8.   FIELD DEFINITIONS

8.1.   Introduction

The purpose of this section is to describe in detail the definitions and formats of the fields contained in CHESS messages, and any associated editing rules and valid values for those fields.

The fields are listed in alphabetical order. As there is not a one-for-one relationship between a CHESS field name and a bit map position, Section 4 of this specification must be referenced to determine where a field occurs in the bit map of a particular message.

The generic usage of a particular bit position is constant throughout the specification, e.g., although bit 16 is used for different types of HINs in different messages, it is always used for a HIN.

8.2. Editing Rules

8.2.1. Registration Details

The guidelines for formatting registration details are fully explained and maintained in the various industry procedures. The details have been withdrawn from the EIS so that the procedures form the single point of reference for them.

CHESS validates a subset of these recommendations, and these are given in the following section.

8.2.2. CHESS Validation of Registration Details

The validation CHESS performs on the submitted registration details necessarily forms only a subset of the rules specified in the relevant procedure guidelines. These rules are used to validate all registration details submitted by participants.

The validation rules implemented by CHESS for registration details are as follows:

- the name must consist of at least 5 characters;

- for designations, if angle brackets are used, there must be one and only one pair of angle brackets with a left angle bracket (<) followed by a right angle bracket (>);

- only one comma (,) may be present, and this must appear between the name and address. When a designation is present, the comma should follow the closing bracket;

- if the comma (,) is the first character on a 'line', the address may start on the same 'line';

- the address must consist of at least 2 words excluding the post code/country code (a word must consist of at least 1 character);

- the address must not start on the same 'line' as the name (a line is one of the 6 lines of 30 characters that constitute the registration details);

- the backslash (\) character must not be used;

- full stops (.) must not be used;

- a space must not proceed the holder name;

- double double or double single quotes must not be used;

- abbreviations with no full stops should be used for Australian states. These abbreviations are as follows:

  QLD NSW SA WA NT ACT VIC TAS

- Australian state abbreviations must not appear on a line by themselves unless there is insufficient space to appear on the previous line;

- a valid post code or country code must be specified in the last eight characters of the registration details (i.e. in positions 173 - 180);

- a post code must be in the range 0200 to 0299, 0800 to 7499, or 7800 to 9799 and must be valid for the suburb entered; and

- the 3 character country code is validated against values given in Section 8.4.

- the address text will be validated to ensure the country name description corresponds to the country code provided.

  For example:

  Country code 'NZL' represents New Zealand. If NZL is specified, the text received will be validated to check that New Zealand or an acceptable abbreviation or convention is specified as part of the registration address. If no acceptable text is found, the message received will be rejected.

8.2.3. Edit Rules for Stamp Duty

Stamp duty on the purchase and sale of quoted marketable securities was abolished from 1 July 2001 in all Australian States and Territories.

Stamp duty will continue to be levied on transfers of unquoted securities where applicable. Stamp duty returns for off-market transactions are no longer processed by ASX Settlement.

CHESS processing has been modified to minimise the impact on participants by removing most of the validation of stamp duty fields without changing any CHESS messages.

The processing off-market of Dual Entry Settlement Notifications (CHESS message 101) **containing a settlement amount** and a transaction basis of '0' will continue to be subject to validation of the Duty Type field where applicable. All other duty related fields will not be validated. There are only three valid duty types which can be submitted if the off-market transaction contains a settlement amount namely:

- 'K' = Exempt - Duty Paid,
- 'L' = Exempt - Stock Loan, or
- 'M' = Exempt - Stock Loan Return.

CHESS will disable any validation of stamp duty related fields for off-market transactions except for transactions containing a settlement amount as described above. This will apply to all transactions where the transaction basis is not 'M'. Where the stamp duty fields are optional on a message they will no longer need to be supplied. If participants' systems automatically supply data to these fields, CHESS will still accept the data and will not reject the message. For the Takeover Transfer message (CHESS message 431), which has mandatory stamp duty fields, these fields will still need to be supplied but the fields will not be validated.

CHESS matching criteria for dual entry messages will still include the Transaction Basis.

Summary of Changes

The table below summarises the new edit rules that CHESS applies to Dual Entry Settlement Notification (101) messages for differing values of the Transaction Basis field.

Transaction Basis	Settlement Amount	Trade Date	Stamp Duty Data Fields
Market (M)	zero	not permitted	not applicable
	not zero	required	not applicable
Off-market (O)	zero	not permitted	not applicable
	not zero	not permitted	Duty Paid (K), Loan (L), or Loan Return (M); other fields not applicable
Facility for Non Reportable Transactions (F)	zero	optional	not applicable
	not zero	optional	not applicable
IPO (I)	zero	optional	not permitted
	not zero	optional	not permitted
Stock Lending Activity (L)	zero	not permitted	not applicable
	not zero	required	not applicable

8.2.4. Edit Rules for Override Basis of Movement on Participant Submitted Settlement Instructions

Override Basis of Movement can only be specified on settlement instructions submitted by participants if the settlement date falls within a relevant Ex Period (e.g., the Ex Period of a dividend for 'CD' or 'XD').

A settlement instruction with Override Basis of Movement can be rescheduled to a settlement date after the Record Date.

8.3. Transaction Identifiers and Reference Fields

Throughout the messages described in Section 4, there is the concept of the **Transaction Id**. This is used to both initially identify and subsequently refer to specific transactions.

When a user submits a transaction containing either data to be processed by CHESS or a request for action on previously submitted data, there must be an unambiguous and unique identifier attached to this transaction. This identifier will be used in the following ways:

CHESS will attach the identifier to the stored transaction.

When CHESS returns a response to the user, the user's identifier will be included in the response so that the user can match this response to the original input transaction (to mark that the input transaction has been processed).

- When a user wishes to amend an earlier submitted transaction, the original identifier will be used to inform CHESS which transaction is to be amended.

- Where "dual-entry" type transactions are used, CHESS will allocate an identifier with which to tag the transaction and will inform both parties that this is now the identifier with which to refer to the transaction.

There is the further concept of **reference fields** in several messages. This serves a different purpose to the transaction identifier. A reference field is free format and is for the users' information only and is **never used by CHESS to identify or locate a specific transaction**. It is passed to CHESS for the following reasons:

- Reference fields in general are stored with the transaction and passed back to the user when performing certain reports and statement generation.

- A Supplementary or Registry Reference field is also passed to counterparty for their information and to aid the counterparty in matching the notified data with their own records.

Specific definitions of the various transaction identifiers are given below.

Note that examples of these are given in Section 6.

8.3.1. Format of an Input Transaction Identifier

Where a User submits a **Target Transaction Id** in a message, this field is **copied entirely** from a Transaction Id previously notified by CHESS.

However, every message submitted by a user to CHESS must have a **user-generated Transaction Id** which has the following format:

A Transaction Id is composed of 16 characters. The sub-fields within these characters are as follows:

- **UIC** (5 numerics) - users must insert their own User Identification Code in this sub-field (refer to Section 10 for description of the UIC).

- **User Defined Identifier** (9 characters) - The user may insert any data in this area. However, it must be unique across all other transactions submitted by that user currently held by CHESS.

- **Accrual Number** (2 numerics) - This sub-field must contain numeric zeros. (CHESS may generate non-zero accrual number subfields on some messages sent back to the user.)

8.3.2. Format of an Output Transaction Identifier

The format of the Transaction Id in CHESS output messages to users will usually follow the above format and its use is explained in the following sections. However, when CHESS generates its own transaction identifiers and sends these to users, **the user should make no assumptions about the content of the sub-fields**, particularly the UIC portion.

The following exception applies:

The CHESS-generated Transaction ID on the **Notified Trade (MT 164)** message contains the trade number generated by the trading platform i.e. an ASX trading platform or another Approved Market Operator's (AMO) trading platform. The Transaction ID comprises of the following sub-fields:

- **Filler** (1 numeric) - This sub-field will always contain a numeric nine ("9").

- **Serial Trade Qualifier** (4 character) - An identifier to aid in the identification of trade. The first character of this subfield is the assigned prefix value to identify the originating trading platform. The remaining characters are primed from the ASX Signal 'B' field of the same name for ASX trades, or provided by AMO for non ASX trades (may not be unique).

- **Trade Serial Number** (6 - numeric) - A serial number generated by the trading platform. This sub-field is primed from the six-digit ASX Signal 'B' field of the same name for ASX trades, or provided by AMO for non ASX trades. This value must be unique to that business day.

- **Trade Date** (3 character) - Represents the Julian date reflecting the trade capture date. The format is YND where Y is year within decade (i.e. 0 to 9), N represents the first two digits of the Julian date (e.g. 01 = 1, 02 = 2, 10 = A, 11 = B… 35 = Z, 36 = *) and D represents the last digit of the Julian date.

  Example: Trade Capture Date is 4th October 2010 (day 277). The YND will appear as 0R7.
  Example: Trade Capture Date is 31st December 2010 (365). The YND will appear as 0*5.

- **Filler** (2 numeric) - This sub-field will always contain numeric zeroes ("0").

8.3.3.   Messages to CHESS

Transaction Id

- This is the unique identifier for this input message.

- For the input of original data or amendments, it will also form the identifier of the transaction when stored in CHESS (e.g. trade, transfer or conversion details).

- If the message is to change (update or cancel) a transaction, the Transaction Id identifies this change request only, and the message must also include a Target Transaction Id (see below) to identify the primary transaction.

Target Transaction Id

- This is the unique identifier of a transaction that is to be changed or actioned.

- If updating details of a transaction where the transaction has already had amendments applied (and in the case of dual-entry transactions, these have been matched), the Target Transaction Id refers to the **Transaction Id of the original transaction**.

  For example, consider a broker to non-broker trade which has had a settlement date change request matched and applied to the scheduled obligation. Another subsequent settlement date change request will have its Target Transaction Id referring to the original broker to non- broker trade's Transaction Id.

For dual-entry transactions, where a change request has been submitted (but not yet matched) to act on a primary transaction (e.g. a settlement date change request on a matched broker to non-broker trade), and a further transaction is submitted to cancel the unmatched change request (e.g. cancellation of the date change request), this "further" transaction has the **Transaction Id of the change request transaction** as its Target Transaction Id.

Set Transaction ID

mFund specific. When used for Annual Income Statements for a sponsored holder the details align with the ATO standard statement data set. To facilitate movement of data, CHESS provides a series of messages that mirror the ATO income statement sections. The total message count value in the MT971 will indicate the number of messages transmitted that make up the message set. This value will be used by CHESS to confirm and link the individual Income Statement messages. The Set Transaction ID links the messages.

Linking Transaction

mFund specific. When used in an 'Initial' application request this field equals the Transaction Id of the MT726 Application Request. When used in a 'Subsequent' application request or a standalone request this field equals the Transaction Id of the requesting message. When used in a transfer request this value is the Transaction Id of the originating MT851 CHESS To CHESS Fund Transfer Request.

8.3.4. Messages from CHESS

Transaction Id, Cancelling Transaction Id, Matching Transaction Id or Updating Transaction Id

- If one of these fields is present on an output message, then it identifies the **subject transaction** to which this message relates. This may be in response to user input or as a result of processing generated by CHESS itself, e.g. as a result of settlement processing. It is then used in any subsequent amendment input transactions as a Target Transaction Id.

- Where a message notifies CHESS of new data (e.g. trade notification, or a demand transfer) the output Transaction Id identifies this transaction.

- Where an amendment to previously stored data is submitted, the output updating or cancelling Transaction Id identifies the change request.

Target Transaction Id

- Where actions are taken on existing transactions (e.g. amendment or cancellation of previously entered data) this field specifies the Transaction Id of the primary transaction on which this action has been taken.

Origin Transaction Id

- This field relates the CHESS output message to a specific user input message or identifies some processing performed by CHESS. It does not imply anything about identifiers CHESS may have allocated to any transaction. It contains one of the following :

    - the Transaction Id from the user's corresponding input message to which this is a response. The user can then unambiguously match this message as the application response to a particular input message; or

    - the Transaction Id from the message input by a third party which has resulted in the sending of this message. (For example, when CHESS processes an unmatched trade notification, a message is sent to the counterparty with the Origin Transaction Id field containing the Transaction Id from the first notifier's message.)

        This can be easily recognised because the UIC subfield (see below for Transaction Id format) will contain a UIC different from the user's own UIC. Thus, the user knows that this message is not a direct response to one of the user's own input messages; or

- the Transaction Id of an earlier (or standing) reporting request from the user ; or

- an identifier assigned by CHESS where this message is a result of CHESS generated processing rather than being a response to any user input transaction.

8.4. Country Codes and Descriptions

As listed by the International Organisation on Standardisation and the United Nations Statistics Division

Country Name Description	Country Code
AFGHANISTAN	AFG
ALAND ISLANDS	ALA
ALBANIA	ALB
ALGERIA	DZA
AMERICAN SAMOA	ASM
ANDORRA	AND
ANGOLA	AGO
ANGUILLA	AIA
ANTARCTICA	ATA
ANTIGUA AND BARBUDA	ATG
ARGENTINA	ARG
ARMENIA	ARM
ARUBA	ABW
AUSTRALIA	AUS
AUSTRIA	AUT
AZERBAIJAN	AZE
BAHAMAS	BHS
BAHRAIN	BHR
BANGLADESH	BGD
BARBADOS	BRB
BELARUS	BLR
BELGIUM	BEL
BELIZE	BLZ
BENIN	BEN
BERMUDA	BMU
BHUTAN	BTN
BOLIVIA	BOL
BOSNIA AND HERZEGOVINA	BIH
BOTSWANA	BWA
BOUVET ISLAND	BVT
BRAZIL	BRA
BRITISH IND OCEAN TERR	IOT
BRITISH VIRGIN ISLANDS	VGB
BRUNEI DARUSSALAM	BRN
BULGARIA	BGR
BURKINA FASO	BFA
BURUNDI	BDI
CAMBODIA	KHM
CAMEROON	CMR

Country Name Description	Country Code
CANADA	CAN
CAPE VERDE	CPV
CAYMAN ISLANDS	CYM
CENTRAL AFRICAN REP	CAF
CHAD	TCD
CHILE	CHL
CHINA	CHN
CHRISTMAS ISLAND	CXR
COCOS KEELING ISLANDS	CCK
COLOMBIA	COL
COMOROS	COM
CONGO	COG
CONGO DEMOCRATIC REP OF	COD
COOK ISLANDS	COK
COSTA RICA	CRI
COTE DIVOIRE	CIV
CROATIA	HRV
CUBA	CUB
CURACAO	CUW
CYPRUS	CYP
CZECH REPUBLIC	CZE
DEMOCRATIC PEOPLES REP KOREA	PRK
DEMOCRATIC REP OF CONGO	COD
DENMARK	DNK
DJIBOUTI	DJI
DOMINICA	DMA
DOMINICAN REPUBLIC	DOM
ECUADOR	ECU
EGYPT	EGY
EL SALVADOR	SLV
EQUATORIAL GUINEA	GNQ
ERITREA	ERI
ESTONIA	EST
ETHIOPIA	ETH
FALKLAND ISL MALVINAS	FLK
FAROE ISLANDS	FRO
FIJI	FJI
FINLAND	FIN
FRANCE	FRA
FRENCH GUIANA	GUF
FRENCH POLYNESIA	PYF
FRENCH SOUTHERN TERR	ATF
GABON	GAB
GAMBIA	GMB

Country Name Description	Country Code
GEORGIA	GEO
GERMANY	DEU
GHANA	GHA
GIBRALTAR	GIB
GREECE	GRC
GREENLAND	GRL
GRENADA	GRD
GUADELOUPE	GLP
GUAM	GUM
GUATEMALA	GTM
GUERNSEY	GGY
GUINEA	GIN
GUINEA-BISSAU	GNB
GUYANA	GUY
HAITI	HTI
HEARD AND MCDONALD ISL	HMD
HOLY SEE	VAT
HONDURAS	HND
HONG KONG	HKG
HUNGARY	HUN
ICELAND	ISL
INDIA	IND
INDONESIA	IDN
IRAN ISLAMIC REPUBLIC OF	IRN
IRAQ	IRQ
IRELAND	IRL
ISLAMIC REP OF IRAN	IRN
ISLE OF MAN	IMN
ISRAEL	ISR
ITALY	ITA
JAMAICA	JAM
JAPAN	JPN
JERSEY	JEY
JORDAN	JOR
KAZAKHSTAN	KAZ
KENYA	KEN
KIRIBATI	KIR
KOREA DPR	PRK
KOREA REPUBLIC OF	KOR
KUWAIT	KWT
KYRGYZSTAN	KGZ
LAO PEOPLES DEMOCRATIC REP	LAO
LATVIA	LVA
LEBANON	LBN

Country Name Description	Country Code
LESOTHO	LSO
LIBERIA	LBR
LIBYAN ARAB JAMAHIRIYA	LBY
LIECHTENSTEIN	LIE
LITHUANIA	LTU
LUXEMBOURG	LUX
MACAO	MAC
MACEDONIA EX YUGOSLAV REP OF	MKD
MADAGASCAR	MDG
MALAWI	MWI
MALAYSIA	MYS
MALDIVES	MDV
MALI	MLI
MALTA	MLT
MARSHALL ISLANDS	MHL
MARTINIQUE	MTQ
MAURITANIA	MRT
MAURITIUS	MUS
MAYOTTE	MYT
MEXICO	MEX
MICRONESIA FEDERATED STATES	FSM
MOLDOVA	MDA
MONACO	MCO
MONGOLIA	MNG
MONTENEGRO	MNE
MONTSERRAT	MSR
MOROCCO	MAR
MOZAMBIQUE	MOZ
MYANMAR	MMR
NAMIBIA	NAM
NAURU	NRU
NEPAL	NPL
NETHERLANDS	NLD
NETHERLANDS ANTILLES	ANT
NEW CALEDONIA	NCL
NEW ZEALAND	NZL
NICARAGUA	NIC
NIGER	NER
NIGERIA	NGA
NIUE	NIU
NORFOLK ISLAND	NFK
NORTHERN MARIANA ISL	MNP
NORWAY	NOR
OCCUPIED PALESTINIAN TERR	PSE

Country Name Description	Country Code
OMAN	OMN
PAKISTAN	PAK
PALAU	PLW
PALESTINIAN TERR OCCUPIED	PSE
PANAMA	PAN
PAPUA NEW GUINEA	PNG
PARAGUAY	PRY
PERU	PER
PHILIPPINES	PHL
PITCAIRN	PCN
POLAND	POL
PORTUGAL	PRT
PUERTO RICO	PRI
QATAR	QAT
REPUBLIC OF KOREA	KOR
REUNION	REU
ROMANIA	ROU
RUSSIAN FEDERATION	RUS
RWANDA	RWA
SAMOA	WSM
SAN MARINO	SMR
SAO TOME AND PRINCIPE	STP
SAUDI ARABIA	SAU
SENEGAL	SEN
SERBIA	SRB
SEYCHELLES	SYC
SIERRA LEONE	SLE
SINGAPORE	SGP
SINT MAARTEN	SXM
SLOVAKIA	SVK
SLOVENIA	SVN
SOLOMON ISLANDS	SLB
SOMALIA	SOM
SOUTH AFRICA	ZAF
SPAIN	ESP
SRI LANKA	LKA
ST BARTHELEMY	BLM
ST HELENA	SHN
ST KITTS AND NEVIS	KNA
ST LUCIA	LCA
ST MARTIN	MAF
ST PIERRE AND MIQUELON	SPM
ST VINCENT AND THE GRENADINES	VCT
STH GEORGIA & STH SANDWICH ISL	SGS

Country Name Description	Country Code
SUDAN	SDN
SURINAME	SUR
SVALBARD AND JAN MAYEN	SJM
SWAZILAND	SWZ
SWEDEN	SWE
SWITZERLAND	CHE
SYRIAN ARAB REPUBLIC	SYR
TAIWAN, PROVINCE OF CHINA	TWN
TAJIKISTAN	TJK
TANZANIA, UNITED REP OF	TZA
THAILAND	THA
THE EX YUGOSLAV REP MACEDONIA	MKD
TIMOR-LESTE	TLS
TOGO	TGO
TOKELAU	TKL
TONGA	TON
TRINIDAD AND TOBAGO	TTO
TUNISIA	TUN
TURKEY	TUR
TURKMENISTAN	TKM
TURKS AND CAICOS ISL	TCA
TUVALU	TUV
UGANDA	UGA
UKRAINE	UKR
UNITED ARAB EMIRATES	ARE
UNITED KINGDOM	GBR
UNITED REP OF TANZANIA	TZA
UNITED STATES	USA
UNITED STATES OF AMERICA	USA
UNITED STATES VIRGIN ISL	VIR
URUGUAY	URY
US MINOR OUTLYING ISL	UMI
UZBEKISTAN	UZB
VANUATU	VUT
VENEZUELA	VEN
VIET NAM	VNM
VIRGIN ISLANDS BRITISH	VGB
VIRGIN ISLANDS US	VIR
WALLIS AND FUTUNA	WLF
WESTERN SAHARA	ESH
YEMEN	YEM
ZAMBIA	ZMB
ZIMBABWE	ZWE

Abbreviations or Other CHESS Acceptable Country Names

Country Name Description	Country Code
ANTIGUA	ATG
BOSNIA	BIH
BRITISH ISLES	GBR
BRITISH VIRGIN IS	VGB
COCOS ISL	CCK
CONGO DEM REP OF	COD
ENGLAND	GBR
FALKLAND ISL	FLK
FED STATES MICRONESIA	FSM
GBR	GBR
GREAT BRITAIN	GBR
IRAN	IRN
LAO PDR	LAO
LAOS	LAO
LIBYA	LBY
MACEDONIA	MKD
NORTH KOREA	PRK
NORTHERN IRELAND	IRL
NZ	NZL
NZL	NZL
PALESTINIAN TERR	PSE
PNG	PNG
RUSSIA	RUS
SAINT VINCENT	VCT
SCOTLAND	GBR
STH GEORGIA	SGS
STH KOREA	KOR
STH SANDWICH ISL	SGS
TAIWAN	TWN
UK	GBR
USA	USA
WALES	GBR

8.5. Codes and Meanings for 'Rejected Reason'

The following error codes are used by CHESS in the Rejected Transaction message (518) when certain validation checks have failed. Note that where a similar error message meaning is given against more than one code, this reflects the different parts of the CHESS system involved in the validation and processing and will assist in problem determination by CHESS where necessary.

