
InvalidSenderRoleE	2200
InvalidSecGeneralErrorE	2640
InvalidSecNovUnwindE	2660
InvalidSecOpUnmatchedE	2670
InvalidSecReferenceRateE	2700
InvalidSecRepoRuleE	2701

4.8 FBN HTHL

InvalidCashSenderE	2010
InvalidCashHeaderE	2020
InvalidCashMsgVersionE	2020
InvalidCashTraderE	2030
InvalidCashCounterPartyE	2040
InvalidCashMsgReceiverE	2070

InvalidCashTransactionRefE	2080
InvalidCashFurtherIdE	2090
InvalidCashDealDateE	2110
InvalidCashBankAccountE	2180
InvalidCashFurtherInfoE	2190
InvalidCashTypeE	2330
InvalidCashCurrencyE	2450
InvalidCashFaceValueE	2450
InvalidCashSettleDateE	2450
InvalidCashInterestRateE	2460
InvalidCashGeneralErrorE	2640

4.9 FX HTHL

InvalidFxSenderE	2010
InvalidFxHeader	2020
InvalidFxMessageVersion	2020
InvalidFxTraderE	2030
InvalidFxCounterPartyE	2040
InvalidFxMessageReceiver	2070
InvalidFxTransactionRef	2080
InvalidFxDealDateE	2110
InvalidFxRelatedRef	2330
InvalidFxCommonRef	2340
InvalidFxExchangeRateE	2350
InvalidFxDealMethod	2360
FxSettlementDatesDiffer	2390
InvalidFxBuyAmountE	2390
InvalidFxBuyCurrencyE	2390
InvalidFxSettleDateE	2390
InvalidFxBoughtBankAccount	2400
InvalidFxBoughtBankAccount	2400
InvalidFxSellAmountE	2410
InvalidFxSellCurrencyE	2410
InvalidFxGeneral	2640

4.10 BRC HTHL

InvalidBRCHheaderE	2020
InvalidBRCMessageVersionE	2020
InvalidBRCSenderE	2030
InvalidBRCMessageReceiverE	2070
InvalidBRCTransactionRefE	2080
InvalidBRCFurtherIdE	2090
InvalidBRCSettlementDateE	2100
InvalidBRCDealDateE	2110
InvalidBRCSettlementAmountE	2120
InvalidBRCDealPriceE	2130
InvalidBRCQtySecuritiesE	2150
InvalidBRCSecuritiesE	2160
InvalidBRCFurtherInfoE	2190
InvalidBRCRecvrPayerE	2200
InvalidBRCSenderRoleE	2200
InvalidBRCGeneralFormatE	2640

InvalidNovationParameterE	2640
InvalidBRCREPORateE	2651
InvalidBRCREPODateE	2652
InvalidBRCREPOInfoGeneralE	2656
InvalidBRCREPOInfoPart1E	2656
InvalidBRCREPOInfoPart2E	2656
InvalidBRCREPOInfoPart3E	2656
InvalidBRCREPOInfoPart4E	2656
InvalidBRCSameDayFlagE	2656
NovationSettClosedE	4500

4.11 SEC REPO HTHL

InvalidSecUnwindSetDateE	2030
InvalidSettlementPartyE	2200
InvalidRepoDateE	2652
InvalidRepoRenegDateE	2652
InvalidRenegSameDayE	2656
InvalidTradeTypeIndicatorE	2656