01001   HOLDER NOT AUTHORISED

01002   INVALID CHESS SECURITY CODE

01004   INVALID OVERRIDE BASIS - SECURITY NOT IN EX PERIOD

01005   DELIVERING PID DOES NOT CONTROL HIN

01006   RECEIVING PID DOES NOT CONTROL HIN

01007   SUBREGISTER NOT OPEN FOR PARTICIPANT SECURITIES MOVEMENT

01011   TRANSFEROR CUM ENTITLEMENT HOLDING NOT FOUND

01012   INSUFFICIENT CUM ENTITLEMENTS TO TRANSFER OR FOR SETTLEMENT

01013   TRANSFEROR HOLDING NOT FOUND

01014   INSUFFICIENT UNITS TO TRANSFER OR AVAILABLE FOR SETTLEMENT

01015   HOLDING NOT FOUND

01016   INVALID EX OR CUM IN OVERRIDE

01018   OVERRIDE CORPORATE ACTION TYPE NOT IN EX PERIOD

01019   SUBMITTING PID DOES NOT CONTROL HIN

01020   SUBMITTING PID NOT FOUND

01021   INVALID SUBMITTING PID STATUS - NOT AUTHORISED

01023   INVALID HOLDER TYPE - MUST BE 'D', 'S' OR 'E'

01024   INVALID SUBMITTING UIC - NOT PARTICIPANT TYPE

01025   DELIVERING PID NOT FOUND

01026   DELIVERING PID NOT AUTHORISED

01027   AT LEAST ONE HIN MUST BE SPECIFIED

01028   REGISTRATION DETAILS MUST BE SPECIFIED

01029   INVALID SUBMITTING PID - MUST BE BBS

01030   RECEIVING PID NOT FOUND

01031   RECEIVING PID NOT AUTHORISED

01032   DELIVERING PID MUST NOT BE THE SAME AS RECEIVING PID

01036   COUNTERPARTY PID NOT AUTHORISED

01037   DE TRANSFER FOR CANCELLATION NOT FOUND

01038   DE TRANSFER FOR CANCELLATION IS NOT UNMATCHED

01039   INSUFFICIENT UNITS TO CREATE THE SUBPOSITION

01040   HOLDING SUBPOSITION NOT FOUND

01041   INSUFFICIENT UNITS RESERVED IN THE SUBPOSITION

01042   INVALID PID

01043   INVALID QUANTITY

01044   SECURITY NOT FOUND

01045   HIN NOT FOUND

01047   INVALID OVERRIDE BASIS OF MOVEMENT

01048 INVALID BALANCE INSTRUCTI0NS

01049 CERTIFICATE QUANTITY LESS THAN (<) TRANSFER QUANTITY

01050 PARTICIPANT DOES NOT CONTROL HIN

01051 CHESS SUBREGISTER CLOSED FOR THIS SECURITY

01052 INVALID REGISTRATION DETAILS - NAME TERMINATOR (COMMA) IS MISSING

01053 INVALID REGO DETAILS - START ADDRESS LINE AFTER COMMA

01054 INVALID REGISTRATION DETAILS - NAME & ADDRESS ON SAME LINE

01055 INVALID REGISTRATION DETAILS - ADDRESS NOT FOUND AFTER COMMA

01056 INVALID REGISTRATION DETAILS - NAME LESS THAN (<) 5 CHARACTERS

01057 INVALID REGISTRATION DETAILS - MUST CONTAIN MINIMUM OF 2 LINES

01058 INVALID REGISTRATION DETAILS - INVALID POSTCODE OR COUNTRY CODE

01060 INVALID PID - NOT AN ACCEPTABLE PARTICIPANT TYPE

01062 TARGET TRANSACTION ID NOT FOUND

01063 TARGET TRANSACTION NOT UNAUTHORISED

01064 CERTIFICATED SUBREGISTER NOT OPEN

01065 DUPLICATE TRANSACTION ID IN TRANSFER (PSM/SPH/SE/CMMS)

01066 NON-NUMERIC DATA DETECTED - INVALID TRANSACTION FORMAT

01067 SOURCE UIC DIFFERENT FROM 1ST 5 CHARACTERS OF (SET) TRANSACTION ID

01068 LAST TWO CHARACTERS OF TRANSACTION ID (ACCRUAL NUMBER) NOT ZERO

01069 DELIVERING HIN NOT FOUND

01070 RECEIVING HIN NOT FOUND

01071 INVALID HIN STATUS - NOT ALLOCATED BY FAST

01073 PARTICIPANT DOES NOT CONTROL DELIVERING HIN

01074 PARTICIPANT DOES NOT CONTROL RECEIVING HIN

01075 SECURITY NOT ELIGIBLE FOR CHESS

01076 SUBREGISTER BARRED FROM MOVEMENT

01077 INVALID REASON CODE

01078 INVALID MOVEMENT REASON

01080 ISSUER SPONSORED SUBREGISTER NOT AVAILABLE

01081 DELIVERING HIN MUST NOT BE ZEROES FOR CONVERSION

01084 TRANSFER UNITS ARE ZERO

01085 CUM ENTITLEMENT HAS BEEN CANCELLED

01086 SIGNED FIELD DOES NOT CONTAIN LEADING '+' OR '-'

01087 DELIVERING PID NOT A BROKER

01088 SECURITY NOT FOUND

01089 REGISTRY IS NOT ACTIVE

01091 USER IS NOT AN ISSUER REGISTRY

01094 SUBREGISTER IS ARCHIVED

01095 DELIVERING HIN STATUS NOT ACTIVE/AUTHORISED

01096 RECEIVING HIN STATUS NOT ACTIVE/AUTHORISED

01103 SUBREGISTER CANNOT BE ARCHIVED AS SECURITY IS LISTED

01104 SUBREGISTER CANNOT BE ARCHIVED AS IT IS ALREADY ARCHIVED

01105 SUBREGISTER IS CONTROLLED BY ANOTHER USER

01106 CANNOT ARCHIVE SUBREGISTER - OUTSTANDING MOVEMENTS EXIST

01124 CORPORATE ACTION NOT PASSED RECORD DATE

01125   INVALID CORPORATE ACTION

01129   INVALID TRANSACTION BASIS - MUST BE 'L', 'M', 'O', 'I' OR 'F'

01134   CHESS HOLDING IS LOCKED/NOT ACTIVE

01135   RECEIVING UIC NOT A BROKER

01140   RECEIVING UIC NOT A PARTICIPANT

01141   TRANSFEROR HOLDING IS LOCKED

01142   TRANSFEREE HOLDING IS LOCKED

01146   INVALID AS AT DATE FOR REPORTING REQUEST

01147   INVALID START DATE FOR REPORTING REQUEST

01148   INVALID END DATE FOR REPORTING REQUEST

01149   SOURCE UIC IS NOT THE SAME AS UIC PARAMETER FOR REPORT REQUEST

01150   AT LEAST ONE TAX FILE NUMBER / ABN MUST BE PRESENT

01151   REPORT REQUEST STATUS IS NOT CURRENT

01152   INVALID ENTREPOT TYPE - MUST BE 'A' OR 'S'

01153   INVALID USER TYPE - MUST BE PARTICIPANT OR REGISTRY

01154   DAYS SINCE LAST MOVED GREATER THAN (>) MAXIMUM ARCHIVED DAYS

01155   INVALID SUBPOSITION TYPE - MUST BE 'O', 'A' OR 'X'

01156   END DATE LESS THAN (<) START DATE

01157   INVALID USER STATUS - CANCELLED

01158   TRANS OFF MARKET/NON-REPORTABLE - STAMP DUTY FIELDS ARE REQUIRED

01159   TRANS ON MARKET/IPO - STAMP DUTY FIELDS NOT REQUIRED

01160   TRANS OFF MARKET/NON-REPORTABLE - STAMP DUTY TYPE INVALID

01161   TRANS OFF MARKET/NON-REPORTABLE,TYPE IS EXEMPT,DUTY PAYABLE = 0

01162   TRANS OFF MARKET/NON-REPORTABLE UNDERLYING REF REQUIRED FOR TYPE'R'

01163   INVALID CORP ACT TYPE ID/EX DATE

01164   INVALID PROCESSING FREQUENCY

01165   INVALID PROCESSING DAY - MUST BE MONDAY - FRIDAY

01166   MUST SPECIFY BOTH CORP TYPE ID & EX DATE TOGETHER

01167   INVALID PROCESSING DAY - MUST BE 01 - 31

01168   INVALID REPORT START DATE

01169   INVALID REPORT END DATE

01170   INVALID PROCESSING PERIOD - MUST BE SPACE OR 'E'

01171   INVALID PARAMETERS FOR IMMEDIATE PROCESSING

01172   INVALID REGO DETAILS - ADDRESS LINES LESS THAN (<) TWO WORDS LONG

01173   INVALID REGO DETAILS - DESIGNATION/ACCOUNT REFERENCE

01174   TRANS OFF MARKET/NON-REPORTABLE,TYPE IS AD VALOREM,DUTY PAYABLE = 0

01175   TRANSACTION ID NOT ALLOWED FOR THIS REPORTING ID

01176   ENTREPOT TYPE NOT ALLOWED FOR HOLDER

01177   REPORT END DATE < START DATE

01178   REPORT END DATE < CURRENT DATE

01179   UNKNOWN TRANSACTION ID

01180   REPORTING ID PARAMETER NOT FOUND

01181   NO DEFAULT ACCUMULATION HIN SPECIFIED

01182   REDUCING SECURITY NOT ELIGIBLE FOR CHESS

01183   INCREASING SECURITY NOT ELIGIBLE FOR CHESS

01184   UNKNOWN REDUCING SECURITY CODE

01185   UNKNOWN INCREASING SECURITY CODE

01186   REDUCING SUBREGISTER BARRED FROM MOVEMENT

01187   INCREASING SUBREGISTER BARRED FROM MOVEMENT

01188   SUBMITTING USER IS NOT AN ISSUER REGISTRY

01189   INVALID PROCESSING PERIOD FOR STANDING REQUEST

01190   REDUCING SUBREGISTER IS ARCHIVED

01191   INCREASING SUBREGISTER IS ARCHIVED

01194   HOLDING BALANCE INDICATOR MUST BE 'Y' OR 'N'

01196   USER IS NOT DERIVATIVES

01197   NO CHESS USER APPOINTED AS TAKEOVER OFFEROR

01198   TRANSACTION ID DOES NOT REFERENCE A TAKEOVER ACCEPTANCE

01199   SECURITY NOT ASSOCIATED WITH THIS TAKEOVER

01200   INVALID FORMAT FOR EX DATE

01201   CUM PROCESSING HAS ALREADY BEEN TERMINATED

01202   NO CUM ENTITLEMENT BALANCES TO COMPLETE

01203   UNIT QTY < CERTIFICATE QTY - BALANCE INST MUST BE 'H' OR 'I'

01204   TARGET TRANSACTION HAS NOT BEEN EFFECTED

01205   CERTIFICATE NUMBER IS SPACES

01206   INVALID REPORTING ID

01207   TYPE IS AD VALOREM - STAMPING CONSIDERATION REQUIRED

01208   TYPE IS AD VALOREM - UNDERLYING REFERENCE REQUIRED

01209   TYPE IS CONCESSIONAL - UNDERLYING REFERENCE REQUIRED

01210   INVALID STATE INDICATOR

01211   TAKEOVER NOT ADMINISTERED BY SUBMITTING PARTICIPANT

01212   TRANSACTION ID NOT CONTROLLED BY SUBMITTING PARTICIPANT

01213   PID PARAMETER IS DIFFERENT FROM SENDER UIC

01214   INVALID EX DATE

01215   INVALID TAKEOVER CODE

01216   NOT A COLLATERAL TRANSACTION

01217   NOT A TAKEOVER TRANSACTION

01218   INCOMPATIBLE SUBPOSITION TYPE

01219   RESCHEDULE DATE CANNOT BE LESS THAN (<) REOPEN DATE

01222   STAMP DUTY MUST BE SUBMITTED BY RECEIVING PID ONLY FOR DUAL ENTRY

01223   SUBMITTING UIC MUST BE THE DELIVERING UIC OR THE RECEIVING UIC

01224   SENDER NOT THE ORIGINAL SUBMITTER OF UNMATCHED DE TRANSFER

01225   AS AT DATE MUST NOT BE EARLIER THAN LAST TRANS PURGE DATE

01226   AS AT DATE MUST NOT BE SPECIFIED FOR STANDING REPORT REQUEST

01227   BOTH HINS ARE IDENTICAL

01228   S.R.N. IS MANDATORY FOR THIS SECURITY

01229   CANNOT AMEND DETAILS ON SINGLE ENTRY SETTLEMENT TRANSFER

01230   USER TYPE OF SOURCE UIC IS NOT ASX CLEAR

01231   PID PARAMETER NOT SPECIFIED

01232   MARKET SUPPORT CAN ONLY SUBMIT ONE-OFF REQUEST

01233   TARGET TRANSACTION NOT FOUND

01234 PARTICIPANT NOT COUNTERPARTY TO TRADE

01235 TARGET NOT A TRADE

01236 TRADE NOT SCHEDULED FOR SETTLEMENT

01237 TRADE ALREADY BLOCKED

01238 TRADE IS NOT BLOCKED

01239 PIN IS MANDATORY FOR THIS SECURITY

01240 UNIT QUANTITY MUST BE GREATER THAN (>) ZERO

01241 NO HOLDINGS FOR SPECIFIED HIN/ISIN

01242 TRANSACTION-ID DOES NOT REFERENCE CORRECT FUNDS AUTH REQUEST

01243 TARGET FUNDS OBLIGATION FOR DIFFERENT SETTLEMENT DATE

01244 FUNDS OBLIGATION ALREADY AUTHORISED

01245 REJECTED DUE TO CANCELLATION OF SETTLEMENT

01246 ALL REQUIRED AUTHORISATIONS ALREADY RECEIVED

01247 TARGET TRANSACTION ALREADY ACKNOWLEDGED

01248 ZERO SUM ALREADY RECEIVED FOR TODAY

01249 TRANSACTION TARGETS INCORRECT SETTLEMENT DATE

01250 INCORRECT SETTLEMENT DATE

01253 FUNDS TRANSFER PROCESSING COMPLETE

01254 SUBMITTING UIC INVALID FOR TARGET TRANSACTION

01255 FUNDS TRANSFER PROCESSING ALREADY STARTED

01256 FUNDS TRANSFER PROCESSING HAS NOT STARTED

01257 ONLY DELIVERING BROKER CAN BLOCK/UNBLOCK TRADES

01258 NETTING ALREADY RUN FOR THIS SETTLEMENT DATE

01259 SUBREGISTER IS NOT OPEN, SUSPENDED OR BARRED

01260 START DATE MUST NOT BE EARLIER THAN LAST TRANS PURGE DATE

01261 SUBMITTING UIC MUST BE A BROKER OR NON-BROKER PARTICIPANT

01262 SECURITY IS NOT ELIGIBLE FOR SLS

01263 SUBREGISTER IS NOT OPEN

01264 LOAN APPLICATION VALUE EXCEEDS CURRENT THRESHOLD FOR SECURITY

01265 LOAN APPLICATION EXCEEDS UNIT THRESHOLD FOR SECURITY

01266 OUTSTANDING LOAN APPLICATIONS EXCEED BROKER LENDING LIMIT

01267 OUTSTANDING LOAN APPLICATIONS EXCEED MARKET LENDING POOL THRESHOLD

01268 LOAN APPLICATION EXCEEDS UNITS AVAILABLE FOR SECURITY

01269 DUPLICATE LOAN APPLICATION

01270 LOAN APPLICATION CANNOT BE CANCELLED AS IT IS NOT ACTIVE

01271 TARGET LOAN APPLICATION NOT FOUND

01272 LOAN APPLICATION CUT-OFF HAS BEEN REACHED

01273 LOAN RETURN CUT-OFF HAS BEEN REACHED

01274 LOAN RETURN CANNOT BE CANCELLED AS IT IS NOT ACTIVE

01275 TARGET LOAN RETURN WAS NOT FOUND

01276 CANNOT AMEND DETAILS ON A NET OBLIGATION

01279 USER OF THIS TYPE NOT ALLOWED TO REQUEST REPORTS

01280 INVALID LOAN TRANSACTION ID - NOT AN OUTSTANDING LOAN

01281 OUTSTANDING LOAN IS NOT ACTIVE

01282 OUTSTANDING LOAN NOT ASSOCIATED WITH SUBMITTING BROKER

01283 TOTAL LOAN RETURN UNITS HAS EXCEEDED LOAN BALANCE

01284 INVALID TRANS ID PARAMETER - INCORRECT PSM TYPE FOR REPORTING ID

01285 INCORRECT REPORTING ID - SIN TYPE IS O/S LOAN, USE OSL OR FLN

01286 INCORRECT REPORTING ID - SIN TYPE IS NOT O/S LOAN, USE FSI

01288 INVALID UNBLOCK TRADE REQUEST - TRADE HAS BEEN NETTED

01289 INVALID BLOCK TRADE REQUEST - TRADE HAS BEEN NETTED

01290 CANNOT UNBLOCK TRADE - DELIVERING HIN DIFFERENT TO DEFAULT HIN

01291 CANNOT UNBLOCK TRADE - RECEIVING HIN DIFFERENT TO DEFAULT HIN

01292 INVALID PROCESSING FREQUENCY - ONLY DAILY ALLOWED

01293 SUBREGISTER CANNOT BE ARCHIVED AS IT IS BARRED

01294 SUBREGISTER CANNOT BE ARCHIVED AS IT IS OPENED

01295 SUBREGISTER CANNOT BE ARCHIVED AS IT IS SUSPENDED

01296 SECURITY CODE DOES NOT MATCH ORIGINAL LOAN REQUEST

01297 FUNDS OBLIGATION ALREADY REJECTED

01298 INVALID REGO DETAILS - BACKSLASH IS NOT PERMITTED

01299 FUNDS OBLIGATION CANNOT BE REJECTED

01300 UNITS SUPPLIED ARE GREATER THAN (>) UNITS REQUIRED

01302 CDI CANNOT BE TRANSFERRED TO CERTIFICATED SUBREGISTER ON EX BASIS

01303 CONVERSIONS/TRANSFERS OF CDI SECURITIES CANNOT BE ON AN EX BASIS

01304 INVALID POSITION TYPE

01305 TRANFER MUST BE BETWEEN SUPER-PID & RELATED SUBORDINATE PID

01306 INVALID TRANSACTION CLASS PARAMETER FOR SPECIFIED REPORT REQUEST

01307 CUM ENTITLEMENT STATUS NOT FROZEN

01308 PID PARAMETER CANNOT BE THE SAME AS SENDING UIC

01309 INVALID PID PARAMETER

01310 NVALID ADDITIONAL REPORTING PARAMETER

01311 INVALID PROCESSING POINT - MUST BE 01-12

01312 NVALID TAKEOVER ACCEPTANCE REMOVAL REASON

01313 INVALID NUMBER OF DAYS PARAMETER

01314 DEFAULT HINS CANNOT BE CHANGED BY THIS METHOD

01316 DEFAULTING BROKER MUST MATCH DEL UIC OR REC UIC OF THE SETT INS

01317 TRADE REJECTED - BROKER IS A DEFAULTING BROKER

01318 INVALID REGO DETAILS - COUNTRY AND COUNTRY CODE MISMATCH

01319 INVALID AS AT DATE/START DATE (BEFORE EARLIEST REPORT DATE/NO DATA)

01320 SETTLEMENT AMOUNT REQUIRED FOR TRANSACTION BASIS 'I' AND 'F'

01321 USER NOT ALLOWED TO REQUEST SPECIFIED REPORT TYPE

01322 LOCKED HOLDER - TAKEOVER ACCEPTANCE REMOVAL REQUEST NOT POSSIBLE

01323 UNAUTHORISED HOLDER - TAKEOVER ACCEPTANCE REMOVAL REQ NOT POSSIBLE

01329 DUPLICATE SET TRANSACTION ID

01333 WARRANT ISSUER AGENT NOT AUTHORISED TO REQUEST FOR THIS REPORT ID

01336 ENTRY REQUEST SET CONTAINS VALIDATION ERRORS

01337 INVALID HIN – ENTREPOT TYPE CANNOT BE 'P'

01338 INVALID UPDATE-REASON-1

01339 INVALID UPDATE-REASON-2

01340 INVALID HOLDER LOCK RELEASE REASON

01341 INVALID DATE OF EVENT

01342 REGO NAME MUST CONTAIN 'EST' WHEN APPLYING A DECEASED HOLDER LOCK

01343 REGO NAME MAY NOT CHANGE WHEN APPLYING A DECEASED HOLDER LOCK

01501 CANNOT CHANGE SETTLEMENT INSTRUCTIONS OF THIS SIN TYPE

01502 NO FIELDS SUBMITTED WILL CHANGE TARGET SETTLEMENT INSTRUCTION

01503 SETTLEMENT STATUS INVALID FOR TARGET SETTLEMENT INSTRUCTION

01504 TARGET TRANSACTION ID NOT FOUND

01505 CANNOT CANCEL TARGET SETTLEMENT INSTRUCTION - INVALID STATUS

01506 MORE THAN ONE BASIS OF OVERRIDE FOR SAME CORPORATE ACTION

01507 TRADE DATE GREATER THAN (>) PROCESSING DATE

01508 TRADE DATE NOT FOUND

01509 OVERRIDE BASIS SPECIFIED FOR A SETTLEMENT OUTSIDE 'EX' PERIOD

01510 INVALID PART SETTLEMENT INDICATOR VALUE

01511 DIARY ADJUSTMENT NUMBER NOT FOUND

01512 INVALID PAYMENT FACILITY

01513 DELIVERING PID OR RECEIVING PID MUST BE A BROKER

01514 SETTLEMENT UNITS MUST BE GREATER THAN (>) ZERO

01515 TRADE DATE IS NOT A VALID BUSINESS DAY

01516 TRADE DATE NOT REQUIRED FOR THIS SETTLEMENT TRANSACTION

01517 TRADE DATE REQUIRED FOR THIS SETTLEMENT TRANSACTION

01518 SETTLEMENT DATE SUPPLIED IS NOT A VALID SETTLEMENT DATE

01519 SETTLEMENT DATE AFTER RECORD DATE FOR CUM MOVEMENT

01520 UNKNOWN CORPORATE ACTION FOR SPECIFIED OVERRIDE BASIS

01521 SETTLEMENT ALREADY COMPLETED FOR THIS SETTLEMENT DATE

01522 SETTLEMENT DATE PRIOR TO FIRST SETTLEMENT FOR THIS SECURITY

01523 SECURITY IS NOT ELIGIBLE FOR PHASE 2 OF CHESS

01529 TARGET TRANSACTION WAS NOT SUBMITTED BY SOURCE UIC

01530 TRADE ALREADY EXISTS

01531 STATUS OF SETTLEMENT INSTRUCTION MUST BE SCHEDULED

01532 SETTLEMENT CUT-OFF HAS BEEN REACHED

01533 TRADE CUT-OFF HAS BEEN REACHED

01534 TARGET SETTLEMENT INSTRUCTION MUST BE SINGLE ENTRY

01535 PAYMENT FACILITY IS NOT ACTIVE

01545 HIN ON NETTED OBLIGATION CANNOT BE MODIFIED

01546 VALUE SETTLEMENT ALREADY CUT-OFF FOR THIS SETTLEMENT DATE

01547 CANNOT CHANGE HIN FOR A SCHEDULED UNBLOCKED TRADE

01548 SETTLEMENT AMOUNT CANNOT BE SUPPLIED ON THIS TRANSACTION

01549 AS AT DATE FOR REPORT ID OBL & PPS MUST BE FOR A FUTURE DATE

01550 AS AT DATE FOR REPORT ID OBL & PPS SHOULD BE THE NEXT SETT DATE

01551 PROCESSING PERIOD IS INVALID FOR THE SPECIFIED REPORTING ID

01552 SETTLEMENT INSTRUCTIONS WITH STAMP DUTY CANNOT BE PART SETTLED

01555 LOAN APPLICATION ALREADY PROCESSED

01559 TRANSACTION BASIS CANNOT BE MODIFIED

01560 PAYMENT FACILITY DOES NOT MATCH TARGET TRANSACTION

01561 HOLDER HAS AN ASSOCIATED PAYMENT FACILITY

01562 HOLDER HAS OUTSTANDING SETTLEMENT INSTRUCTIONS

01563 HOLDER HAS UNMATCHED SETTLEMENT INSTRUCTIONS

01564 TARGET TRANSACTION MUST BE LONG A NET POSITION

01565 TARGET TRANSACTION MUST HAVE FAILED SETTLEMENT

01566 TARGET TRANSACTION MUST BE SCHEDULED OR SUSPENDED

01567 UNITS REQUESTED EXCEED UNITS OUTSTANDING

01568 INVALID DVP DECLARATION TIMESTAMP

01569 SECURITY MUST BE SUBJECT TO FOREIGN OWNERSHIP RESTRICTIONS

01570 INVALID GUARANTEED FOREIGN INDICATOR

01571 HIN MUST BE DESIGNATED FOREIGN FOR 'OR' TRANSACTION

01572 INSUFFICIENT GUARANTEED FOREIGN BALANCE

01573 SETTLEMENT INSTRUCTION ALREADY GUARANTEED FOREIGN

01574 SETTLEMENT INSTRUCTION IS NOT CURRENTLY GUARANTEED FOREIGN

01575 HIN MUST BE FOREIGN

01576 SECURITY MUST BE FOREIGN

01577 REASON "AFO" HIN AND SECURITY MUST BE FOREIGN

01578 HOLDER IS DEFAULT FOREIGN SETTLEMENT HIN OF THE OLD SPONSOR

01579 HIN CANNOT BE CHANGED FOR A TRADE THAT IS NETTABLE

01581 INVALID REGISTRY REJECT REASON

01582 INVALID TIMESTAMP

01583 TARGET UIC OR REQUESTING PID DID NOT MAKE THE ORIGINAL REQUEST

01584 BOTH THE AS AT DATE AND THE NUMBER OF DAYS WERE SPECIFIED

01585 TRANSACTION EXCEEDS SETTLEMENT VALUE CAP FOR THIS SECURITY TYPE

01586 INVALID DEBIT CAP ACTIVITY FLAG - MUST BE 'A' OR 'I'

01587 INVALID DEBIT CAP LIMIT - MUST BE NUMERIC

01588 RTGS-NET POSITION RECORD EXISTS - STATUS IS ACTIVE

01589 RTGS-NET POSITION RECORD EXISTS - HOWEVER STATUS IS INACTIVE

01590 RTGS-NET POSITION RECORD EXISTS - HOWEVER STATUS IS UNAUTHORISED

01592 SUBMITTING UIC MUST BE AN ASI FOREIGN MARKET BROKER

01593 RTGS-INVALID CASH SUBRECORD ID - LENGTH EXCEEDS 19 CHARACTERS

01594 RTGS-INVALID CASH SUBRECORD ID - CANNOT BE SPACES

01600 SUBMITTING UIC MUST BE A PAYMENT PROVIDER

01601 SPECIFIED PID DOES NOT CONTROL PAYMENT FACILITY ID

01602 SUBMITTING PAYMENT PROVIDER IS NOT ASSOCIATED WITH PAYFAC ID

01603 RTGS-AN UNAUTHORISED CASH SUBRECORD ALREADY EXISTS

01604 RTGS-CASH SUBRECORD ALREADY EXISTS - STATUS IS ACTIVE

01605 RTGS-CASH SUBRECORD ALREADY CANCELLED

01606 RTGS-CASH SUBRECORD TYPE INVALID

01607 RTGS-DEBIT CAP EXCLUSION FLAG INVALID

01608 RTGS-NET POSITION RECORD IS NOT ACTIVE

01609 RTGS-TARGET TRANS ID UNMATCHED FOR GIVEN PAYFAC ID/CASH SUBREC ID

01610 RTGS-INVALID RECALL TYPE - MUST BE 1, 2 OR 3

01611 RTGS-ESA LEVEL INVALID

01612 RTGS-CREDIT LEVEL INVALID

01613 RTGS-INVALID PRE-SETTLEMENT ADVICE - ESA LEVEL

01614 RTGS-INVALID PRE-SETTLEMENT ADVICE - CREDIT LEVEL

01615 RTGS-INVALID POST SETTLEMENT ADVICE

01616 RTGS-NET POSITION RECORD NOT FOUND FOR PAYMENT FACILITY ID

01617 RTGS-NET POSITION ACTIVITY FLAG IS INVALID

01618 ACTIVE CASH SUBRECORD NOT FOUND FOR PAYFAC/CASH SUBRECORD ID

01619 UNAUTH CASH SUBRECORD NOT FOUND FOR PAYFAC/CASH SUBRECORD ID

01620 ACTIVE CASH SUBRECORD NOT FOUND FOR PAYFAC/CASH ACCOUNT IDENTIFIER

01621 NO MODIFICATIONS HAVE BEEN MADE

01622 CANNOT BLOCK/UNBLOCK RTGS SETTLEMENT INSTRUCTION

01623 RTGS SETTLEMENT STATUS INVALID FOR TARGET TRANS ID

01624 A RECALL ALREADY EXISTS FOR THE TARGET TRANSACTION ID

01625 INVALID RTGS SIN STATUS- WAITING RBA FUNDS RESPONSE OR NOT ACTIVE

01626 INVALID PERIOD - MUST BE 'C' FOR CSD & CST REPORTS

01627 INVALID PERIOD - PERIOD 'C' CAN ONLY BE USED FOR CSD & CST REPORTS

01628 THIS IS NOT A FOREIGN MARKET SETTLEMENT INSTRUCTION

01629 SECURITY CODE IS NOT A FOREIGN MARKET SECURITY

01630 HIN IS NOT THE PORTAL HIN FOR THE FOREIGN MARKET SECURITY

01631 DELIVERING OR RECEIVING PID MUST BE EITHER A BROKER OR NON-BROKER

01640 UNITS MUST BE ZERO FOR PAYMENT ONLY SETTLEMENT INSTRUCTION

01641 DATA SUPPLIED IN FIELDS THAT ARE NOT RELEVANT TO PAYMENT ONLY TXNS

01642 INVALID SECURITY FOR MISCELLANEOUS PAYMENTS

01643 SECURITY USED FOR MISCELLANEOUS PAYMENTS ONLY

01644 SETTLEMENT AMOUNT MUST BE GREATER THAN ZERO FOR PAYMENT ONLY TXNS

01645 TRANSACTION BASIS MUST BE 'F' FOR THIS TRANSACTION

01646 CANNOT CHANGE THE SECURITY FOR PAYMENT ONLY SETTLEMENT INSTRUCTION

01647 PART SETTLE INDICATOR MUST BE 'Y' FOR PAYMENT ONLY SETTLEMENT INST

01648 REPORT PARAMETERS SPECIFIED ARE INADEQUATE

01649 CANNOT CHANGE HIN FOR SIN TYPE 'T' – NON-RESCHEDULING PAYMENTS

01650 SOURCE UIC MUST BE A PARTICIPANT TYPE 'PO'

01651 SOURCE UIC DIFFERENT FROM THE OFFEROR UIC FOR TAKEOVER CODE

01652 INVALID DISTINCT PORTION INDICATOR – MUST BE 'Y', 'N' OR SPACE

01653 CONTROLLING UIC MUST BE DIFFERENT FROM SOURCE UIC

01654 HIN IS DIFFERENT FROM TARGET TRANSACTION HIN

01655 UNITS ACCEPTED ARE DIFFERENT FROM TARGET TRANSACTION UNITS ACCEPTED

01656 DISTINCT PORTION IND IS DIFFERENT FROM THAT OF TARGET TRANSACTION

01657 SECURITY CODE IS DIFFERENT FROM TARGET TRANSACTION SECURITY CODE

01658 TARGET TRANS IS NOT AN OFFEROR INITIATED TRANSACTION

01659 TAKEOVER OFFEROR UIC IS DIFFERENT FROM SENDER OF TARGET TRANS ID

01660 TARGET TRANSACTION CANNOT BE AN OFFEROR TAKEOVER ACCEPTANCE

01661 TARGET TRANSACTION MUST BE AN EFFECTED TAKEOVER ACCEPTANCE

01662 DEMAND STATEMENT REQUEST ALREADY EXISTS

01663 SECURITY CODE IS MANDATORY

01664 HOLDING STATEMENTS ARE CURRENTLY SUPPRESSED FOR THIS HIN

01665 INVALID PROCESSING FREQUENCY – MUST BE ONE-OFF FOR 'DSM'

01666 INVALID PROCESSING FREQUENCY - CANNOT BE YEARLY FOR 'TSH'

01667   TOP SHARE HOLDER REPORT REQUEST ALREADY EXISTS

01669   EMAIL ADDRESS MUST NOT CONTAIN SPACES

01670   EMAIL ADDRESS MUST CONTAIN AT LEAST ONE DOT

01671   EMAIL ADDRESS MUST CONTAIN ONE @ SIGN

01672   EMAIL ADDRESS MUST BE THE SAME AS CURRENT EMAIL ADDRESS FOR CANCEL

01673   EMAIL CANCELLATION MUST BE "Y" OR SPACE

01674   EMAIL PURPOSE MUST BE "A" OR "B" OR "C"

01675   EMAIL PURPOSE MUST BE AS CURRENT EMAIL PURPOSE FOR CANCEL

01676   EMAIL PURPOSE MUST BE SPACES WHEN EMAIL ADDRESS IS SPACES

01677   EMAIL PURPOSE AND EMAIL ADDRESS MUST BE PRESENT FOR A CANCELLATION

01812   USER STATUS IS CANCELLED

01813   USER STATUS IS UNAUTHORISED

01814   USER TYPE MUST BE 'PB' OR 'PN'

01815   UNKNOWN BSB NUMBER (FORMAT NNN-NNN)

01816   INVALID ACCOUNT PURPOSE

01817   INVALID ACCOUNT CANCELLATION

01818   ACCOUNT CANCELLATION REQUIRES ALL ACCOUNT DETAILS TO BE PRESENT

01819   NOT ALL ACCOUNT DETAILS ARE PRESENT

01820   INVALID EMAIL ADDRESS FORMAT

01821   INVALID EMAIL PURPOSE

01822   INVALID EMAIL CANCELLATION

01823   EMAIL CANCELLATION REQUIRES ALL EMAIL DETAILS TO BE PRESENT

01824   NOT ALL EMAIL DETAILS ARE PRESENT

01825   SUBMITTING UIC IS NOT AN ISSUER REGISTRY

01826   UNKNOWN TARGET UIC

01827   TARGET UIC IS NOT ACTIVE

01828   INVALID REJECT HOLDING ADVICE REASON

01829   CANNOT CANCEL AND ADD IN THE SAME MESSAGE

01830   NO HOLDING ADVICE DETAILS SUPPLIED

01833   INVALID DUTY TYPE – MUST BE K,L,M OR BLANK

01834   INVALID DUTY TYPE – MUST BE K,L OR M

02001   SUBMITTING RID DOES NOT ADMINISTER SECURITY

02005   INVALID HIN STATUS - NOT ACTIVE

02009   HOLDING NOT FOUND FOR DECREASE ADJUSTMENT

02011   INSUFFICIENT UNITS TO ADJUST

02012   DELIVERING HOLDING NOT FOUND

02013   INSUFFICIENT UNITS FOR TAKEOVER

02016   INSUFFICIENT UNITS FOR SECURITIES TRANSFORMATION

02017   REDUCING HOLDING NOT FOUND

02018   TAKEOVER CODE NOT FOUND

02019   INVALID CONTROLLING UIC

02020   TAKEOVER MUST BE UNCONDITIONAL OR CLOSED FOR A TAKEOVER TRANSFER

02021   INVALID INCREASE-OR-DECREASE CODE

02022   SUBREGISTER IS BARRED OR ARCHIVED OR CLOSED

02023   INVALID REJECTED MOVEMENT REASON

02024   INVALID SECURITY CODE ON MESSAGE

02025   TAKEOVER SUBPOSITION NOT FOUND

02026   REDUCING UNIT QUANTITY CANNOT BE ZERO

02027   INCREASING UNIT QUANTITY CANNOT BE ZERO

02028   ONLY CONVERSIONS CAN BE AUTHORISED WITH THIS MESSAGE

02029   ONLY TRANSFERS CAN BE AUTHORISED WITH THIS MESSAGE

02030   INVALID TRANSACTION BASIS - MUST BE 'L', 'M' OR 'O'

02031   SECONDARY MATCHING FLAG MUST BE 'Y' OR 'N' OR SPACE

02032   SUPPLEMENTARY REF. MUST NOT BE SPACES WHEN MATCHING FLAG IS 'Y'

03007   INSUFFICIENT UNITS RESERVED IN THE SUBPOSITION

03010   INCORRECT TARGET TRANSACTION TYPE

03011   TARGET TRANSACTION ALREADY AUTHORISED

03012   SUBMITTING RID DOES NOT ADMINISTER REDUCING SECURITY

03013   SUBMITTING RID DOES NOT ADMINISTER INCREASING SECURITY

03015   MARGIN LENDER MUST BE Y OR N OR SPACE

03016   SPECIFIC COVER MUST BE Y OR N OR SPACE

03017   TOTAL IN OCH PAYMENT HEADER DIFFERS FROM NO OF PAYMENTS RECEIVED

03019   NO DERIV CROSS REFERENCE RECORD FOUND FOR PAYER CLEARING MEMBER

03021   NO DERIV CROSS REFERENCE RECORD FOUND FOR PAYEE CLEARING MEMBER

03024   SCHEDULED SINS EXIST FOR OLD HIN OF CLEAR MEM/PAY CATEGORY

03025   OCH TOTAL RECORDS RECEIVED DISAGREES WITH TOTAL IN TRAILER RECORD

03027   OCH PAYMENT SET REJECTED BY MARKET SUPPORT

03028   NO RECORDS IN OCH PAYMENT SET

03029   EITHER PAYEE OR PAYER MUST BE THE OCH CLEARING MEMBER

03030   PAYMENT AMOUNT MUST BE ZERO OR GREATER

03031   INVALID CHESS UIC TYPE FOR PAYER/PAYEE CLEARING MEMBER

03032   UNKNOWN CHESS UIC FOR PAYEE CLEARING MEMBER

03033   CHESS UIC FOR PAYEE CLEARING MEMBER NOT AUTHORISED

03034   UNKNOWN CHESS UIC FOR PAYER CLEARING MEMBER

03035   CHESS UIC FOR PAYEE CLEARING MEMBER NOT AUTHORISED

03036   PAYER HIN NOT CONTROLLED BY PAYER UIC

03037   PAYEE HIN NOT CONTROLLED BY PAYEE UIC

03038   INVALID PAYMENT FACILITY FOR PAYER NOMINATED OR DEFAULT SETT HIN

03039   INVALID PAYMENT FACILITY FOR PAYEE NOMINATED OR DEFAULT SETT HIN

03043   DERIVATIVES PAYMENT MESSAGE CAN ONLY BE SENT BY ASX CLEAR

03045   INCOMPLETE MESSAGE SET - TRAILER TOTAL DISAGREES OR MISSING

03046   SET CONTAINS MIXED REASONS (WARRANT)

03047   SET HEADER CONTAINS INCORRECT MESSAGE COUNT FOR PROCESS TYPE

03048   SOURCE UIC MUST BE SAME AS DELIVERING UIC FOR WARRANT WDL OR WUX

03049   DELIVERING/RECEIVING HIN CANNOT BE ZEROES (WARRANT)

03050   SOURCE UIC IS NOT AN AGENT FOR THE WARRANT ISSUER

03051   SECURITY TYPE IS NOT A WARRANT

03052   COUNT OF WEX TRANS IN SET NOT = 1

03053   DELIVERY TYPE CODE FOR WEX MUST BE 'D' – (WARRANT)

03054   SOURCE UIC MUST BE RECEIVING PID FOR WARRANT 'WEX'

03055   COUNT OF WUX TRANS IN SET NOT = NO. OF UNDERLYING SEC IN BASKET

03056   WUX SECURITY DOES NOT EXIST IN EXERCISE SECURITY TABLE

03057   WUX RECEIVING UIC MUST BE SAME AS WEX DELIVERING PID

03058   WUX DELIVERING UIC MUST BE SAME AS WEX RECEIVING PID

03059   WUX UNITS (+/-1) NOT= WAR EXERCISED / WAR PER PARCEL * SHARES/PARCEL

03060   SOURCE UIC NOT = DELIVERING OR RECEIVING PID FOR WARRANT ROLLOVER

03061   WARRANT ROLLOVER SET MUST ONLY CONTAIN 1 ROLL-IN & 1 ROLL-OUT TRANS

03062   WARRANT SECURITY IN ROLL-IN MUST BE DIFFERENT FROM ROLL-OUT TRANS

03063   SECURITY TYPE FOR ROLL-IN AND ROLL-OUT TRANS MUST BE THE SAME

03064   RECEIVING PID ON ROLL-IN NOT = DELIVERING PID ON ROLL-OUT TRANS

03065   RECEIVING PID ON ROLL-OUT NOT = DELIVERING PID ON ROLL-IN TRANS

03066   USER IS NOT ISSUER REGISTRY OR WARRANT ISSUER AGENT

03067   CHESS UIC FOR CLEARING MEMBER CANNOT BE A SYSTEM UIC

03068   SET DOES NOT CONTAIN ALL THE BASKET WARRANT SECURITIES

03069   SECURITY TYPE MUST BE 46 OR 48 (CALL WARRANT)

04008   RECEIVING PID NOT FOUND

04015   INVALID CONFIRMATION RESPONSE - MUST BE 'A', 'R' OR 'B'

04016   INVALID BBS DELIVERY TYPE

04017   DEFAULT SETT OR ACCUM HIN IS ZERO FOR RECEIVING BROKER

04018   DEFAULT SETTLEMENT HIN IS ZERO FOR DELIVERING BROKER

05020   UIC NOT FOUND

05021   INVALID UIC STATUS - NOT ACTIVE

05026   REGO UPDATE REASON WAS PROVIDED BUT HOLDER NAME WAS NOT CHANGED

05033   INVALID REGISTRY STATUS – NOT AUTHORISED

05051   HOLDER LOCKED

05053   HIN IS THE DEFAULT SETTLEMENT HIN OF SPONSOR

05055   HOLDER HAS UNAUTHORISED SUBPOSITIONS UNDER PREVIOUS SPONSOR

05056   HOLDER HAS UNAUTHORISED REGISTRY TRANSACTIONS UNDER OLD SPONSOR

05062   HIN IS THE DEFAULT DEMAND HIN OF SPONSOR

05066   INVALID TAKEOVER STATUS

05067   ORIGINAL TAKEOVER IS FOR A DIFFERENT ISSUER

05069   TAKEOVER CODE NOT FOUND

05071   HIN – CHECK DIGIT ERROR

05072   NEW SPONSOR MUST BE DIFFERENT TO OLD SPONSOR

05073   NEW SPONSOR MUST BE PARTICIPANT

05089   HIN MUST BE SUPPLIED

05090   UIC MUST BE SUPPLIED

05098   EFFECTIVE DATE IS NOT A BUSINESS DATE

05113   INVALID DATE*

05114   INVALID DATE – AS AT DATE IS EQUAL OR GREATER THAN CURRENT DATE

05115   INVALID JULIAN DATE

05126   PAYMENT FACILITY NOT FOUND

05134   INVALID CONDITION CODE

05135   INVALID BASIS OF QUOTATION

05136   TRADE UNITS CANNOT BE ZERO*

05137   INVALID PAYMENT FACILITY FOR RECEIVING HIN

05138   INVALID PAYMENT FACILITY FOR DELIVERING HIN

05139   LAST HIN – PAYMENT FACILITY MUST BE CANCELLED

05149   UNKNOWN TRADE – CANNOT CANCEL*

05150   SETTLEMENT INSTRUCTION NOT A BRK/BRK TRADE – CANNOT CANCEL*

05151   TRADE NOT SCHEDULED – CANNOT BE CANCELLED*

05152   TRADE ALREADY CANCELLED*

05153   TRADE HAS BEEN PART DELIVERED – CANNOT CANCEL*

05154   ACCRUED TRADE STILL ACTIVE – CANNOT CANCEL PARENT*

05157   PRICE AND AMOUNT CANNOT BE ZERO*

05158   PRICE AND VALUE MISMATCH*

05159   DELIVERY FAIL TRADES – TRADE NOT FOR CHESS*

05165   SUBMITTING UIC DOES NOT CONTROL PAYMENT FACILITY

05166   HOLDER IS CANCELLED

05167   HOLDER IS NOT AUTHORISED

05168   HOLDER IS NOT SPONSORED

05170   HOLDER HAS UNAUTHORISED REGISTRY TRANSACTIONS

05171   HOLDER HAS UNAUTHORISED SUB-POSITIONS

05172   HOLDER FOR CANCELLATION HAS HOLDINGS

05179   TAKEOVER ACCEPTANCE UNITS MUST BE GREATER THAN (>) ZERO

05180   TRANSACTION UNITS MUST BE GREATER THAN (>) ZERO

05183   SUBREGISTER NOT CLOSED YET

05187   INVALID AS AT DATE*

05191   HOLDER HISTORY STATUS IS NOT UNAUTHORISED

05192   SOURCE UIC NOT THE REQUESTING PARTICIPANT OF CHANGE OF CONTROLLER

05193   INVALID REJECT REASON - MUST BE 'A', 'B' OR 'C'

05198   LOCK CANNOT BE APPLIED - PREVIOUSLY BANKRUPT

05199   LOCK CANNOT BE APPLIED - PREVIOUSLY DEAD

05203   REGISTRY DOES NOT OFFER ISSUER SPONSORSHIP

05204   COUNTERPARTY DOES NOT CATER FOR THIS FUNCTION

05207   INVALID AS AT DATE FOR IMMEDIATE REPORTING - DATA ALREADY PURGED

05208   AS AT DATE FOR IMMEDIATE REPORTING MUST BE FOR TODAY OR ZEROES

05210   CANNOT CANCEL TODAY AS THERE ARE MOVEMENTS - TRY TOMORROW

05301   NO ACTIVE CASH POSITION COULD BE FOUND

05302   NO DEFAULT BUY CASH ACCOUNT IDENTIFER

05303   NO DEFAULT SELL CASH ACCOUNT IDENTIFER

05304   NO ACTIVE CASH SUBRECORD COULD BE FOUND

05305   RTGS TRANSACTIONS HAVE BEEN DISABLED

05306   NBP TO NBP RTGS TRANSACTIONS NOT ALLOWED

05307   SETTLEMENT AMOUNT IS LESS THAN THE MINIMUM RTGS SETTLEMENT AMOUNT

05308   INVAILD STATUS ON THE RTGS TRANSACTION

05309   PART SETTLEMENT NOT ALLOWED ON RTGS TRANSACTIONS

05310   CANNOT CHANGE SCHEDULE FOR SETTLEMENT - USE AUTHORISE FUNCTION

05311   INVALID RTGS TRANSACTION STATUS FOR CANCELLATION

05312   INVALID RTGS TRANSACTION STATUS FOR CHANGE

05313   DESTINATION SETTLEMENT SYSTEM IS NOT A VALID ONE

05314   RTGS SETTLEMENT IS CLOSED FOR SETTLEMENTS FOR TODAY

05315   RTGS TRANSACTION IS ALREADY APPROVED

05316   SETTLEMENT AMOUNT FOR A RTGS TRANSACTION CANNOT BE ZERO

05317   SCHEDULE FOR SETTLEMENT MUST BE 'Y' 'N' OR BLANK

05318   INVALID RBA SETTLEMENT REJECT CODE

05319   FOREIGN MARKET SECURITIES CANNOT BE SETTLED IN RTGS

05320   TRANSACTION MUST BE OFF-MARKET AND DUTY TYPE 'K', 'L' OR 'M'

05900   TRANSACTION IS FOR RTGS SETTLEMENT - MUST USE RTGS MESSAGES

05901   TRANSACTION IS TARGETING A NON RTGS TRANSACTION

05902   TARGET TRANSACTION IS ALREADY NET

05903   CORRESPONDENT IS NOT AUTHORISED TO USE THE RTGS SYSTEM

05904   RTGS SETT HAS NOT CLOSED YET - CANNOT CHANGE NET POS ACTIVITY FLAG

05910   RECEIVING PID ON MESSAGE NOT THE SAME AS ORIGINAL TRADE

05911   DELIVERING PID ON MESSAGE NOT THE SAME AS ORIGINAL TRADE

05912   SECURITY CODE ON MESSAGE NOT THE SAME AS ORIGINAL TRADE

05913   TRUST AMOUNT MUST BE A POSITIVE OR A ZERO VALUE

05914   TRUST AMOUNT MUST BE A NEGATIVE OR A ZERO VALUE

06039   PARTICIPANT SECURITY MOVEMENT NOT FOUND

06042   INVALID HOLDER STATUS

06043   ORIGINAL SPONSOR HAS CHANGED

06047   REQUEST PREVIOUSLY RECEIVED FOR THIS HIN

06048   PAY/RECEIVE INDICATOR MUST BE 'P' OR 'R'

06049   DELIVER/RECEIVE INDICATOR MUST BE 'D' OR 'R'

06050   ALLOCATION STATUS MUST BE 'I' OR 'F'

06051   ALLOCATION CANCEL INDICATOR MUST BE 'C' OR 'T'

06052   CONTRACT NOTE REJECT INDICATOR MUST BE 'C' OR 'T'

06053   CONTRACT NOTE STATUS MUST BE 'C' OR 'P'

06054   PRINCIPAL INDICATOR MUST BE 'P' OR 'A'

06055   CROSSING INDICATOR MUST BE 'C' OR 'P'

06056   BUY/SELL INDICATOR MUST BE 'B' OR 'S'

06057   INVALID TIMESTAMP

06058   INVALID SETTLEMENT MATCHING DATE

06059   INVALID REJECT REASON MUST BE 'A' THRU 'T'

06060   ETC TARGET UIC CANNOT BE THE SAME AS THE SUBMITTING UIC

06061   INVALID DUTY TYPE

06062   INVALID ASX MEMBER INDICATOR

06063   MOVEMENT REASON MUST BE 'F','G' OR 'Z'

06064   INVALID REGO DETAILS - FULLSTOP IS NOT PERMITTED

06065   INVALID RESIDENCY INDICATOR

06066   LESS THAN (<) AND OR GREATER THAN (>) SYMBOLS NOT ALLOWED IN ADDRESS

06067   BROKER NOT FOUND

06068   INITIATOR NAME & ADDRESS MUST BE ENTERED

06069   INVALID HOLDER STATUS

06070   INVALID NAME PREFIX - ONLY 'EST' MAY BE USED AS A NAME PREFIX

06071 HOLDER LOCKED

06072 TRANSFEROR HOLDER IS LOCKED

06073 TRANSFEREE HOLDER IS LOCKED

06074 OTHER OPTIONAL FIELDS MUST BE BLANK FOR CANCEL HOLDER REQUEST

06075 OUTSTANDING REQUEST/S EXIST FOR THIS HIN

06076 ENTREPOT HINS CANNOT BE LOCKED

06077 HOLDER LOCKED - CONVERSION NOT POSSIBLE

06078 PID IS NOT AUTHORISED

06079 PID NOT FOUND

06080 PID DOES NOT CONTROL THIS HOLDER

06091 AT LEAST ONE OPTIONAL FIELD MUST CONTAIN A VALUE

06092 INVALID AUSTRALIAN STATE

06093 AUSTRALIAN STATE MUST NOT BE ON A LINE BY ITSELF

06094 DEFAULT ACCUMULATION HIN CAN ONLY HAVE A DOMESTIC RESIDENCY VALUE

06095 DEFAULT SETTLEMENT HIN CAN ONLY HAVE A DOMESTIC RESIDENCY VALUE

06096 HOLDER IS LOCKED - CANNOT CANCEL

06097 INVALID RECEIVING RESIDENCY INDICATOR

06098 INVALID REGO DETAILS - SUBURB/STATE/POSTCODE COMBINATION IS INVALID

06099 PROPOSED RESIDENCY VALUE SAME AS OLD VALUE

06103 RECEIVING RESIDENCY INDICATOR MUST BE BLANK - SECURITY NOT FOREIGN

06106 OFFEROR HIN CANNOT BE SPONSORED

06107 ENTREPOT AND DIRECT HINS CANNOT BE LOCKED