4.12 Create Series And Deposit SWIFT

InvalidSecTotalVolumeOnIssue	5800
InvalidSecMinimumSettlementVolume	5801
InvalidSecMultipleVolume	5802
InvalidSecUnitFaceValue	5803
InvalidSecVolume	5804
InvalidSecIssueDate	5805
InvalidSecMaturityDate	5806
InvalidSecDisplayDrawer	5807
InvalidSecSubMessageType	5808
InvalidSecNarrative	5809
InvalidSecTemplatereference	5810
InvalidSecSeriesName	5811
InvalidSecSeriesDescription	5812
InvalidSecIssuerE	5813
InvalidSecIssuerRepresentative	5814
InvalidSecIssuerBalanceResponsible	5815
InvalidSecCACashRecordOwner	5816
InvalidSecCACashRecord	5817
InvalidSecCASubAccount	5818
InvalidSecPayee	5819
InvalidSecPlacePayable	5820
InvalidSecPrimeName	5821
InvalidSecDrawerName	5822
InvalidSecSubParticipant	5823
InvalidSecHoldingSubAccount	5824
InvalidSecGeneralComments	5825
CombinedDepositNotAllowedForInstrument	5826
CombinedSeriesDeposit	5827
SeriesTemplate	5828
MissingMandatoryParameter	5829
InvalidSecSeriesId	5830

4.13 Create Series And Deposit HTHL

InvalidSecTotalVolumeOnIssue	2800
InvalidSecMinimumSettlementVolume	2801
InvalidSecMultipleVolume	2802
InvalidSecUnitFaceValue	2803
InvalidSecVolume	2804
InvalidSecIssueDate	2805
InvalidSecMaturityDate	2806
InvalidSecDisplayDrawer	2807
InvalidSecSubMessageType	2808
InvalidSecNarrative	2809
InvalidSecTemplaterference	2810
InvalidSecSeriesName	2811
InvalidSecSeriesDescription	2812
InvalidSecIssuerE	2813
InvalidSecIssuerRepresentative	2814
InvalidSecIssuerBalanceResponsible	2815
InvalidSecCACashRecordOwner	2816
InvalidSecCACashRecord	2817
InvalidSecCASubAccount	2818
InvalidSecPayee	2819
InvalidSecPlacePayable	2820
InvalidSecPrimeName	2821
InvalidSecDrawerName	2822
InvalidSecSubParticipant	2823
InvalidSecHoldingSubAccount	2824
InvalidSecGeneralComments	2825
CombinedDepositNotAllowedForInstrument	2826
CombinedSeriesDeposit	2827
SeriesTemplate	2828
MissingMandatoryParameter	2829
InvalidSecSeriesId	2830

4.14 Exigo Create Series And Deposit Reason codes

ParticipantCodeDoesNotResolveE	1
NotAuthorizedForUserActionE	2
MissingMandatoryParameterE	3
StringParameterTooLongE	10
InstrumentCodeDoesNotResolveE	21
OptimisticLockConflictE	22
BusinessDateIsNotOpenE	24
NoAvailableActiveAccountE	25
FieldLevelSyntaxE	26
AccountCodeDoesNotResolveE	32
InstrumentValueKeyIsAlreadyInUseE	33
MalformedCodeE	34
DateIsOutOfRangeE	37
MalformedIdentityOrNumberE	38
EnumIsOutOfRangeE	41
ParticipantExternalStateIsNotValidE	51
UserIsInActiveStateE	61

UserActionNotPermittedByParticipantTypeE	63
InstrumentTemplateCodeDoesNotResolveE	67
InstrumentCodeIsAlreadyInUseE	68
InstrumentTemplateCodeIsAlreadyInUseE	70
AccountExternalStateIsNotValidE	72
InstrumentObjectStateIsNotValidE	73
InstrumentTemplateMayNotHaveInstrumentsE	74
MissingMandatoryInstrumentAttributeValueE	76
RootTemplateMayNotSpecifyUndefinedInstrumentAttributeStateE	77
AccountIsInactiveE	80
InstrumentIsInactiveE	81
ISINCodeDoesNotResolveE	82
InstrumentIsNotCashE	83
InstrumentIsNotSecurityE	84
DateCanNotBeInThePastE	85
VolumeLowerThanSettlementVolumeE	89
VolumeIsNotAMultipleOfMultipleVolumeE	90
CashRecordCodeDoesNotResolveE	94
ReferentialIntegrityViolationE	96
ParticipantMayNotHaveIssuerRepresentativeE	97
LockConflictE	99
ConflictingCurrenciesE	103
ParticipantIsNotIssuerRepresentativeE	110
CashRecordExternalStateIsNotValidE	116
NumberIsOutOfRangeE	126
AccountHasWrongAccountTypeE	131
DatesMayNotHaveTimePartE	136
DateIsInvalidAccordingToSystemCalendarE	148
TimeCanNotBeInThePastE	149
AccountStateIsNotValidE	153
ParticipantIsNotCashProviderE	154
ParticipantIsNotIssuerE	155
InstrumentIsNotImmobilizedE	164
InstrumentCannotBeWithdrawnE	165
InstrumentIsCashE	166
AccountMustBeOfTypeIssuerE	167
DepositWithdrawalStateIsNotValidE	168
DepositWithdrawalTypeIsNotValidE	169
InconsistentPurposeE	170
CashRecordIsAssignedE	171
AccountHasHoldingsE	173
DepositRefDoesNotResolveE	175
TotalVolumeCanNotBeChangedE	180
RequestedVolumeIsNotAvailableE	181
InvalidCashRecordPriorityE	186
ISINCodeIsAlreadyInUseE	193