06119 UNAUTHORISED CHANGE OF SPONSOR REQUEST ALREADY EXISTS

06120 UNAUTHORISED CHANGE OF REGO DETAILS REQUEST EXISTS FOR THE HIN

06127 INVALID INVESTOR TYPE IN TAX FILE NUMBER/ABN

06128 SHAREHOLDER NAME MUST NOT START WITH SPACE

06129 REGO DETAILS CAN NOT CONTAIN DOUBLE SINGLE OR DOUBLE DOUBLE QUOTES

06130 INVALID REGO DETAILS – ONLY ONE COMMA MAY BE USED

06133 INVALID COLLATERAL REJECT REASON

06134 ENTREPOT HOLDER NOT VALID FOR CHANGE OF SPONSOR

06137 TAX FILE NUMBER/ABN MUST BE LEFT JUSTIFIED

06135 NAUTHORISED CHANGE REQUEST EXISTS FOR THIS HIN

06137 TAX FILE NUMBER/ABN MUST BE LEFT JUSTIFIED

06141 UIC DOES NOT HAVE ACCESS TO THIS SECURITY FOR 'TSH' REPORT

06142 INVALID PARAMETER LIST SUPPLIED FOR 'TSH' REPORT

06143 UNBALANCE DETECTED – CANNOT PROCESS REQUEST

06144 INSUFFICIENT UNITS TO COVER LONG POSITION – REQUEST REJECTED

06146 SUBREGISTER IS BARRED OR ARCHIVED

06401 TAX DEDUCTED MUST BE GREATER THAN ZERO WHEN UNITS>ZERO

06402 NO INVESTOR INFORMATION PRESENT (NO HIN/SRN/REGO DETAILS)

06403 ORDER AMOUNT MUST BE GREATER THAN ZERO

06404 DUPLICATE TRANSACTION ID (ORIGIN)

06405 DUPLICATE TRANSACTION ID

06406 UNKNOWN FUND SECURITY

06407 PISP PID NOT ACTIVE

06408   PISP PID NOT TYPE PARTICIPANT REGISTRY

06409   FUND CODE IS NOT AN AMFS SECURITY

06410   NO AMFS PAYMENT FACILITY FOUND FOR SUBMITTER OR THEIR AGENT

06411   CANNOT SUPPLY REGISTRATION DETAILS WITH HIN/SRN

06412   INVALID TRANSACTION STATUS

06413   INVALID ORDER TYPE

06414   INVALID SUBMITTING PISP

06415   ORDER STATUS, PID, PISP, TYPE, INVESTOR OR SECS DO NOT MATCH TRANS DATA

06416   ORIGIN TRANS ID NOT FOUND IN FUND ORDERS

06417   INVALID ALLOTMENT DATE - NOT A BUSINESS DAY

06418   INVALID PRICING DATE

06419   INVALID REJECTED REASON CODE

06420   NO AMFS PAYMENT FACILITY FOUND FOR COUNTER PARTY OR THEIR AGENT

06421   AMOUNT DIFFERENT TO THAT REQUESTED

06422   TRANS ID AND ORIGIN TRANS ID MUST BE = ON INITIAL RRP ORDER

06423   AMOUNT AND UNITS CAN NOT BE BOTH PRESENT

06424   INSUFFICIENT UNITS HELD FOR REDEMPTION OR SWITCH

06425   RESPONSE PAYMENT DATE NOT EQUAL TO REDEMPTION ORDER PAYMENT DATE

06426   INVALID HOLD REASON CODE

06427   INPUT UNITS NOT EQUAL TO ORDER UNITS

06428   INVALID AMOUNT

06429   APPLICATION PISP NOT SAME AS REDEMPTION PISP

06430   REDEMPTION FUND CODE IS NOT AN AMFS SECURITY

06431   APPLICATION FUND CODE IS NOT AN AMFS SECURITY

06432   UNKNOWN REDEMPTION FUND SECURITY

06433   UNKNOWN APPLICATION FUND SECURITY

06434   REDEMPTION FUND HOLDING IS LOCKED

06435   APPLICATION FUND HOLDING IS LOCKED

06436   UNIT REGISTRY PID NOT FOUND

06437   NO DEFAULT DEMAND HIN SPECIFIED

06446   SOURCE UIC NOT THE SAME AS PARTICIPANT PID IN FUND ORDERS TABLE

06447   ORDER STATUS IS NOT ON HOLD

06449   REQUEST PAYMENT DATE IS DIFFERENT FROM FUND ORDERS SETTLEMENT DATE

06450   MISMATCH IN UIC, PID AND ORDER STATUS

06451   ALLOTMENT DATE CANNOT BE BEFORE TODAY

06452   TRANSACTION ORDER TYPE IS DIFFERENT FROM ORIGINAL ORDER TYPE

06453   PAYMENT DATE CANNOT BE BEFORE TODAY

06454   PAYMENT DATE CANNOT BE MODIFIED AS ORDER PAYMENT HAS BEEN SETTLED

06455   ORDER TYPE INAPPROPRIATE FOR PAYMENT DATE CHANGE

06456   ORDER TYPE INAPPROPRIATE FOR ALLOTMENT DATE CHANGE

06457   ISSUER CODE DOES NOT EXIST

06458   SECURITY NOT FOUND FOR ISSUER OR REGISTRY NOT MATCHING SOURCE UIC

06459   APPLICATION HIN NOT FOUND

06460   SUBMITTING REGISTRY NOT THE CONTROLLING UIC FOR APPLICATION HIN

06461   APPLICATION HIN NOT ACTIVE

06462   INVALID PAYMENT FACILITY FOR APPLICATION HIN

06463   REDEMPTION HIN NOT FOUND

06464   SUBMITTING REGISTRY NOT THE CONTROLLING UIC FOR REDEMPTION HIN

06465   REDEMPTION HIN NOT ACTIVE

06466   INVALID PAYMENT FACILITY FOR REDEMPTION HIN

06467   DISTRIBUTION HIN NOT FOUND

06468   SUBMITTING REGISTRY NOT THE CONTROLLING UIC FOR DISTRIBUTION HIN

06469   DISTRIBUTION HIN NOT ACTIVE

06470   INVALID PAYMENT FACILITY FOR DISTRIBUTION HIN

06471   SOURCE UIC NOT PARTICIPANT REGISTRY

06472   NO PAYMENT HIN LINK RECORD FOUND FOR ISSUER

06473   UNKNOWN USER REGISTRY

06474   INCREASE OR DECREASE VALUE DOES NOT MATCH FUND REASON

06475   ORIGINAL FUND CODE DOES NOT MATCH FUND CODE ON UNIT ADJUSTMENT

06476   PID ON MESSAGE NOT THE SAME AS PID ON ORDER

06477   ORDER IS NOT OPEN

06479   INVALID RECORD DATE

06480   INVALID PAYMENT DATE

06481   INPUT DISTRIBUTION PAYMENT DETAILS DO NOT MATCH EXISTING HEADER

06482   DISTRIBUTION HEADER NOT FOUND

06483   INPUT DIST TOTAL DOES NOT MATCH CHESS RECORD DISTRIBUTION TOTAL

06484   INVALID ALLOTMENT DATE

06485   CANNOT CHANGE AMFS SECURITY TYPES

06486   INVALID NET OR GROSS FLAG VALUE

06487   INVALID AML FLAG VALUE

06489   INVALID REDEMPTION REQUEST REASON

06490   INVALID AML CHECK FLAG

06491   FEES MUST BE PRESENT WHEN UNITS>ZERO

06492   ONCE NOTIFIED CANNOT CHANGE ALLOTMENT/PAYMENT DATE - USE MSG 810

06493   PISP PID NOT A RECOGNISED USER

06494   CANNOT FIND THE PISP FOR THIS SECURITY

06495   CANNOT CHANGE STATUS OF PREVIOUSLY ACCEPTED ORDER

06496   UNIT PRICING DATE MUST BE PRESENT ON FIRST ORDER ACCEPTANCE

06497   MUST SUPPLY SRN FOR NEW INVESTOR

06498   PID MUST BE A BROKER OR A NON-BROKER PARTICIPANT

06499   MUST SUPPLY HIN OR SRN ON INITIAL REGULAR PAYMENT PLAN

06500   INVALID VALUE FOR 'PDS PROVIDED' FIELD

06501   HIN ON ORDER DIFFERENT TO HIN ON MESSAGE

06502   CANNOT CHANGE AMFS PAYMENT

06503   INVALID FUND REASON CODE

06504   ORDER STATUS MUST BE ON-HOLD

06505   INVALID TRANSACTION STATUS

06506   UNIT PRICE MUST BE > ZERO

06507   INVALID UNIT PRICING DATE

06508   INVALID SECURITY HOLDER REFERENCE NUMBER

06509   ORDER IS NOT COMPLETED

06510   SUBMITTING PID DOES NOT CONTROL DELIVERING HIN

06511   SUBMITTING PID DOES NOT CONTROL RECEIVING HIN

06512   HOLDING STATUS NOT ACTIVE

06513   ORIGINAL FUND MANAGER PID IS NOT THE SUBMITTING PID

06514   ORIGINAL PARTICIPANT CANCEL REQUEST NOT FOUND

06515   CANNOT SUPPLY REJECT REASON FOR THIS TRANSACTION STATUS

06516   CANNOT SUPPLY CANCEL REASON FOR THIS TRANSACTION STATUS

06517   REJECT REASON MUST BE SUPPLIED FOR THIS TRANSACTION STATUS

06518   ORDER STATUS MUST BE 'OPEN'

06519   MUST SUPPLY EITHER PAYMENT DATE OR ALLOTMENT DATE

06520   CAN ONLY CHANGE PREVIOUSLY SUPPLIED PAYMENT-DATE

06521   CAN ONLY CHANGE PREVIOUSLY SUPPLIED ALLOTMENT DATE

06522   ORDER STATUS MUST BE OPEN OR ON-HOLD

06523   MUST SUPPLY ORDER CANCELLATION REASON

06524   PAYMENT/ALLOTMENT DATE CANNOT BE UPDATED BY THIS TRANSACTION

06525   ALLOTMENT/PAYMENT DATE CHANGE INCONSISTENT WITH ORDER TYPE

06526   INVALID CANCEL REASON

06527   CANNOT SUPPLY REJECTED OR HOLD REASON ON AN ACCEPTED TRANSACTION

06528   CONFLICTING DATA SUPPLIED ON A REJECTED/HOLD MESSAGE

06529   MUST SUPPLY ALLOTMENT DATE ON INITIAL ACCEPTANCE MESSAGE

06530   FUND CODES MUST BE DIFFERENT FOR SWITCH ORDERS

06531   CANNOT SUPPLY PRICING OR ALLOTMENT INFORMATION ON HOLD ORDER

06532   MUST SUPPLY PAYMENT DATE ON INITIAL ACCEPTANCE MESSAGE

06533   SETTLEMENT-AMOUNT + TAX + FEES NOT = AMOUNT REQUESTED

06534   NETT OR GROSS INDICATOR DIFFERS FROM THAT REQUESTED

06535   ALLOTMENT DATE BEFORE UNIT PRICING DATE

06536   HIN CANNOT BE ANY OF THE DEFINED DEFAULT HINS

06537   CANNOT SUPPLY SRN WHEN ORIGINAL REQUEST WAS FOR A HIN

06538   CANNOT SUPPLY HIN WHEN ORIGINAL REQUEST WAS FOR A SRN

06539   INVALID FUND REASON FOR TARGETED ORDER

06540 INVALID TRANSACTION ID FOR MISCELLANEOUS ADUSTMENT

06541   EITHER HIN OR SECURITY-HOLDER-REF-NO NEED TO BE SUPPLIED, NOT BOTH

06542   UNIT PRICING DATE CANNOT BE ZERO

06543   ORIGINAL FUND REVERSAL/RE-APPLY TRANSACTION NOT F

06544   DATE OF BIRTH 1, 2 OR 3 IS INVALID

06545   INVALID TAX RESIDENCY 1, 2 OR 3

06546   INVALID PARTICIPANT ADVISOR EMAIL

06547   INVALIDINITIAL APPLICATION FLAG

06548   INITIAL APPLICATION MUST BE 'Y' OR 'N'

06549   INVESTOR TYPE MANDATORY ON INITIAL APPLICATION

06550   DATE-OF-BIRTH MANDATORY FOR CORRESPONDING INVESTOR TYPE "I"

06551   TAX RESIDENCY MANDATORY FOR CORRESPONDING INVESTOR

06552   PDS ISSUE DATE INVALID

06553   DRP PREFERENCE MUST BY 'Y' OR 'N'

06554   MUST SUPPLY BANK DETAILS WHEN DRP PREFERENCE IS 'N'

06555   DATE OF BIRTH SUPPLIED WITHOUT CORRESPONDING INVESTOR TYPE

06556   FULL REDEMPTION FIELD MUST BE 'Y' OR 'N'

06557   CANNOT SUPPLY AMOUNT OR UNITS WITH FULL REDEMPTION

06558   MUST SUPPLY UNITS OR AMOUNT OR FULL-REDEMPTION EQUAL TO 'Y'

06559   FULL SWITCH FIELD MUST BE 'Y' OR 'N'

06560   MUST SUPPLY UNITS OR AMOUNT OR FULL-SWITCH EQUAL TO 'Y'

06561   CANNOT SUPPLY AMOUNT OR UNITS WITH FULL SWITCH

06562   INVALID DISTRIBUTION-NOTIF-FLAG

06575   PENDING ORDER CANCELLATION REQUEST ALREADY EXISTS

06581   HOLDER HAS COLLATERAL SUBPOSITION UNITS > ZERO

06583   HIN HAS UNAUTHORISED OR PENDING STP COLLATERAL TRANSACTION

06586   MOVEMENT BETWEEN THESE ACCOUNT TYPES IS RESTRICTED

06587   CANNOT CHANGE TO ACCUMULATION ACCOUNT – MOVEMENT TYPE RESTRICTED

06600 ADDITIONAL PARTIES FLAG ONLY RELATES TO JOINT ACCOUNTS

06627 MUST SUPPLY TAX RESIDENCY COUNTRY CODE

06629 FOREIGN PERSON INDICATOR MUST BY Y OR N

06643 TRANSACTION ID MUST BE SUPPLIED

06644 TIN NOT SUPPLIED - REASON MUST BE PROVIDED

06645 INVALID ACCOUNT TYPE

06646 VALID ENTITY TYPE MUST BE PROVIDED

06647 INCOMPLETE TAX STATUS DETAILS

06648 ADDITIONAL PARTIES = Y WHEN MORE THAN 3 PARTIES ARE REPORTABLE

06649 A VALID SUPER FUND ABN MUST BE SUPPLIED

06650 INVALID COUNTRY CODE

06652 FOREIGN TAX STATUS DETAILS MUST BE SUPPLIED

06653 INCOMPLETE FOREIGN TAX STATUS DETAILS

06654 APPLICATION HIN MUST BE SUPPLIED

06655 TIN-ABSENT NOT REQUIRED WHEN TIN IS SUPPLIED

06658 ADDRESS TYPE INVALID FOR ENTERED ACCOUNT TYPE

06659 ADDRESS DETAILS MUST BE SUPPLIED

06660 DATE OF BIRTH NOT REQUIRED FOR THIS INVESTOR TYPE

06661 ENTITY TYPE INCOMPATIBLE WITH ACCOUNT TYPE

06662 INVALID ADVISOR MOBILE NUMBER

06663 INVALID INVESTOR MOBILE NUMBER

06665 INVALID INVESTOR EMAIL ADDRESS

06666 HOLDING MUST HAVE NON-ZERO BALANCE

06667 BANK ACCOUNT DETAILS NOT COMPATIBLE WITH DRP PREFERENCE

06668 FUND CODE MUST BE SAME AS THAT FOR LINKED TRANSACTION

06669 HIN NOT CONSISTENT WITH LINK TRANSACTION DETAILS

06670 FOREIGN RESIDENCY INDICATOR MUST BE Y OR N

06671 ORIGIN TRANSACTION ID DOES NOT REFERENCE A COMPLETE MESSAGE

06673 FOREIGN RESIDENT DETAILS NOT REQUIRED FOR THIS ACCOUNT TYPE

06674 AUSTRALIA IS NOT A FOREIGN COUNTRY

06675 FOREIGN RESIDENT DETAILS ARE REQUIRED FOR THIS ACCOUNT TYPE

06676 ONLY FIRST INSTANCE OF FOREIGN DETAILS REQUIRED FOR INDIVIDUAL

06677 ABN NOT VALID FOR THIS ACCOUNT TYPE

06678 INVALID REASON FOR ABSENCE OF TIN

06679 FOREIGN RESIDENCY INDICATOR NOT REQUIRED FOR SUPER FUND

06680 FOREIGN RESIDENCY DETAILS NOT CONSISTENT WITH FOREIGN PERSON

06681 ONLY THE ABN SHOULD BE SUPPLIED FOR ACCOUNT TYPE S

06682 UNITS REQUIRED FOR PART TRANSFER

06683 UNITS NOT REQUIRED FOR FULL TRANSFER

06684 FULL TRANSFER MUST BE Y OR N

06685 CANNOT SUPPLY TAX DEDUCTED WITH NETT

06686 DUPLICATE TIN NOT ALLOWED

06687 MESSAGE SET ALREADY CANCELLED

06688 ADDITIONAL PARTIES CANNOT BE Y WHEN FOREIGN RESIDENCY IS N

06689 RECEIVING HIN MUST BE SPONSORED

06690 INITIAL APPLICATION MUST BE Y WHERE TRANSACTIONS ARE LINKED

06691 ONLY ADDRESS DETAILS 1 IS REQUIRED FOR THIS ACCOUNT TYPE

07001   SUBMITTING UIC IS NOT AN AUTHORISED AMO*

07002   CONDITION CODE NOT VALID FOR THIS AMO*

07003   QUALIFIER PREFIX NOT VALID FOR THIS AMO*

07004   AMO NOT AUTHORISED TO SEND TRADE FOR THIS RECEVING PID*

07005   MESSAGE TYPE MUST BE TA OR TG*

07006   AMO/RECEIVING CP NOT AUTHORISED TO TRADE IN THIS SECURITY GROUP*

07007   INVALID PRICE RUN TYPE - MUST BE I OR C*

07009   PRICE RUN DATE MUST BE NON-ZERO AND A BUSINESS DATE*

07010   TRADE DATE OR AS AT DATE NOT IN EX PERIOD*

07011   TRADE DATE OR AS AT DATE BEFORE EX DATE*

07012   ONLY AMO USER TYPE CAN SUBMIT CLOSING PRICES

07013   AMO TRADE RECEIVED OUT OF HOURS*

07014   AMO/DELIVERING CP NOT AUTHORISED TO TRADE IN THIS SECURITY GROUP*

07015   TRADE DATE MUST BE TODAY, EXCEPT FOR CANCELS*

07016   AMO NOT AUTHORISED TO SEND TRADE FOR THIS DELIVERING PID*

07017   LAST DATE TRADED MUST BE NON-ZERO AND A BUSINESS DATE*

07018   PRICE RUN DATE MUST BE LESS THAN OR EQUAL TO CURRENT DATE*

07019   LAST DATE TRADED MUSTBE LESS THAN OR EQUAL TO CURRENT DATE*

07020   NEW CONTROLLER NOT AMFS ACCREDITED OR ORDERS STILL ACTIVE

07022   INVALID COLLATERAL TYPE FOR THIS TRANSACTION

07023   INTEREST DESCRIPTION MUST BE ENTERED FOR THIS TRANSACTION

07024   INVALID MARKET FOR THIS TRANSACTION

07025   INVALID NOTIFICATION TYPE FOR THIS TRANSACTION

07026   INVALID STATEMENT TYPE – MUST BE 'I' OR 'C'

07027   TOTAL STATEMENT COUNT NOT EQUAL TO NUMBER OF NOTIFICATIONS

07028   DATE MUST BE CURRENT BUSINESS DATE MINUS 1 BUSINESS DATE

07029   MARGIN PAYMENT DATE MUST BE A BUSINESS DATE

07030   INVALID LODGEMENT DATE

07031   EXCESS CASH STANDING INSTRUCTION CANNOT BE NEGATIVE

07032	REMOVE EXCESS CASH STANDING INSTRUCTION MUST BE Y OR BLANK
07033	EXCESS CASH STANDING INSTRUCTION CANNOT BE GREATER THAN (>) ZERO
07034	INVALID REJECTED CHANGE REASON
07035	MARGIN PAYMENT DATE MUST EQUAL PROCESS DATE
07036	MARGIN SETTLEMENT NOTIFICATION ALREADY EXISTS FOR PID/PAYMENT DATE
07038	SET TRANSACTION ID NOT VALID FOR THIS NOTIFICATION
07039	PID MUST BE A PARTICIPANT BROKER - TYPE PB
07040	INVALID CURRENCY FOR THIS TRANSACTION
07041	CONTROLLING UIC FOR HIN NOT SAME AS PID FOR THIS TRANSACTION
07042	INVALID EXPIRY DATE FOR THIS TRANSACTION
07043	DUPLICATE NOTIFICATION FOR THIS DATE/PID/SET/NOTIFICATION TYPE
07060	SOURCE UIC MUST BE ASX CLEAR PID
07061	HOLDER TYPE MUST BE 'D'
07062	HOLDER STATUS IS NOT ACTIVE OR LOCKED
07063	CHESS HOLDING STATUS MUST BE ACTIVE
07064	INCORRECT REASON FOR ASXCL TO REMOVE MARGIN COVER
07076	ACCOUNT MUST BE ENTERED FOR THIS TRANSACTION
07084	SUBPOSITION TYPE PARAMETER MUST BE PROVIDED FOR THIS REPORTING ID
07085	MARGIN SUBPOSN EXISTS FOR DIRECT HIN - HLD TYP CHNG REJECTED

* Indicates that only AMO can receive error code

8.6. Field Definitions

Account

Format	10 Characters
Definition	Indicates whether the collateral is covering a House or Client obligation. Currently, only House is valid.
Allowable Values	"HOUSE" – House Account

Account Id

Format	20 Characters
Definition	Identifies the account to which an allocation is to be made. The Account Id is known to the parties of the allocation.
Allowable Values	

Account Cancellation

Format	1 Character
Definition	Denotes that the nominated Bank Account is to be cancelled.
Allowable Values	'Y' = Yes
	Blank

Additional Foreign Parties

Format	1 Character
Definition	Indicates that there are more than 3 Foreign Tax Residents associated with an Account Type.
Allowable Values	'Y' = Yes
	'N' = No

Account Name

Format	32 Characters
Definition	A name used to identify the Bank Account of an entity held by a financial institution. This is the standard APCA direct credit format.
Allowable Values	

Account Number

Format	9 Characters
Definition	A number used to identify the Bank Account of an entity held by a financial institution.
Allowable Values	

Account Type

Format	1 Character
Definition	Indicates the type of entity for regulatory foreign tax status determination.
Allowable Values	'C' = Company
	'I' = Individual
	'J' = Joint
	'S' = Superannuation Fund (includes SMSF)
	'T' = Trust

Account Purpose

Format	1 Character

Definition	The purpose for which this Bank Account may be used by an issuer registry.
Allowable Values	'E' = Electronic Direct Credit

Accrual Number

Format	2 Numerics
Definition	Identifies the accrual number appended to the transaction id of the parent transaction to uniquely identify an accrual transaction created as a result of a diary adjustment.
Allowable Values	01 to 99

Address Details 1

Format	180 Character
Definition	Describes a residential, registered or trust address for foreign tax purposes.
Allowable Values	Refer *Section 8.2* of the *EIS*, or 'N/A'

Address Details 2

Format	180 Character
Definition	Describes a residential address for foreign tax purposes for additional parties to Account Type of 'Joint'.
Allowable Values	Refer *Section 8.2* of the *EIS*, or 'N/A'

Address Details 3

Format	180 Character
Definition	Describes a residential address for foreign tax purposes for additional parties to Account Type of 'Joint'.
Allowable Values	Refer *Section 8.2* of the *EIS*, or 'N/A'

Additional Reporting Parameter

Format	1 Character
Definition	The form of suppression required on a report request to restrict the output received.
Allowable Values	'A' = Suppress Zero Balances
	'B' = Select Scheduled and Suspended Settlement Instructions Only
	The following values only apply when requesting a 'TSH' report:
	'1' = Top 100 Securityholders
	'2' = Top 200 Securityholders
	'3' = Top 300 Securityholders
	'4' = Top 400 Securityholders
	'5' = Top 500 Securityholders
	'6' = Top 600 Securityholders
	'7' = Top 700 Securityholders
	'8' = Top 800 Securityholders
	'9' = Top 900 Securityholders
	'0' = Top 1000 Securityholders
	'T' = For Chi-X use only

Address Type

Format	1 Character
Definition	Indicates the type of address entered for foreign tax purposes. This depends on the Account Type.
Allowable Values	'G' = Registered
	'R' = Residential
	'T' = Trust

Additional Units

Format	18 Numerics, 6 decimal
Definition	The number of units described for Fund adjustments resulting from a DRP
Allowable Values	

Address

Format	90 Characters
Definition	Destination of all communication with a CHESS user when using non-electronic means.
Allowable Values	

Adjustment History Status

Format	1 Character
Definition	The current status of an adjustment to a settlement instruction.
Allowable Values	'A' = Applied
	'U' = Unmatched

Adjustment Reason

Format	1 Character
Definition	The cause of an adjustment to a settlement instruction.
Allowable Values	'D' = Diary adjustment
	'I' = Isolated counterparty
	'P' = Participant request

Adjustment Units

Format	18 Numerics, 6 decimal
Definition	The number of units described for Fund adjustments
Allowable Values	

Advice Reason

Format	1 Character
Definition	Indicates the reason for the transmission of information.
Allowable Values	'A' = Reporting
	'B' = Change of Controlling Participant
	'C' = Archived Sub-Register

Advisor Code

Format	30 Characters
Definition	Identifies an Advisor by code.
Allowable Values	'N/A' is allowable.

Advisor Email

Format	50 Characters
Definition	An Email address specified for an Advisor.
Allowable Values	Must include an @ symbol, at least one full stop and no spaces. 'N/A' is allowable.

Advisor Mobile

Format	16 Character
Definition	The mobile phone number for an Advisor. Include mobile country code prefix where appropriate.
Allowable Values	'N/A' is allowable.

Advisor Name

Format	30 Characters
Definition	Identifies an Advisor by name.
Allowable Values	'N/A' is allowable.

AI Dividends Franked Amount Cash

Format	14 Numeric, 2 decimal
Definition	Australian Income: Total net cash amount for franked dividends. An ATO Tax Statement value.
Allowable Values	

AI Dividends Franked Amount Tax

Format	14 Numeric, 2 decimal
Definition	Australian Income: Total tax credit for franked dividends. An ATO Tax Statement value.
Allowable Values	

AI Dividends Franked Amount Taxable

Format	14 Numeric, 2 decimal
Definition	Australian Income: Total taxable amount for franked dividends. An ATO Tax Statement value.
Allowable Values	

AI Dividends Unfranked Amount Cash

Format	14 Numeric, 2 decimal
Definition	Australian Income: Total net cash amount for unfranked dividends. An ATO Tax Statement value.
Allowable Values	

AI Dividends Unfranked Amount Taxable

Format	14 Numeric, 2 decimal
Definition	Australian Income: Total taxable amount of unfranked dividends. An ATO Tax Statement value.
Allowable Values	

AI Interest Cash

Format	14 Numeric, 2 decimal
Definition	Australian Income: Total net cash amount for interest paid. An ATO Tax Statement value.
Allowable Values	

AI Interest Taxable

Format	14 Numeric, 2 decimal
Definition	Australian Income: Total taxable amount for interest paid. An ATO Tax Statement value.
Allowable Values	

AI Non Primary Income Cash

Format	14 Numeric, 2 decimal
Definition	Australian Income: Total net cash amount of non-primary income. An ATO Tax Statement value.
Allowable Values	

AI Non Primary Income Taxable

Format	14 Numeric, 2 decimal
Definition	Australian Income: Total taxable amount of non-primary income. An ATO Tax Statement value.
Allowable Values	

AI Other Income Cash

Format	14 Numeric, 2 decimal
Definition	Australian Income: Total net cash amount for other income paid. Australian Income: Total net cash amount for other income paid.
Allowable Values	

AI Other Income Taxable

Format	14 Numeric, 2 decimal
Definition	Australian Income: Total taxable amount for other income paid. An ATO Tax Statement value.
Allowable Values	

AI Trust Deductions Cash

Format	14 Numeric, 2 decimal
Definition	Australian Income: Total net cash amount for trust related deductions. An ATO Tax Statement value.
Allowable Values	

AI Trust Deductions Taxable

Format	14 Numeric, 2 decimal
Definition	Australian Income: Total taxable amount for trust related deductions. An ATO Tax Statement value.
Allowable Values	

Allocation Cancellation Level

Format	1 Character
Definition	Specifies the level of allocation cancellation that has been carried out by the sending fund/investment manager (usually an investment manager). The sending fund/investment manager can cancel a specific allocation or all allocations associated with a specific [block] trade.
Allowable Values	'C' = Cancellation of a specific allocation
	'T' = Cancellation of all allocations associated with a specific [block] trade

Allocation Cancellation Timestamp

Format	22 Characters
Definition	Records the business date, processing date and processing time on which the sending UIC completes the processing of an allocation cancellation.
Allowable Values	(See Timestamp)

Allocation Quantity

Format	11 Numerics
Definition	The quantity of units of a security for a specific allocation.
Allowable Values	Greater or equal to zero (ie >= 0)

Allocation Status

Format	1 Character
Definition	Identifies the position of the allocation within the overall set of allocations for a specific block trade. An "intermediate" allocation refers to any allocation that is not the "final" allocation for that same block trade.
Allowable Values	'I' = Intermediate
	'F' = Final

Allocation Timestamp

Format	22 Characters
Definition	Records the business date, processing date and processing time on which the sending UIC completes the processing of an allocation.
Allowable Values	(See Timestamp)

AML/CTF Check Flag

Format	1 Character
Definition	Indicates that the appropriate AML (Anti-Money Laundering) and CTF (Counter Terrorism Financing) checks have been conducted by the Sponsoring Participant
Allowable Values	'Y' = Yes
	'N' = No

Amount
Format   14 Numerics, 2 decimal places
Definition   The dollar value of a transaction in CHESS.
Allowable Values

Annual Capital Gain
Format   14 Numeric, 2 decimal
                              Definition   Total capital gain for the current financial year. An ATO
                              Tax Statement value.
Allowable Values

Annual Capital Gain Total
Format   14 Numeric, 2 decimal
Definition   Total capital gain for the current financial year. An ATO Tax
Statement value.
Allowable Values

APIR Code
Format   9 Characters
Definition   An independently assigned Code widely used within the Funds
                              industry
Allowable Values

Application APIR Code
Format   9 Characters
Definition   An independently assigned Code widely used within the Funds
                              industry. May be used where a Switch request is initiated
Allowable Values

Application Fund Code

Format   12 Characters
Definition   Identifies the fund that is the subject of an application request
                              message sent between a user and ASX Clear. May be either an ISIN
                              security code or an ASX code. On input, either is accepted, on output,
                              the previously requested format is used.
Allowable Values   A valid ISIN code in the format prescribed by ISO Standard
                              6166; or a valid security code issued by ASX, left justified and
                              followed by spaces.

Application Unit Pricing Date

Format   8 Numerics
Definition   The date on which a Product Issuer Settlement Participant will provide
                              pricing details for Fund units requested via a Participant Fund
                              application request.
Allowable Values   (Refer *Date* in *CHESS Message Field Formats –Existing*)

Application Product Name

Format	50 Characters
Definition	The name used to define a Fund targeted for Fund applications
Allowable Values	

Application Unit Price

Format	11 Numerics, 6 decimal
Definition	The unit price in cents of a Fund unit targeted for Fund applications
Allowable Values	

Application Units

Format	18 Numerics, 6 decimal
Definition	The number of units described for Fund applications.
Allowable Values	

Approved Timestamp

Format	22 Characters
Definition	The time that an SLS loan is recorded as approved in the CHESS database.
Allowable Values	(See Timestamp)

Approved Transaction Id

Format	16 Characters
Definition	The identifier of the transaction that approves a requested SLS loan.
Allowable Values	(See Transaction Id)

As At Date

Format	8 Numerics
Definition	Specifies the date on which a trade was transacted.
Allowable Values	(See Date)

As At Date Parameter

Format	8 Numerics
Definition	Specifies the date to which snapshot reporting relates, e.g. Holding Balance Reporting can be for a specified date in the past.
Allowable Values	(See Date)

Ask Price

Format	15 Numerics, 4 decimal places (expressed as cents to four decimal places).
Definition	The price in which someone is prepared to sell the security.
Allowable Values	

Ask Time

Format	6 Numerics
Definition	Actual time in which the Ask Price was generated.
Allowable Values	A valid time in the format of HHMMSS

Assessable Foreign Income

Format	14 Numeric, 2 decimal
Definition	Assessable foreign sourced income. An ATO Tax Statement value.
Allowable Values	

ASX Clear Account

Format	12 Characters
Definition	Identifies the ASX Clear account (first 10 characters) held by a clearing member of ASX Clearing Pty Ltd (ASX Clear) to enable ASX Clear to correctly record the collateral against an open position. (The final 2 characters are currently space filled.)
Allowable Values	

ASX Clearing Member

Format	6 Numerics
Definition	Unique identifier of a clearing member of ASX Clear Pty Ltd (ASX Clear).
Allowable Values	

ASX Clear Lodgement Number

Format	8 Characters
Definition	Identifies the ASX Clear Lodgement Number, to enable ASX Clear Pty Ltd (ASX Clear) to correctly record the collateral into an account of the clearing participant.
Allowable Values	ASX Clear participants may use the following values:

- The pre-printed number appearing on the 'Notice of Lodgement/Withdrawal of Securities as Collateral' (C3) form.
- The value 'P0000000' indicating pooled paperless collateral lodgement.
- The value 'Pnnnnnnn' indicating numbered paperless collateral lodgement (where 'nnnnnnn' is a participant defined number).
- No value, where no value is submitted, ASX Clear will assign an ASX Clear Lodgement Number.

Authorisation Reason

Format	10 Characters
Definition	Indicates why a participant funds obligation authorisation is being requested from a Payment provider.
Allowable values	'PRIMARY'
	'FAILURE'

Authorisation Required

Format	1 Character
Definition	Indicates if a Payment provider is required to explicitly authorise a participant funds obligation. Authorisation is required for any short participant funds obligation that is greater than any previous amount authorised.
Allowable values	'Y' = Yes 'N' = No

Authorised Amount

Format	15 Signed Numerics, 2 decimal places
Definition	The dollar value of funds that a payment provider intermediary has authorised for settlement and placed in escrow pending transfer.
Allowable values	

Balance

Format	14 Numerics, 2 decimal
Definition	The balance of each Cash Record held under the Net Position Record associated with the nominated Payment Facility. For RTGS.
Allowable Values	

Balance Instruction

Format	1 Character
Definition	Participant instruction stating how the balance of a certificated holding should be processed following the dematerialisation of a portion of a certificated holding.
Allowable Values	'H' = Hold 'I' = Issue

Bank Reference

Format	16 Characters
Definition	A reference supplied by the Payment Provider for their own reference that is passed on to ASX Clear.
Allowable Values	Any alphabetic, numeric or special characters

Bank UIC

Format	5 Numerics
Definition	The PID of the Payment Provider acting on behalf of the participant.
Allowable Values	(See PID)

Basis Of Movement 1

Format	2 Characters
Definition	States the actual relationship of an effected securities movement to current corporate actions for the underlying security.
Allowable Values	(See Override Basis of Movement 1)

Basis Of Movement 2

Format	2 Characters
Definition	States the actual relationship of an effected securities movement to current corporate actions for the underlying security.
Allowable Values	(See Override Basis of Movement 1)

Basis Of Movement 3

Format	2 Characters
Definition	States the actual relationship of an effected securities movement to current corporate actions for the underlying security.
Allowable Values	(See Override Basis of Movement 1)

Basis Of Movement 4

Format	2 Characters
Definition	States the actual relationship of an effected securities movement to current corporate actions for the underlying security.
Allowable Values	(See Override Basis of Movement 1)

Basis Of Movement 5

Format	2 Characters
Definition	States the actual relationship of an effected securities movement to current corporate actions for the underlying security.
Allowable Values	(See Override Basis of Movement 1)

Basis Of Quotation 1

Format	2 Characters
Definition	States the basis of quotation of a trade if effected outside the default basis of quotation.
Allowable Values	

'CD'	Cum Dividend		'CL'	Call Due
'XD'	Ex Dividend		'CP'	Call Paid
'CR'	Cum Rights Issue		'CT'	Conditional Trading
'XR'	Ex Rights Issue		'NX'	New Ex Interest
'CB'	Cum Bonus Issue		'PA'	Protection Available
'XB'	Ex Bonus Issue		'PU'	Protection Unavailable
'CE'	Cum Entitlement		'RA'	Receiver Appointed
'XE'	Ex Entitlement		'RE'	Reconstructed
'CC'	Cum Capital return		'T1'	Trade Date Plus 1 Business Day
'XC'	Ex Capital return		'T2'	Trade Date Plus 2 Business Days
'CM'	Cum Premium return		'T3'	Trade Date Plus 3 Business Days
'XM'	Ex Premium return		'TA'	Trade Date Plus 10 Business Days
'CF'	Cum Takeover Offer		'TB'	Trade Date Plus 15 Business Days
'XF'	Ex Takeover Offer		'TC'	Trade Date Plus 20 Business Days
'CZ'	Cum Non Pro Rata Balance		'TD'	Trade Date Plus 25 Business Days
'XZ'	Ex Non Pro Rata Balance		'TE'	Trade Date Plus 30 or over Business Days
'CI'	Cum Interest			
'XI'	Ex Interest			
'CQ'	Cum Equal Access Buyback			
'XQ'	Ex Equal Access Buyback			

Basis Of Quotation 2

Format	2 Characters
Definition	States the actual relationship of a securities movement to current corporate actions for the underlying security.
Allowable Values	(See Basis of Quotation 1)

Basis Of Quotation 3

Format	2 Characters
Definition	States the actual relationship of a securities movement to current corporate actions for the underlying security.
Allowable Values	(See Basis of Quotation 1)

Basis Of Quotation 4

Format	2 Characters
Definition	States the actual relationship of a securities movement to current corporate actions for the underlying security.
Allowable Values	(See Basis of Quotation 1)

Basis Of Quotation 5

Format	2 Characters
Definition	States the actual relationship of a securities movement to current corporate actions for the underlying security.
Allowable Values	(See Basis of Quotation 1)

BIC

Format	12 Characters
Definition	SWIFT identification code for a payment provider, supplied upon submission of an authorisation request for creation of a client participant's Net Position Record. This value is used by CHESS when submitting settlement requests to RITS for RTGS settlement.
Allowable Values	This field must comprise the following:

chars 1-4   SWIFT allocated payment provider (financial institution) Code
      5-6   Country Code
      7-8   Location Code
      9-11   Branch code or XXX if no branch code is defined
      12   Space

Bid Price

Format	15 Numerics, 4 decimal places (expressed as cents to four decimal places)
Definition	The price in which someone is prepared to buy the security.
Allowable Values	

Bid Time

Format	6 Numerics
Definition	Actual time at which the Bid Price was generated.
Allowable Values	A valid time in the format of HHMMSS

Blocking Transaction Id

Format	16 Characters
Definition	The identifier of the transaction which blocks a Trade from the netting process.
Allowable Values	(See Transaction Id)

Brokerage

Format	14 Numerics, 2 decimal places
Definition	The dollar amount of the brokerage associated with a specific contract advice.
Allowable Values	

Broker/Non-Broker Indicator

Format	1 Character
Definition	Indicates if the CHESS participant involved in the intersubregister securities movement is a market or non-market participant.
Allowable Values	'B' = Broker
	'N' = Non-Broker

BSB Number

Format	7 Characters
Definition	The Bank/State/Branch identifying the financial institution at which a bank account is held.
Allowable Values	Validated against official APCA BSB list. In the format NNN-NNN.