StringParameterTooShortE	194
AuthorisationPolicyIsUndefinedE	195
AuthorisationPolicyIsAlreadyDefinedE	196
InstrumentSubclassIsInvalidE	202
SettlementDateIsPastMaturityE	211
SettlementDateIsOutOfRangeE	212
ParticipantIsNotBorrowerE	213
VolumeConflictE	216
MaturityDateConflictE	222
IssueDateIsOutOfRangeE	223
IssuerRepresentativeConflictE	226
InvalidUserActionE	228
InstrumentObjectIsNotDelegatedToYouE	240
InactiveAccountsMayNotHaveHoldingsE	255
MissingDefaultCashRecordE	261
TooManyDecimalsE	264
MinimalSettlementValueConflictE	265
IssueDateConflictE	271
UnitFaceValueIsMissingE	273
DuplicatedInstrumentE	284
MultipleIssuerAccountsE	286
RecordDateNotAllowedE	289
SettlementDateNotValidForCalendarE	297
NumberMustBePositiveE	301
ParticipantIsCSDOperatorE	302
SettlementDateIsPastExpiryE	303
SettlementDateIsBeforeIssueE	306
DateIsInTheFutureE	317
CashRecordProviderMustBeCashProviderE	327
CashRecordCurrencyMustReferToCashInstrumentE	328
InstrumentIssuerMustBeOfTypeIssuerE	334
InstrumentIssuerRepresentativeMustBeIssuerRepresentativeE	335
AccountTypeMismatchE	346
UnitFaceValueConflictE	347
OperationTypeIsNotAvailableToUserE	349
ExpiryDateConflictE	350
MissingDefaultAccountE	355
InstrumentClassIsInvalidE	359
TemplateMustNotSpecifyISINOrCUSIPValueE	360
MinimumUnitFaceValueConflictE	367
InvalidChangetimeE	368
InstrumentAttributeCanNotBeOverriddenE	376
InstrumentRegistrarMustBeOfTypeRegistrarE	377
SettlementDateCanNotBeInBooksClosePeriodE	379
RecordDateNotValidForCalendarE	381
ActivationDateConflictE	386

InstrumentIsInUseE	387
ParticipantIsNotIssueBalanceResponsibleE	396
InstrumentIsInSettlementE	398
NotAuthorizedForParticipantE	401
NotAuthorizedInSubsessionE	402
NotAuthorizedFromUserE	403
NotAuthorizedFromProxyE	404
VolumeUnitOrFaceValueConflictE	407
FailedToGenerateInstrumentCodeE	416
TransactionTypeIsNotAvailableToUserE	417
ParticipantClassificationDoesNotResolveE	418
InstrumentRequiresIssuerE	419
InstrumentRequiresSubclassE	420
InstrumentRequiresMaturityDateE	421
DepositNotAllowedWithChangeTimeE	422
CombinedDepositNotAllowedForInstrumentE	423
MissingOrInvalidDepositRequestE	424