Buy/Sell Indicator

Format	1 Character
Definition	Indicates whether the transaction is for a "Buy" or "Sell."
Allowable Values	'B' = Buy
	'S' = Sell

Buyer Id

Format	4 Numerics
Definition	Identifies the buying broker who made the trade.
Allowable Values	

Buyer Order Reference Number

Format	10 Characters
Definition	The buying brokers own reference for in-house processing of the trade
	(Example: relate a trade to a particular account or client).
Allowable Values	

Cancel Reason
Format   3 Characters
Definition   The transaction cancellation reason
Allowable Values   'OCF' = Order cannot be fulfilled

Cancellation Reason
Format   1 Character
Definition   The reason a settlement instruction has been cancelled.
Allowable Values   'A' = Invalid Trade – ASX Clear Discretion*
                    'C' = CHESS housekeeping
                    'D' = Cancelled Diary Adjustment
                    'I' = Isolated counterparty
                    'P' = Participant request
                    'S' = ASX Trading System Cancellation
                    T' = Invalid Trade Receipt Time*
                    'V' = Invalid Price Variance*
                    'W'= TBA*
                    'X' = TBA*
                    'Y' = TBA*
                    'Z' = TBA*
* Value applies to Approved Market Operator (other than ASX) only.

Cancellation Timestamp
Format   22 Characters
Definition   The time that the cancellation of an ETC transaction is recorded in
                    the CHESS Database.
Allowable Values   (See Timestamp)

Cancelled Timestamp
Format   22 Characters
Definition   The time that the cancellation of a transaction is recorded in the
                    CHESS database.
Allowable Values   (See Timestamp)

Cancelling Transaction Id
Format   16 Characters
Definition   The identifier of the transaction which cancels another transaction
                    which has not been processed to completion.
Allowable Values   (See Transaction Id)
Capital Gain Discounted
Format   14 Numeric, 2 decimal
Definition   Total capital gain using a discounted method. An ATO Tax
                    Statement value.
Allowable Values

Capital Gain Other

Format	14 Numeric, 2 decimal
Definition	Total capital gain using another method. An ATO Tax Statement value.
Allowable Values	

Cash Account Identifier

Format	6 Numerics
Definition	An RTGS related value which stands for the 35-char Cash Subrecord ID, and is only used in RTGS related participant messaging with CHESS once the Cash Subrecord is set up. (This value allows for additional security in internal participant handling.) The Cash Account Identifier is unique to a payment facility; therefore, the Payment Facility ID is always required wherever the Cash Account Identifier is supplied.
Allowable Values	

Cash Balance Bought Forward

Format	15 +\- Numeric, 2 decimals
Definition	The cash balance prior to the previous settlement. Refer to Cash Balance Carried Forward.
Allowable Values	

Cash Balance Carried Forward

Format	15 +\- Numeric, 2 decimals
Definition	The cash balance prior to today's settlement. This is equal to the cash balance prior to yesterday's settlement plus or minus any cash flow resulting from settlement on the previous day. Cash Balance Carried Forward" = "Cash Balance Brought Forward" + "Cash Payment"
Allowable Values	

Cash Payment / Withdrawal

Format	15 +\- Numeric, 2 decimals
Definition	The cash flow resulting from the payment or withdrawal made in the previous settlement.
Allowable Values	

Cash Subrecord ID

Format   35 Characters[1]

Definition   A value which stands for an actual account maintained for the Participant by the payment provider sponsoring the Participant to participate in RTGS settlement. This value is only used in Participant messaging with CHESS once, when the Cash Subrecord is set up. The Cash Subrecord ID must begin with the BSB (6 numeric). The remainder of the Cash Subrecord iD is composed of up to 29 characters. (Cash Account Identifier is the account reference used between the Participant and CHESS. Cash Subrecord ID is the account reference used between the payment provider and CHESS.)

Allowable Values

Cash Subrecord Type

Format   1 Character

Definition   Identifies whether the Cash Subrecord is the default Cash Subrecord which CHESS will apply for buy RTGS transactions, or the default Cash Subrecord which CHESS will apply for sell RTGS transactions, or a nominated Cash Subrecord. A Cash Subrecord must be designated as either default, a common buy and sell default, or neither default. A maximum of one sell default type Cash Subrecord and one buy default type Cash Subrecord (or one common default) can be nominated for a given Net Position Record.

Allowable Values   'B' = Default buy
'C' = Common default: both buy and sell
'N' = Nominated: neither buy nor sell
'S' = Default sell

Certificate Number

Format   16 Characters

Definition   The unique number of a certificate issued for a particular class of security, or the Held Balance Reference Number of a balance held at the issuer pursuant to a partial transfer, or the marking number of a marked transfer.

Allowable Values

Certificate Quantity

Format   11 Numerics

Definition   The number of units in a security for which a certificate is issued or the held balance of a balance, held at the issuer, pursuant to a partial transfer, or the unit quantity on a marked transfer.

Allowable Values

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[1] Currently RITS is limited to 19 characters only

CG Discount Cash
Format	14 Numeric, 2 decimal
Definition	Capital Gain: Total net cash reductions made to the taxable Australian property component of capital gain. An ATO Tax Statement value.
Allowable Values	

CG Discount Tax
Format	14 Numeric, 2 decimal
Definition	Capital Gain: Total tax paid or tax offset reductions made to the taxable Australian property component of capital gain. An ATO Tax Statement value.
Allowable Values	

CG Discount Taxable
Format	14 Numeric, 2 decimal
Definition	Capital Gain: Total taxable reductions made to the taxable Australian property component of capital gain. An ATO Tax Statement value.
Allowable Values	

CG Distributed Cash
Format	14 Numeric, 2 decimal
Definition	Capital Gain: Total net cash payments made for distributions. An ATO Tax Statement value.
Allowable Values	

CG Distributed Tax
Format	14 Numeric, 2 decimal
Definition	Capital Gain: Total tax paid or tax offset made for distributions. An ATO Tax Statement value.
Allowable Values	

CG Distributed Taxable
Format	14 Numeric, 2 decimal
Definition	Capital Gain: Total taxable payments made for distributions. An ATO Tax Statement value.
Allowable Values	

CG Net
Format	14 Numeric, 2 decimal
Definition	Capital Gain: Total capital gain. An ATO Tax Statement value.
Allowable Values	

CG Other Method Cash

Format 14 Numeric, 2 decimal
Definition Capital Gain: Total net cash reductions made to the taxable Australian property component of capital gain using neither the discount nor the indexation method. An ATO Tax Statement value.
Allowable Values

CG Other Method Tax

Format 14 Numeric, 2 decimal
Definition Capital Gain: Total tax paid or tax offset reductions made to the taxable Australian property component of capital gain using neither the discount nor the indexation method. An ATO Tax Statement value.
Allowable Values

CG Other Method Taxable

Format 14 Numeric, 2 decimal
Definition Capital Gain: Total taxable reductions made to the taxable Australian property component of capital gain using neither the discount nor the indexation method. An ATO Tax Statement value.
Allowable Values

CG Tax Concession Cash

Format 14 Numeric, 2 decimal
Definition Capital Gain: Total net cash concessions applicable to the taxable Australian property component of capital gain. An ATO Tax Statement value.
Allowable Values

CG Tax Concession Taxable

Format 14 Numeric, 2 decimal
Definition Capital Gain: Total taxable concessions applicable to the taxable Australian property component of capital gain. An ATO Tax Statement value.
Allowable Values

CGT Concession

Format 14 Numeric, 2 decimal
Definition The amount of CGT (Capital Gains Tax) concession. An ATO Tax Statement value.
Allowable Values

CHESS Event Type

Format 1 Character
Definition The type of event that has occurred during CHESS processing.
Allowable Values 'N' = End of Netting Reporting
'O' = End of Overnight Reporting
'R' = End of Netting Reversal Reporting

'S' = End of Settlement Reporting
'A' = Settlement Cut-Off extended to 11:45
'B' = Settlement Cut-Off extended to 12:00
'C' = Settlement Cut-Off extended to 12:15
'D' = Settlement Cut-Off extended to 12:30
'E' = Settlement Cut-Off extension to be advised

Change Cash Subrecord Reject Reason

Format	1 Character
Definition	The reason that a change to the attributes of a Cash Subrecord has been rejected by the Payment Provider.
Allowable Values	'A' = Cash Subrecord ID does not match Payment Provider for which Payment Facility ID is supplied
	'B' = Debit cap limit amount is incorrect
	'C' = Debit cap activity value is incorrect
	'D' = Cash Subrecord ID is incorrect
	'E' = Payment Facility ID is incorrect
	'F' = Exclusion Flag is incorrect for this Cash Subrecord
	'G' = Cannot nominate this Cash Subrecord as sell default
	'H' = Cannot nominate this Cash Subrecord as buy default

Closing Balance

Format	11 Numerics
Definition	The total unit quantity registered for a CHESS holding at the end of a processing day or at the end of a reporting period.
Allowable Values	

Closing Balance Date

Format	8 Numerics
Definition	Specifies the date on which the closing balance (total unit quantity registered for a CHESS holding) is based.
Allowable Values	(See Date)

Closing Fund Balance

Format	18 Numerics, 6 decimal
Definition	The total unit quantity registered for a CHESS Fund holding at the end of a processing day.
Allowable Values	

Collateral Rejected Reason

Format	1 Character
Definition	Indicates the reason ASX Clear or the clearing participant has rejected a participant's request.
Allowable Values	The following table identifies which Collateral Rejected Reason is applicable to which CHESS message. (for Derivatives Collateral)

Collateral Rejected Reason	**609** Rejected Collateral ASX Clear	**605** Collateral Rejection ASX Clear	**109** CP Collateral Removal Rejection
'A' = As requested by Member	Y	Y	Y
'B' = Collateral previously withdrawn by Member	N	Y	Y
'C' = Invalid stock code	Y	Y	Y
'D' = Invalid sender PID	Y	Y	Y
'E' = Authorisation not in place for this account/HIN combination/s	Y	Y	N
'G' = Number of units for withdrawal is more than the available number of units lodged	N	Y	Y
'H' = Invalid HIN	Y	Y	Y
'I' = Invalid Account	Y	Y	Y
*'J' = The stock is not accepted as cover at the present time	Y	N	N
'K' = Invalid participant	Y	Y	N
'L' = Invalid Lodgement Number	Y	Y	Y
'M' = Incorrect message type sent by participant	Y	Y	N
'N' = Account or lodgement has been locked by ASX Clear	Y	Y	N
'O' = Original lodgement number cannot be found	Y	Y	Y
'P' = Account not set up for paperless processing	Y	N	N
'Q' = Collateral holding does not exist in this account	N	Y	Y
'R' = The stock has reached its maturity date	Y	N	N
'S' = Message not set to specific cover	Y	N	N
'T' = Lodgement of Super Fund stock into a Non Super Fund account not permitted	Y	N	N

Collateral Rejected Reason	**609** Rejected Collateral ASX Clear	**605** Collateral Rejection ASX Clear	**109** CP Collateral Removal Rejection
'U' = Invalid number of units	**Y**	**Y**	**Y**
'Z' = Other	**Y**	**Y**	**Y**

*Collateral Rejected Reason Code "J" also utilised for a CMM Collateral Messages – MT878 Reduced margin Cover

Collateral Type

Format	3 Characters
Definition	The type of collateral that is being lodged by the Clearing Participant.

Allowable Values   "ACC" – ASX Collateral

                                 "BKG" – Bank Guarantee

                                 "CGS" – Commonwealth Government Security

                                 "CSH" – Cash

                                 "EQY" – Equity Collateral

                                 (Note: Collateral type "BKG" is not accepted currently, but may be included as an acceptable value in a later phase of Cash Market Margining).

Collateral Value

Format	15 +\- Numeric, 2 decimals
Definition	The value of the collateral determined by ASX Clear after a haircut is applied to the current Market Value.
Allowable Values	

Condition Code 1

Format	2 Characters
Definition	Indicates the condition under which the sale was effected.
Allowable Values	

A1	Reserved for admittance of Approved Market Operator
A2	Reserved for admittance of Approved Market Operator
A3	Reserved for admittance of Approved Market Operator
A4	Reserved for admittance of Approved Market Operator
A5	Reserved for admittance of Approved Market Operator
A6	Reserved for admittance of Approved Market Operator
A7	Reserved for admittance of Approved Market Operator
A8	Reserved for admittance of Approved Market Operator
A9	Reserved for admittance of Approved Market Operator
AB	ASX Bookbuild
AM	ASX Match
BB	Bulletin Board Trade
BC	Preferenced Any Price Block Trade
BK	Buy Back

BL	Blocked from Transaction Netting
BP	Booking Purposes Only
BT	Any Price Block Trade
BV	Book Value Switch
BW	Buy Write
BZ	Board Broker Sale
CM	Single Sided Combination
CP	Centre Point Preference Trade
CT	Combination Trade
CX	Centre Point Trade
DR	Directed Reporting
EC	Exercise of Call
EF	Delivery of a Future
EQ	Equity/Option Combination
EP	Exercise of Put
ET	EFT Special Trades
FD	Forward Delivery
FM	Foreign Market
IA	Interstate Accounting
LN	Loan
LR	Loan Return
LT	Late Trade - Post 5PM
L1	Late Trade - Book Squaring
L2	Late Trade - Hedging Trades
L3	Late Trade - Order Completion
L4	Late Trade - Error Rectification
L5	Late Trade - Put Through
MI	Market Information
NX	NBBO Crossing Trade Report
OC	OTC Contingent Equity Trade
OD	Overseas Delivery
OL	Odd Lot
ON	Overnight
OR	Overseas Resident (Foreign to Foreign)
OS	Overseas
PR	Prompt Re-booking
PS	Prompt Sale
P1	Put Through - $1M
P2	Put Through - $0.5M
SA	Special Crossing Sale
SH	Short
SM	SMSF Crossing Trade Report
SO	Other Special Sale
SP	Special - Derivatives
S1	Special - $2.5M
S2	Special - $1M
S3	Special - $0.5M
ST	Price Stabilisation
SX	Special Sale Portfolio
TM	Tailor Made Combination
VM	Volume Match Trade
VW	VWAP Trade
XT	Crossed Trade

Condition Code 2
Format   2 Characters
Definition   Indicates the condition under which the sale was effected.
Allowable Values   (See Condition Code 1 A1 through to A9 will not be available for this
                        field)

Condition Code 3
Format   2 Characters
Definition   Indicates the condition under which the sale was effected.
Allowable Values   (See Condition Code 1. A1 through to A9 will not be available for this
                        field)

Condition Code 4
Format   2 Characters
Definition   Indicates the condition under which the sale was effected.
Allowable Values   (See Condition Code 1. A1 through to A9 will not be available for this
                        field)

Condition Code 5
Format   2 Characters
Definition   Indicates the condition under which the sale was effected.
Allowable Values   (See Condition Code 1. A1 through to A9 will not be available for this
                        field)

Condition Code 6
Format   2 Characters
Definition   Indicates the condition under which the sale was effected.
Allowable Values   (See Condition Code 1. A1 through to A9 will not be available for this
                        field)

Condition Code 7
Format   2 Characters
Definition   Indicates the condition under which the sale was effected.
Allowable Values   (See Condition Code 1. A1 through to A9 will not be available for this
                        field)

Condition Code 8
Format   2 Characters
Definition   Indicates the condition under which the sale was effected.
Allowable Values   (See Condition Code 1. A1 through to A9 will not be available for this
                        field)

Contact
Format   30 Characters
Definition   Name of person or business unit within a participant organisation
                        through which personal contact may be made.
Allowable Values

Contact Reference
Format   16 Characters
Definition   A contact value supplied by the participant. May be a name, phone, Email or other. This value may be used by Market Operations to contact the appropriate individual within the participant organisation.
Allowable Values

Contract Note Rejection Level
Format   1 Character
Definition   Specifies the level of rejection that has been carried out by the sending fund/investment manager (usually an investment manager). The sending fund/investment manager can reject a specific contract or all contracts associated with a specific [block] trade.
Allowable Values   'C' = Rejection of a specific contract
                         'T' = Rejection of all contracts associated with a specific [block] trade

Contract Note Status
Format   1 Character
Definition   Specifies the status of the contract note.
Allowable Values   'C' = Complete
                         'P' = Paper Contract Note to follow

Contract Note Timestamp
Format   22 Characters
Definition   Records the business date, processing date and processing time on which the sending UIC completes the processing of a contract note advice.
Allowable Values   (See Timestamp)

Controlling PID
Format   5 Numerics
Definition   The PID of a participant which controls a CHESS holder.
Allowable Values   (See PID)

Controlling PID Status
Format   1 Character
Definition   The current status of a CHESS PID.
Allowable Values   (See User Status)

Corporate Action Type Id

Format	2 Characters
Definition	Identifies a type of corporate action. Used in conjunction with Ex Date to identify a specific corporate action (eg., a dividend with an ex period commencing on the Ex Date).
Allowable Values	'CD' =Dividend
	'CQ' =Equal Access Buyback
	'CR' =Rights issue
	'CB' = Bonus issue
	'CE' = Entitlement
	'CC' =Capital return
	'CM' =Premium return
	'CI' = Interest
	'CZ' = Non Pro Rata Balance

Corporate Action Type Id Parameter

Format	2 Characters
Definition	Restricts the scope of a reporting request to a specific corporate action. Used in conjunction with Ex Date Parameter.
Allowable Values	(See Corporate Action Type Id)

Create Cash Subrecord Reject Reason

Format	1 Character
Definition	The reason that the creation of a Cash Subrecord has been rejected by the Payment Provider.
Allowable Values	'A' = Cash Subrecord ID does not match Payment Provider for which Payment Facility ID is supplied
	'B' = Debit cap limit amount is incorrect
	'C' = Debit cap activity value is incorrect
	'D' = Cash Subrecord ID is incorrect
	'E' = Payment Facility ID is incorrect
	'F' = Exclusion Flag is incorrect for this Cash Subrecord
	'G' = Cannot nominate this Cash Subrecord as sell default
	'H' = Cannot nominate this Cash Subrecord as buy default

Credit Status

Format	1 Character
Definition	Identifies the client credit approval setting selected by the payment provider for all buy transactions on a given Cash Subrecord which are to be sent to the RBA (i.e. RITS) for RTGS settlement.
Allowable Values	'A' = Active
	'D' = Deferred

Crossing Indicator

Format	1 Character
Definition	Indicates whether the transaction has been crossed.
Allowable Values	'C' = Crossed
	'P' = Part-crossed

Currency
Format   3 Character
Definition   The collateral currency of denomination.
Allowable Values   "AUD" – Australian Dollars

Current or New Debit Cap Limit
Format   14 Numerics, 2 Decimal Places
Definition   Indicates current or new debit cap limit amount where a change to Net Position Record is required.
Allowable Values

Current PDS Provided
Format   1 Character
Definition   Indicates that the investor has been provided with the latest copy of the fund PDS (Product Disclosure Statement), including any supplements, that have been lodged on the ASX website. Applies to all Application and Switch requests.
Allowable Values   'Y' = Yes
                               'N' = No
                               'I' = Not applicable

Date
Format   8 Numerics
Definition   Specifies the date that an entity was created in CHESS. An entity may be a transaction (eg. transfer) or a historical record (eg. holding daily balance).
Allowable Values   A valid date in the format YYYYMMDD, eg. 19930625

Date of Birth 1
Format   8 Numerics
Definition   Specifies the birth date of the requesting Fund investor.
Allowable Values   (See Date)

Date of Birth 2
Format   8 Numerics
Definition   Specifies the birth date of the requesting Fund investor.
Allowable Values   (See Date)

Date of Birth 3
Format   8 Numerics
Definition   Specifies the birth date of the requesting Fund investor.
Allowable Values   (See Date)

Date of Event

Format	8 Numerics
Definition	Specifies the date of the holder status change event.
Allowable Values	(see Date)

Dealer Group Name

Format	30 Characters
Definition	Identifies a Dealer Group by name.
Allowable Values	'N/A' is allowable.

Dealer Group Advisor Code

Format	30 Characters
Definition	Identifies a Dealer Group Advisor by code.
Allowable Values	

Dealer Group Advisor Email

Format	50 Characters
Definition	An Email address specified for a Dealer Group Advisor.
Allowable Values	Must include an @ symbol, at least one full stop and no spaces

Dealer Group Advisor Name

Format	30 Characters
Definition	Identifies a Dealer Group Advisor by name.
Allowable Values	

Dealer Group AFSL Number

Format	10 Characters
Definition	Identifies a Dealer Group by their Dealer's AFSL (Australian Financial Services License) identification number.
Allowable Values	'N/A' is allowable.

Debit Cap Activity Flag

Format	1 Character
Definition	Indicates whether the debit cap on a Net Position Record is active or inactive. This value is determined at set-up of a Net Position Record and may be modified from Active to Inactive or vice versa intraday. (Debit Cap Limit may be supplied whether the Debit Cap Activity Flag is Active or Inactive.)
Allowable Values	'A' = Active
	'I' = Inactive

Debit Cap Exclusion Flag

Format	1 Character
Definition	Identifies whether the Cash Subrecord is included or excluded from RTGS debit cap consideration when credit and debit amounts on transactions targeting this Cash Subrecord are added to available credit. Only effective where the debit cap is Active.
Allowable Values	'X' = Exclude
	'I' = Include

Debit Cap Limit

Format	14 Numerics, 2 decimal
Definition	The debit cap is an RTGS related structure which may be active or inactive on a Net Position Record. The debit cap limit is the net total amount of a participant's pending and finalised debits, and finalised credits, for transactions targeting a Cash Subrecord associated with that Net Position Record, which is not allowed to exceed the limit. This value is specified at the time of Net Position Record set-up, and may be modified intraday by the payment provider. Where this optional value is not specified at set up and the Debit Cap Activity Flag is subsequently set to 'A' (active), the default value is zero; i.e. the participant's transaction obligations may not fall into a debit amount. Zero is also an allowable value at set-up.
Allowable Values	

Default Accumulation HIN

Format	10 Numerics
Definition	Specifies the HIN that is used as the target of all 'Registry to CHESS' transfers submitted by a participant that do not explicitly target another HIN. The HIN must be controlled by the participant.
Allowable Values	(See HIN)

Default Demand HIN

Format	10 Numerics
Definition	Specifies the HIN that is used as the target of all demand transactions (except 'Registry to CHESS' transfers) submitted by a participant that do not explicitly target another HIN. The HIN must be controlled by the participant.
Allowable Values	(See HIN)

Default Payment Facility Indicator

Format	1 Character
Definition	Indicates if a payment facility is used as a default for all of a participant's funds obligations in a settlement process apart from any specific payment facility.
Allowable Values	'Y' = Yes
	'N' = No

Default Settlement HIN

Format	10 Numerics
Definition	Specifies the HIN that is used as the target of all settlement instructions submitted by a participant that do not explicitly target another HIN. The HIN must be controlled by the participant or their Master user.
Allowable Values	(See HIN)

Delivering HIN

Format	10 Numerics
Definition	The HIN of the holder who is the registered owner of the holding from which the securities are to be delivered. Defaults to the participant's default settlement HIN.
Allowable Values	(See HIN)

Delivering Net Movement Transaction Id

Format	16 Characters
Definition	The identifier of the transaction which transferred securities to a holding via the settlement process.
Allowable Values	(See Transaction Id)

Delivering PID

Format	5 Numerics
Definition	The PID of the participant who controls the delivering HIN of a securities movement.
Allowable Values	(See PID)

Delivering Registration Details

Format	180 Characters
Definition	The details under which the securities involved in a movement are currently registered.
Allowable Values	(See Registration Details)

Delivering Supplementary Reference

Format	16 Characters
Definition	The supplementary reference provided by the delivering participant.
Allowable Values	

Deliver/Receive Units Indicator

Format	1 Character
Definition	Identifies whether the party that is the *target* (eg as specified in the associated ETC Target UIC) of this message is to deliver or receive a specified number of securities.
Allowable Values	'D' = Target entity is to deliver securities 'R' = Target entity is to receive securities

Destination Settlement System

Format	1 Character
Definition	Identifies the settlement system to which a scheduled settlement instruction is to be 'switched' from its current settlement system. Examples are net batch processing, and Real Time Gross Settlement (RTGS) settlement systems within CHESS.
Allowable Values	'N' = Net batch processing
	'R' = RTGS

Diary Adjustment Number

Format	1 Numeric
Definition	The identifier of the diary adjustment within a corporate action which caused a settlement instruction to be adjusted.
Allowable Values	

Diary Adjustment Type

Format	1 Character
Definition	Indicate the type of corporate action.
Allowable Values	'A' = Code Change
	'B' = Bonus
	'C' = Consolidation
	'D' = Dividend
	'E' = Non-Renounceable
	'I' = Interest Payment
	'L' = Call
	'M' = Application Money - General
	'R' = Renounceable
	'S' = Share Split
	'T' = Capital Return

Dispatch Date

Format	8 Numerics
Definition	Identifies the nominated despatch date for a corporate action.
Allowable Values	(See Date)

Distinct Portion Indicator

Format	1 Character
Definition	An indicator to be used in the acceptance of a takeover offer stating that the number of securities covered by the acceptance relates to a distinct portion of a security holding within the terms of Section 650(3) of the Corporations Law. The distinct portion indicator is to be used when an offeror makes a takeover bid for securities in a security holder's name and the security holder holds the securities on behalf of one or more persons and only one or some of those persons wish to accept the offer.
	Defaults to 'N'.
Allowable Values	'Y' = Yes (This acceptance relates to a distinct portion)
	'N' = No

Distribution Amount Per Unit

Format	11 Numerics, 6 decimal
Definition	The amount in cents that will be applied to each Fund unit held by a holder as the result of a Fund distribution payment.
Allowable Values	

Distribution Notification Flag

Format	1 Character
Definition	The state of the Distribution Advice; whether it is the initial advice or a subsequent amended advice.
Allowable Values	'I' = Initial
	'A' = Amendment

DRP Preference

Format	1 Character
Definition	Indicates that the investor has selected dividend reinvestment (Y) or cash (Y) for their entitlements.
Allowable Values	'Y' = Yes
	'N' = No

Duty Payable

Format	14 Numerics, 2 decimal places
Definition	The amount of duty payable for an off-market transfer as calculated by the liable participant.
Allowable Values	

Duty Type

Format	1 Character
Definition	Identifies the duty category of an off-market transfer.
Allowable Values	'A' = Ad Valorem
	'C' = Concessional - NCBO
	'D' = Concessional - other
	'E' = Exempt - Entrepot Account Transfer
	'F' = Exempt - Charitable Body
	'G' = Exempt - Government Body
	'K' = Exempt - Duty Paid
	'L' = Exempt - Stock Loan
	'M' = Exempt - Stock Loan Return
	'N' = Exempt - NCBO
	'O' = Exempt - other
	'P' = Exempt - Passing Entitlement following the Parent Shares
	'R' = Reversal

DvP Declaration Timestamp
Format   22 Characters
Definition   The time that the CHESS Clearing payment provider declares that
                          the interbank payments occur.
Allowable Values   (See Timestamp)

Effected Timestamp
Format   22 Characters
Definition   The time that a holding transaction is applied to a CHESS holding
                          and is recorded in the CHESS database.
Allowable Values   (See Timestamp)

Email Address
Format   50 Characters
Definition   An Email address specified for a HIN.
Allowable Values   Must include an @ symbol, at least one full stop and no spaces

Email Cancellation
Format   1 Character
Definition   Indicates that the existing Email Address must be removed from the
                          Holder's record.
Allowable Values   'Y' = Yes
                          Blank

Email Purpose
Format   1 Character
Definition   The categories of communications that a Holder may indicate a
                          preference to receive by delivery to an email address. purpose for
                          which an Email address may be used by an issuer registry.
Allowable Values   'A' = Preference for all electronic communications
                          from ASX Settlement and Issuers
                          'B' - Preference for electronic communications from ASX
                          Settlement only
                          'C' = Preference for all electronic communications from Issuers
                          only

End Date Parameter
Format   8 Numerics
Definition   Restricts reporting to transactions processed to the required status on
                          or before this date, eg. if the reporting relates to settled settlement
                          Instructions, all settlement instructions which have been settled on or
                          before the specified date are included.
Allowable Values   (See Date)

Entitlement Balance
Format   11 Numerics
Definition   The number of units of a security for which the holder is eligible to
                          receive entitlements in respect of a specific corporate action.
Allowable Values

Entity Type

Format	1 Character
Definition	Specifies the type of entity for Foreign Tax purposes.
Allowable Values	'A' = Active NFE
	'F' = Financial Institution
	'P' = Passive NFE

Entrepot Type

Format	1 Character
Definition	Defines a type of entrepot holding.
Allowable Values	'A' = Accumulation
	'P' = Payment
	'S' = Settlement

ESA Status

Format	1 Character
Definition	Identifies the liquidity approval setting selected by the payment provider for all buy transactions on a given Cash Subrecord which are to be sent to the RBA (i.e. RITS) for RTGS settlement.
Allowable Values	'A' = Active
	'D' = Deferred

Exchange

Format	3 Characters
Definition	The listed exchange for the associated security code
Allowable values	'APX' – Asia Pacific Exchange Limited
	'ASX' – Australian Securities Exchange Limited
	CXA' – Chi-X Australia Limited
	'NSX' – National Stock Exchange
	'SIM' – Sim Venture Securities Exchange Limited

Ex Date

Format	8 Numerics
Definition	The date from which the holder of a security retains their rights to benefits associated with owning the security.
Allowable Values	(See Date)

Ex Date Parameter

Format	8 Numerics
Definition	Restricts the scope of a reporting request to a specific corporate action. Used in conjunction with Corporate Action Type Id Parameter.
Allowable Values	(See Date)

Excess Cash Standing Instruction

Format	15 +/- Numeric,2 decimal
Definition	Indicates the Excess Cash Standing Instruction the Participant has/would like at ASX Clear.
Allowable Values	CHESS will only accept a positive value for this message field

Excess / Shortage

Format	15 +/- Numeric,2 decimal
Definition	The excess that is available for withdrawal or the shortage must be covered in a cash deposit.

Only excess cash can be withdrawn as part of settlement. Non-cash collateral can only be withdrawn outside of the settlement process.

"Excess / Shortage" = "Cash Balance Carried Forward" + "Utilised Non-Cash Collateral" – "Initial Margin" – "Other Margin"

ETC Rejection Reason

Format	2 Characters
Definition	Identifies the reason for the rejection of a specific transaction.
Allowable Values	'A' = Other (ie not covered by reason in remainder of list)
	'B' = Trade Date
	'C' = Settlement Date
	'D' = Security Code
	'E' = Buy/Sell Indicator
	'F' = Unit Quantity
	'G' = Unit Price
	'H' = Trade Value
	'I' = Brokerage
	'J' = Duty Payable
	'K' = Settlement Value
	'L' = Account Id
	'M' = Condition Code(s)
	'N' = Basis of Quote(s)
	'O' = Name and Address details
	'P' = Contract Note Status
	'Q' = Crossing Indicator
	'R' = Principal Indicator
	'S' = Settlement Matching Date
	'T' = Other Amount
	'U' = Invalid Foreign Guarantee

ETC Settlement Cancel Timestamp

Format	22 Characters
Definition	Records the business date, processing date and processing time on which the sending UIC completes the processing of an ETC settlement cancellation advice.
Allowable Values	(See Timestamp)

ETC Settlement Date

Format	8 Numerics
Definition	Identifies the settlement date of the ETC transaction. Must be included for all transactions for which the settlement date is known.
Allowable Values	(See Date)

ETC Source UIC
Format	5 Numerics
Definition	Identifies the CHESS user that initiated the type of transaction with which it is associated.
Allowable Values	

ETC Target UIC
Format	5 Numerics
Definition	Identifies the CHESS user that is the target of the transaction with which it is associated.
Allowable Values	

Expiry Date
Format	8 Numerics
Definition	Date of expiry for some types of non-cash collateral (e.g. CGS has an expiry date).
Allowable Values	

Face Value
Format	14 Numerics, 2 decimals
Definition	Date of expiry for some types of non-cash collateral (e.g. CGS has an expiry date).
Allowable Values	

Failing Unit Quantity
Format	11 Numerics
Definition	The number of units that have not been delivered for a given settlement instruction.
Allowable Values	

FATCA Questions
Format	1 Character
Definition	Indicates that the appropriate FATCA checks have been conducted by the Sponsoring Participant
Allowable Values	1 = Not Applicable
	2 = US Citizen or US Tax Resident
	3 = Entity other than a Superannuation Fund

Fees
Format	15 Numerics, 2 decimal
Definition	The total fee that is to be levied against this request by the Product Issuer Settlement Participant. Applies to both Fund redemptions and distributions
Allowable Values	

FI Assessable Income Cash
Format   14 Numeric, 2 decimal
Definition   Foreign Income: Total net cash amount for foreign sourced income.
                            An ATO Tax Statement value.
Allowable Values

FI Assessable Income Tax
Format   14 Numeric, 2 decimal
Definition   Foreign Income: Total tax paid or tax offset for foreign sourced
                            income. An ATO Tax Statement value.
Allowable Values

FI Assessable Income Taxable
Format   14 Numeric, 2 decimal
Definition   Foreign Income: Total taxable amount for foreign sourced income.
                            An ATO Tax Statement value.
Allowable Values

FI Cash Distribution Cash
Format   14 Numeric, 2 decimal
Definition   Foreign Income: Total net cash amount for cash distributions. An
                            ATO Tax Statement value.
Allowable Values

FI Cash Distribution Cash Sub-Total
Format   14 Numeric, 2 decimal
Definition   Sub-Total: Total net cash amount for Data Reference items (10a,
                            15a, 19a, 22a). An ATO Tax Statement value.
Allowable Values

FI Cash Distribution Tax
Format   14 Numeric, 2 decimal
Definition   Foreign Income: Total tax paid or tax offset for cash distributions. An
                            ATO Tax Statement value.
Allowable Values

FI Code
Format   3 Characters
Definition   The code of the financial institution at which a Bank Account is held.
Allowable Values

First Listed Date
Format   8 Numerics
Definition   The date in which a security starts official quotation.
Allowable Values   (See Date)

First Trade Price
Format   15 Numerics, 4 decimal places (expressed as cents to four decimal
                          places).
Definition   The price of the first on market trade for current business day for the
                          specified security.
Allowable Values

Foreign Country Code (1A - 3C)
Format   3 Character
Definition   Identifies the country of the foreign tax residency.
Allowable Values   3 Character ISO Country Code.

Foreign Income Tax Offsets
Format   14 Numeric, 2 decimal
Definition   Foreign sourced income tax offsets. An ATO Tax Statement value.
Allowable Values

Foreign Person Date of Birth 1
Format   8 Numeric
Definition   Specifies the birth date of a Foreign Tax Resident.
Allowable Values   (See Date)

Foreign Person Date of Birth 2
Format   8 Numeric
Definition   Specifies the birth date of a Foreign Tax Resident.
Allowable Values   (See Date)

Foreign Person Date of Birth 3
Format   8 Numeric
Definition   Specifies the birth date of a Foreign Tax Resident.
Allowable Values   (See Date)

Foreign Person 1
Format   1 Character
Definition   Identifies an investor as foreign for tax reporting purposes.
Allowable Values   'Y' = Yes
                          'N' = No
Foreign Person 2
Format   1 Character
Definition   Identifies an investor as foreign for tax reporting purposes.
Allowable Values   'Y' = Yes
                          'N' = No

Foreign Person 3
Format   1 Character
Definition   Identifies an investor as foreign for tax reporting purposes.
Allowable Values   'Y' = Yes
                          'N' = No

Foreign Residency Indicator
Format 1 Character
Definition Identifies where a party to an Account Type is foreign.
Allowable Values   'Y' = Yes
                        'N' = No

Foreign TIN (1A - 3C)
Format   30 Character
Definition   Identifies the foreign tax identifier (TIN). Note that TIN is used here as
                             a generic term for any Tax File Identifier assigned within any foreign
                             jurisdiction.

Franking Credit
Format   14 Numeric, 2 decimal
Definition   Share of franking credit from franked dividends. An ATO Tax
                             Statement value.

Full Redemption
Format   1 Character
Definition   Indicates that the investor requests redemption of all units.
Allowable Values   'Y' = Yes
                             'N' = No

Full Transfer
Format   1 Character
Definition   Identifies where an investor has requested that the holding be
                             transferred in full (Y) or in part (N).
Allowable Values   'Y' = Yes
                             'N' = No

Full Switch
Format   1 Character
Definition   Indicates that the investor requests to switch all units to another
                             nominated fund.
Allowable Values   'Y' = Yes
                             'N' = No

Fund Amount
Format   15 Signed Numerics, 2 decimal places
Definition   The dollar value of a fund transaction in CHESS.
Allowable Values   The sign is always shown as positive ('+').

Fund Code
Format   12 Characters
Definition   Identifies the fund that is the subject of a message sent between a
                             user and ASX Clear. May be either an ISIN security code or an ASX
                             code. On input, either is accepted, on output, the previously requested
                             format is used.
Allowable Values   A valid ISIN code in the format prescribed by ISO Standard
                             6166; or a valid security code issued by ASX, left justified and
                             followed by spaces.

Fund Holding Balance

Format	18 Numerics, 6 decimal
Definition	The unit balance of a holding in a particular fund.
Allowable Values	

Fund Movement from Registry

Format	18 Numerics, 6 decimal
Definition	The total units added to a CHESS Fund holding during a business day as a result of authorised transactions.
Allowable Values	

Fund Movement to Registry

Format	18 Numerics, 6 decimal
Definition	The total units subtracted from a CHESS Fund holding during a business day as a result of authorised transactions.
Allowable Values	

Fund Net Offs

Format	18 Numerics, 6 decimal
Definition	The total unit quantity of holding transactions effected during the processing day that reduced the CHESS Fund holding balance.
Allowable Values	

Fund Net Ons

Format	18 Numerics, 6 decimal
Definition	The total unit quantity of holding transactions effected during the processing day that increased the CHESS Fund holding balance.
Allowable Values	

Fund Reason

Format	3 Characters
Definition	The transaction type underlying the request.
Allowable Values	'ADJ' = Miscellaneous Adjustment
	'APP' = Application
	'DRP' = Distribution Re-Investment Plan
	'ORP' = Order Reapply
	'ORV' = Order Reversal
	'RED' = Redemption
	'RPP' = Regular Payment Plan
	'SWI' = Switch

Gross Distribution Amount

Format	12 Numerics, 2 decimal places
Definition	The gross dollar value of a Fund distribution in CHESS.
Allowable Values	

Gross DRP Amount

Format	15 Numerics, 2 decimal places
Definition	The gross dollar value of a Fund dividend reinvestment in CHESS.
Allowable Values	

Gross Income Amount

Format	15 Numeric, 2 decimal
Definition	The gross dollar value of an income distribution in CHESS.
Allowable Values	

Guaranteed Foreign Closing Balance

Format	11 Numerics
Definition	The total foreign guaranteed unit quantity registered for a CHESS foreign holding at the end of a processing day. Applicable only for securities subject to CHESS foreign ownership restrictions.
Allowable Values	

Guaranteed Foreign Indicator

Format	2 Characters
Definition	Indicates whether the transaction is to be processed as a foreign to foreign transaction for securities subject to CHESS foreign ownership restrictions.
Allowable Values	'OR' = Overseas Resident (Foreign to Foreign)

Guaranteed Foreign Net Offs

Format	11 Numerics
Definition	The total foreign guaranteed unit quantity of holding transactions effected during the day that reduced the CHESS foreign holding balance. Applicable only to securities subject to CHESS foreign ownership restrictions.
Allowable Values	

Guaranteed Foreign Net Ons

Format	11 Numerics
Definition	The total foreign guaranteed unit quantity of holding transactions that have been effected during the processing day that increased the CHESS foreign holding. Applicable only to securities subject to CHESS foreign ownership restrictions.
Allowable Values	

Guaranteed Movement from Registry

Format	11 Numerics
Definition	The total foreign guaranteed units added to a CHESS holding or a Cum Entitlement balance during a business day as a result of an authorised registry transaction. Authorised registry transactions that can cause an increase are :

- an authorisation for a registry/CHESS movement; and
- a holding adjustment.

Applicable only for securities subject to CHESS foreign ownership restrictions.

Allowable Values

Guaranteed Movement to Registry

Format	11 Numerics
Definition	The total foreign guaranteed units subtracted from a CHESS holding or a Cum Entitlement balance during a business day as a result of an authorised registry transaction or a CHESS/registry movement. Authorised registry transactions that can cause a decrease are :

- an authorisation for a registry/CHESS movement; and
- a holding adjustment.

Applicable only for securities subject to CHESS foreign ownership restrictions.

Allowable Values

Haircut Rate

Format	11 Numerics, 6 decimals
Definition	The haircut rate that has been applied by ASX Clear in the calculation of the collateral value.
Allowable Values	

Highest Trade Price

Format	15 Numerics, 4 decimal places (expressed as cents to four decimal places).
Definition	The highest price at which the security has been sold on the market for the current business day.
Allowable Values	

HIN

Format	10 Numerics
Definition	**H**older **I**dentification **N**umber. Uniquely identifies a holder within CHESS. A holder may be associated with more than one HIN, eg. if sponsored by several brokers. Defaults to one of the participant's default HINs depending on the message type, where the HIN is optional (and not specified) on a message.
Allowable Values	Any value allocated by ASX as an identifier of a holder

HIN Parameter

Format	10 Numerics
Definition	Restricts the scope of a reporting request to a specific holder.
Allowable Values	(See HIN)

Holder DRP Preference

Format	1 Character
Definition	Investor election for Distribution Reinvestment Plan (DRP).
Allowable Values	'F' = Full
	'P' = Partial
	'N' = No

Holder Lock Release Reason

Format	1 Character
Definition	Indicates the reason for a request to change the status of a holder.
Allowable Values	'A' = Annulment
	'G' = Grant of Probate or Letters of Administration
	'L' = Legal Trustee request
	'N' = No holdings
	'S' = Small Estate Statement and Indemnity
	'T' = Transfer Indemnity Bond

Holder RPP Preference

Format	1 Character
Definition	Investor preference for Regular Payment Plan (RPP).
Allowable Values	SPACE only

Holder Status

Format	1 Character
Definition	Identifies the current status of a CHESS holder. Determines the transactions permitted on the holders' CHESS holdings.
Allowable Values	'A' = Normal
	'B' = Notified as bankrupt - Holder Locked
	'C' = Cancelled
	'D' = Notified as deceased - Holder Locked
	'E' = Bankrupt - Holder Lock released
	'H' = Non-specific Holder Lock
	'L' = Holding Lock applied *(512-Holding Registration Details only)*
	'M' = Holding Lock released *(512-Holding Registration Details only)*
	'O' = Subject of Court Order - Holder Locked
	'R' = Deceased - Holder Lock released
	'U' = Unauthorised
	'X' = Action by ASX Clear - Holder Locked

Holder Status Change

Format	1 Character
Definition	Indicates the required status of a holder and the reason for that status change.
Allowable Values	'B' = Notified as Bankrupt - Lock Holder
	'C' = Cancel Holder
	'D' = Notified as Deceased - Lock Holder
	'E' = Bankrupt - Release Holder Lock
	'R' = Deceased - Release Holder Lock
	'Y' = Error - Release Holder Lock

Holder Type

Format	1 Character
Definition	Defines the type of CHESS holder. Determines the service that CHESS provides for the holder.
Allowable Values	'D' = Direct
	'E' = Entrepot
	'S' = Sponsored

Holding Balance

Format	11 Numerics
Definition	The unit balance of a holding.
Allowable Values	

Holding Balance Timestamp

Format	22 Characters
Definition	The time at which the registry interrogated its records to retrieve the issuer sponsored holding balance.
Allowable Values	(See Timestamp)

Holding Transaction Status

Format	1 Character
Definition	Identifies the current status of a securities movement in CHESS.
Allowable Values	'A' = Unauthorised
	'C' = Cancelled
	'E' = Effected
	'R' = Rejected
	'U' = Unmatched

Hold Reason

Format	3 Characters
Definition	Indicates the reason that the Product Issuer Settlement Participant has for not effecting a request from a Participant. This is not a rejection, the request will be effected at a later date.
Allowable Values	'FCR' = Fund is currently closed for redemptions

Income

Format	15 Numerics, 2 decimal places
Definition	The designated value of income.
Allowable Values	

Income Amount per Unit

Format	11 Numeric, 6 decimal
Definition	The amount in cents that will be applied to each unit held by a holder as the result of an income distribution payment.
Allowable Values	

Income Notification Flag

Format	1 Character
Definition	The state of the Income Statement Advice; whether it is the advice or a subsequent amended advice.
Allowable Values	'I' = Income Statement
	'A' = Amendment to an Income Statement

Income Statement Cancellation

Format	1 Character
Definition	Allows a regular standing Income Statement Report request, based on the selected Income Statement Report Type, to be cancelled.
Allowable Values	'Y' = Yes
	Space

Income Statement Report Frequency

Format	1 Character
Definition	Identifies whether the income statement details are to be provided as an on-demand report or as a regular standing report. The regular standing option applies to periodic income statements only. Where a regular standing report is nominated, the frequency is dependant on the PISP/registry offering.
Allowable Values	'A" = On-demand
	'S' = Standing

Income Statement Report Type

Format	1 Character
Definition	Identifies the type of income statement requested.
Allowable Values	'A' = Annual
	'P" = Periodic

Income Statement Status

Format	1 Character
Definition	Identifies whether the annual income statement details provided are Provisional or Final.
Allowable Values	'F" = Final
'P' = Provisional	

Increase or Decrease

Format	1 Character
Definition	Indicates if the unit quantity is an addition or removal of securities from a CHESS holding.
Allowable Values	'+' = Increase
	'-' = Decrease

Increasing Holding Balance

Format	11 Numerics
Definition	The resulting unit balance of the holding which is increased following the application of a registry transaction.
Allowable Values	

Increasing Security Code

Format	12 Characters
Definition	The code of the security that, along with the HIN, identifies the CHESS holding that is to be increased following the application of a registry transaction.
Allowable Values	(See Security Code)

Increasing Unit Quantity

Format	11 Numerics
Definition	The unit quantity that is to be added to a CHESS holding following the application of a registry transaction.
Allowable Values	

Initial Application

Format	1 Character
Definition	Indicates, for an AMFS Application request, whether the investor is applying for units in a specified fund for the first time.
Allowable Values	'Y' = Yes
	'N' = No

Initial Margin

Format	15 +\- Numeric, 2 decimals
Definition	The margin obligation due for settlement that day, as calculated by Cash Market Margining Methodology.
Allowable Values	

Initial Timestamp

Format	22 Characters
Definition	The time at which a transaction which requires an action by another party before it can be processed to completion is first recorded in the CHESS database.
Allowable Values	(See Timestamp)

Initiator Name and Address
Format   180 Characters
Definition   Name and address details of the initiator of the contract note.
Allowable Values

Interest Amount
Format   15 +\- Numeric, 2 decimals
Definition   The calculated interest amount.
Allowable Values

Interest Description
Format   129 Characters
Definition   A description applying to interest.
Allowable Values   This field allows the input of free text.

                        Some examples of Interest Descriptions may include descriptions
                        similar to the following:

                        "Excess Cash"

                        "Cash used to cover AUD IM"

                        "Adjustment applied due to incorrect values previously being
                        notified".

Interest Rate
Format   13 +\- Numeric, 5 decimals
Definition   The interest rate applied in the calculation of an interest amount, for
                        example, interest on excess cash balances.
Allowable Values   As defined in section 10.8.4

Invalid Message Header
Format   32 Characters
Definition   The fixed header section of an unprocessable message. The fixed
                        header consists of Length to Message Identifier inclusive as defined
                        in section 10.5.3. From this the user can extract the MSN (as defined
                        in section 10.8.3) and locate and examine the offending message in
                        his own system.
Allowable Values

Invalid Reason
Format   5 Numerics
Definition   The reason code explaining the nature of the error which made the
                        user's message unprocessable.
Allowable Values   As defined in section 10.8.4

Investor Email Address
Format   50 Character
Definition   An Email address specified for an investor.
Allowable Values   'N/A' is allowable. (See Email Address)

Investor Email Purpose

Format	1 Character
Definition	The ~~categories of communications that a Holder may indicate a preference to receive by delivery to an email address.~~ purpose for which an Email address may be used.
Allowable Values	(See Email Purpose)

Investor Mobile Contact

Format	16 Character
Definition	The mobile phone number for the investor. Include country code where appropriate.
Allowable Values	'N/A' is allowable.

ISIN

Format	12 Characters
Definition	The International Security Identification Number is a unique identifier for certain securities.
Allowable Values	

Issue

Format	20 Characters
Definition	The name of the Issue (e.g. BHP, APR12-COL).
Allowable Values	

Issuer

Format	20 Character
Definition	The name of the Issuer, where applicable (e.g. RBA).
Allowable Values	

Last Date Traded

Format	8 Numerics
Definition	Indicates the date the last on-market price was captured.
Allowable Values	(See Date)

Last Listed Date

Format	8 Numerics
Definition	The date in which the security ceases official quotation
Allowable Values	(See Date)

Last Trade Price

Format	15 Numerics, 6 decimal places (expressed as cents to four decimal places).
Definition	The last price at which a sale occurred for specified security.
Allowable Values	

Last Traded Time
Format   6 Numerics
Definition   Indicates the time the last on-market price was captured.
Allowable Values   A valid time in the format of HHMMSS

Levy Type
Format   1 Character
Definition   The type of levy charged to the user.
Allowable Values   'A' = Settlement Fail - Net Batch
                          'B' = RTGS Settlement Fail
                          'C' = Reserved for future use
                          'D' = Reserved for future use
                          'E' = Reserved for future use

Levy Value
Format   15 Signed Numerics, 2 decimal places
Definition   The GST exclusive dollar value of the levy charged to the user.
Allowable Values

Linking Transaction Id
Format   16 Character
Definition   mFund specific. When used in an 'Initial' application request this field equals the Transaction Id of the MT726 Application Request. When used in a 'Subsequent' application request or as a standalone request this field equals the Transaction Id of the requesting message. When used in a transfer request this value is the Transaction Id of the originating MT851 CHESS To CHESS Fund Transfer Request.
Allowable Values   Refer *Section 8.6* of the *EIS* – Transaction Id.

Loan Consideration
Format   15 Signed Numerics, 2 decimals
Definition   The consideration provided for an SLS loan.   The consideration includes any additional margins called during the period of the loan.
Allowable Values

Loan Transaction Id
Format   16 Characters
Definition   The identifier of an SLS loan. The Transaction Id of the message that initially requests the SLS loan is used as the Loan Transaction Id.
Allowable Values   (See Transaction Id)
Location of Register
Format   3 Characters
Definition   Identifies the non-CHESS register on which the participant wishes the securities to be registered or on which they are currently registered.
Allowable Values   'NSW', 'VIC', 'QLD', 'SA', 'WA', 'TAS', 'ACT', 'NT'
                          All country codes;

(Refer Section 8.4 Country Codes and Descriptions)

Lodgement Date
Format   8 Numerics,
Definition   The date that the collateral was effectively lodged with ASX Clear.
Allowable Values

Lowest Trade Price
Format   15 Numerics, 4 decimal places (expressed as cents to four decimal places).
Definition   The lowest price at which the security has been traded on the market for the current business day.
Allowable Values

Margin Lender
Format   1 Character
Definition   Indicates to ASX Clear that the securities lodged as collateral are subject to a margin lender agreement.
Allowable Values   'Y' = Yes
                                'N' = No
                                Space = No (default)

Margin Payment Date
Format   8 Numerics
Definition   The date in which the margin is to be settled.
Allowable Values

Market
Format   3 Characters
Definition   The Market to which the information relates.
Allowable Values   "CMM" – ASX Clear(Cash)

Marking Number
Format   16 Characters
Definition   Reference number of a held balance on a certificated subregister. Allocated by the registry when a partial transfer of securities occurs. (Also called Held Balance Reference Number in ASX Business Rules and industry procedures.)
Allowable Values

Market Value
Format   14 Numeric, 2 decimals
Definition   The current market value of the collateral.
Allowable Values

Master UIC
Format   5 Numerics
Definition   Identifies a CHESS user to which another CHESS user is related. CHESS users with the same Master UIC are considered to be related to each other through the Master UIC. A user who is a Master UIC cannot be related to another Master UIC.
Allowable Values   (See UIC)

Matched Timestamp
Format   22 Characters
Definition   The time at which the matching of two sides of a transaction is recorded in the CHESS database.
Allowable Values   (See Timestamp)

Matching Transaction Id
Format   16 Characters
Definition   The identifier of the transaction which matches another transaction and allows it to be processed to completion.
Allowable Values   (See Transaction Id)

Member ASX Indicator

Format   1 Character
Definition   Indicates whether the initiator of the contract note is a member of an exchange. If the initiator is a member of ASX and/or a member of another exchange, the electronic contract note must be followed up by a paper contract note.
Allowable Values   'A' = Member of Australian Stock Exchange (ASX) only 'B' = Member of ASX plus other Stock Exchange(s)
                     'C' = Member of Other Stock Exchange(s)
                     'X' = Not a member of any Stock Exchange

Message Status

Format   1 Character
Definition   Indicates whether the values presented in the AMFS Distribution Statement are New or represent a revised set of values.
Allowable Values   'N' = New
                     'R' = Revised

Message Type

Format   2 Character
Definition   Identifies the type of message being transmitted (Acceptance or Cancellation)
Allowable Values   'TA' =   Trade Acceptance Registration
                     'TG' =   Trade Cancellation Registration

Movement from Registry

Format   11 Numerics
Definition   The total units added to a CHESS holding or a Cum Entitlement Balance during a business day as a result of an authorised registry transaction. Authorised registry transactions that can cause an increase are :
                     • an authorisation for a registry/CHESS movement;
                     • a holding adjustment; and
                     • a holding transformation.
Allowable Values

Movement Reason

Format   1 Character
Definition   The reason for the movement of securities between two subregisters.
Allowable Values   'A' = Holder in liquidation
                     'B' = Balance transfer
                     'C' = Holder request
                     'D' = Deceased Estate
                     'E' = Holder Bankrupt
                     'F' = CHESS Subregister Closed
                     'G' = Withdrawal of Participant
                     'I' = IPO Transaction
                     'Z' = Action by Market Support

Movement to Registry

Format   11 Numerics

Definition   The total units subtracted from a CHESS holding or a Cum Entitlement balance during a business day as a result of an authorised registry transaction or a CHESS/registry movement. Authorised registry transactions that can cause a decrease are :

- a holding adjustment; and
- a holding transformation.

Allowable Values

Movement Type

Format   1 Character

Definition   Indicates whether securities have been reserved for the RTGS settlement instruction pending successful funds movement at RITS; securities have been transferred following successful settlement; or securities have been unreserved due to unsuccessful funds movement at RITS resulting from participant-initiated cancellation, ASX Clear-initiated recall, or end-of-day RTGS settlement failure of the scheduled settlement instruction.

Allowable Values   'R' = Unit reservation
                       'S' = Effected settlement
                       'V' = Unit reservation reversal

Name

Format   90 Characters

Definition   Full title of a CHESS user that interfaces to the ASX Clear.

Allowable Values

NA Gross Cash

Format   14 Numeric, 2 decimal

                       Definition   Gross Total: Total gross cash amount for s. An ATO Tax Statement value.

Allowable Values

NA Tax Deferred Amounts Cash

Format   14 Numeric, 2 decimal

Definition   Non Assessable: Total of net cash amount deferred from taxation. An ATO Tax Statement value.

Allowable Values

NA Tax Exempted Amounts Cash

Format   14 Numeric, 2 decimal

Definition   Non Assessable: Total of net cash amount exempted from taxation. An ATO Tax Statement value.

Allowable Values

NA Tax Free Amounts Cash

Format	14 Numeric, 2 decimal
Definition	Non Assessable: Total of net cash amount free from taxation. An ATO Tax Statement value.
Allowable Values	

Net Capital Gain

Format	14 Numeric, 2 decimal
Definition	Net capital gain for the current financial year. An ATO Tax Statement value.
Allowable Values	

Net Funds Transaction Id

Format	16 Characters
Definition	The identifier of the transaction which confirmed that the requested funds transfer has been initiated by the financial intermediary.
Allowable Values	(See Transaction Id)

Net Offs

Format	11 Numerics
Definition	The total unit quantity of holding transactions effected during the day that reduced the CHESS holding balance.
Allowable Values	

Net Ons

Format	11 Numerics
Definition	The total unit quantity of holding transactions that have been effected during the processing day that increased the CHESS holding.
Allowable Values	

Net Position Activity Flag

Format	1 Character
Definition	Indicates whether the Net Position Record is active or inactive. This value is determined at set-up of a Net Position Record and may be modified from Active to Inactive or vice versa. Modification requests may only be submitted and processed between CHESS RTGS End of Business Day and normal End of Day.
Allowable Values	'A' = Active
	'I' = Inactive

Nett Distribution Amount

Format	14 Numerics, 2 decimal places
Definition	The nett dollar value of a Fund distribution in CHESS.
Allowable Values	

Nett DRP Amount

Format	14 Numerics, 2 decimal places

Definition   The nett dollar value of a Fund dividend reinvestment in CHESS.
Allowable Values

Nett or Gross
Format   1 Character
Definition   Indicates whether the values presented are Nett or Gross
Allowable Values   'N' = Nett
                      'G' = Gross

Netted Obligation Transaction Id
Format   16 Characters
Definition   The identifier of the transaction generated as a result of a trade being netted.
Allowable Values   (See Transaction Id)

New Controlling PID
Format   5 Numerics
Definition   Identifies the new controlling PID of a holder.
Allowable Values   (see PID)

New Fund Holding Balance
Format   18 Numerics, 6 decimal
Definition   The resulting unit balance of a holding in a particular fund following the application of a holding transaction.
Allowable Values

New Holding Balance
Format   11 Numerics
Definition   The resulting unit balance of a holding following the application of a holding transaction.
Allowable Values

New Resultant Excess
Format   15 +\- Numeric, 2 decimals

Definition   The new resulting cash balance after a margin settlement amount change has been effected in ASX Clear.

                      The cash balance that the Clearing Participant holds with ASX Clear, assuming settlement is successful. The New Resultant Excess will be calculated by ASX Clear when the Clearing Participant elects to change their Settlement Amount.
Allowable Values

New Settlement Amount
Format   15 +\- Numeric, 2 decimals
Definition   The new settlement after a margin settlement amount change has been effected in ASX Clear.
Allowable Values

New Subposition Balance

Format	11 Numerics
Definition	The resulting balance of a subposition associated with a holding following the application of a transaction that adjusts the balance.
Allowable Values	

Non Primary Income

Format	14 Numeric, 2 decimal
Definition	Total share of non-primary production income. An ATO Tax Statement value.
Allowable Values	

Non Primary Distribution Deductions

Format	14 Numeric, 2 decimal
Definition	Total deductions from non-primary production income. An ATO Tax Statement value.

Notification Type

Format	1 Character
Definition	Indicates the purpose of the notification.
	(e.g. Whether the message relates to an end of day or intraday transaction).
Allowable Values	"I" - Intraday
	"E" - End of Day
	"U" – Update to End of Day
	"R" – Reporting

(Note: Allowable values "I" and "R" are not currently supported in this phase of Cash Market Margining. The addition of this functionality may be added at a later stage).

Novation Indicator

Format	1 Character
Definition	Identifies the novation status of a cash market transaction
Allowable Values	"N" – Non-Novated Transaction
	"Y" – Novated Transaction

Number Holders Affected

Format	11 Numerics
Definition	The number of holders that were affected by the actions of the user.
Allowable Values	

Number Of Days Parameter

Format	3 Numerics
Definition	Restricts the data to be included in the reporting to a number of days in the past or the future according to the reporting type.
Allowable Values	

Number of Units
Format   18 Numerics, 6 decimal
Definition   The number of units described for Fund applications and
                      redemptions.
Allowable Values

OD Net Cash
Format   14 Numeric, 2 decimal
Definition   Net Total: Total net cash amount for s. An ATO Tax Statement
                      value.
Allowable Values

OD Other Expenses
Format   14 Numeric, 2 decimal
Definition   Other Deductions: Total of other net cash expenses incurred by the
                      investor. An ATO Tax Statement value.
Allowable Values

OD TFN Amounts Withheld
Format   14 Numeric, 2 decimal
Definition   Other Deductions: Total of net cash amounts withheld against a
                      TFN. An ATO Tax Statement value.
Allowable Values

Off-Market Value
Format   15 Numerics, 2 decimal places
Definition   The buy-side off-market value for the current business day
Allowable Values

Off-Market Volume
Format   11 Numerics
Definition   The buy-side off-market volume for the current business day
Allowable Values

Old Controlling PID
Format   5 Numerics
Definition   Identifies the old controlling PID of a holder.
Allowable Values   (see PID)

On-Market Value
Format   15 Numerics, 2 decimal places
Definition   The buy-side on-market value for the current business day
Allowable Values

On-Market Volume
Format   11 Numerics
Definition   The buy-side on-market volume for the current business day
Allowable Values

Opening Balance

Format	11 Numerics
Definition	The total unit quantity registered for a CHESS holding at the start of a reporting period.
Allowable Values	

Opening Balance Date

Format	8 Numerics
Definition	Specifies the date on which the opening balance (total unit quantity registered for a CHESS holding) is based.
Allowable Values	(See Date)

Opening Fund Balance

Format	18 Numerics, 6 decimal
Definition	The total unit quantity registered for a CHESS Fund holding at the start of a processing day.
Allowable Values	

Order Reference

Format	16 Characters
Definition	Internal reference used between parties to a trade.
Allowable Values	

Order Type

Format	3 Characters
Definition	Defines the type of Fund order requested by the user.
Allowable Values	'ADJ' = Miscellaneous Adjustment
	'APP' = Application
	'DIS' = Distribution
	'DRP' = Distribution Reinvestment Plan
	'RED' = Redemption
	'RPP' = Regular Payment Plan
	'SWI' = Switch

Original Settlement Date

Format	8 Numerics
Definition	Identifies an original settlement cycle in which a settlement instruction was scheduled to settle.
Allowable Values	Any date specified by ASX as a Settlement Date. (see Date for valid format)

Origin Transaction Id

Format	16 Characters
Definition	The identifier of the originating transaction which has caused the output message to be sent to a CHESS user. The message may be sent in response to a primary transaction submitted by a user, a reporting request submitted by a user, a primary transaction submitted by a third party, or as a result of a CHESS process, eg. settlement.
Allowable Values	(See Transaction Id)

Other Amount

Format	14 Numerics, 2 decimal places
Definition	The dollar amount of an unspecified charge payable by the funds/investment manager.
Allowable Values	

Other Foreign Income

Format	14 Numeric, 2 decimal
Definition	Additional net foreign sourced income. An ATO Tax Statement value.
Allowable Values	

Other Margin

Format	15 +\- Numeric, 2 decimals
Definition	Any other margin that may be called from the Participant (e.g. Additional Cover).
Allowable Values	

Outstanding Loan Consideration

Format	15 Signed Numerics, 2 decimals
Definition	The dollar amount that is the current collateral for an active SLS loan.
Allowable Values	

Outstanding Settlement Amount

Format	15 Signed Numerics, 2 decimals
Definition	The dollar amount that remains to be settled for a settlement instruction.
Allowable Values	

Outstanding Unit Quantity

Format	11 Numerics
Definition	The number of units of a security which remain to be settled for a settlement instruction.
Allowable Values	

Override Basis Of Movement 1

Format	2 Characters
Definition	States the relationship of a securities movement to current corporate actions for the underlying security if the relationship is not the default.
Allowable Values	'CD' = Cum Dividend
	'XD' = Ex Dividend
	'CR' = Cum Rights issue
	'XR' = Ex Rights issue
	'CB' = Cum Bonus issue
	'XB' = Ex Bonus issue
	'CE' = Cum Entitlement
	'XE' = Ex Entitlement
	'CC' = Cum Capital return
	'XC' = Ex Capital return
	'CM' = Cum Premium return
	'XM' = Ex Premium return
	'CI' = Cum Interest
	'XI' = Ex Interest
	'CQ' = Cum Equal Access Buyback
	'XQ' = Ex Equal Access Buyback
	'CZ' = Cum Non Pro Rata Balance
	'XZ' = Ex Non Pro Rata Balance

Override Basis Of Movement 2

Format	2 Characters
Definition	States the relationship of a securities movement to current corporate actions for the underlying security if the relationship is not the default.
Allowable Values	(See Override Basis of Movement 1)

Override Basis Of Movement 3

Format	2 Characters
Definition	States the relationship of a securities movement to current corporate actions for the underlying security if the relationship is not the default.
Allowable Values	(See Override Basis of Movement 1)

Override Basis Of Movement 4

Format	2 Characters
Definition	States the relationship of a securities movement to current corporate actions for the underlying security if the relationship is not the default.
Allowable Values	(See Override Basis of Movement 1)

Override Basis Of Movement 5

Format	2 Characters
Definition	States the relationship of a securities movement to current corporate actions for the underlying security if the relationship is not the default.

Allowable Values   (See Override Basis of Movement 1)

Parent Transaction Id

Format	16 Characters
Definition	Identifies the transaction which was originally diary adjusted resulting in the creation of the accrued settlement instruction.
Allowable Values	(See Transaction Id)

Part-Settled Transaction Id

Format	16 Characters
Definition	The identifier of the transaction under which the part-settlement of a settlement instruction is recorded. This identifier is CHESS- allocated.
Allowable Values	(See Transaction Id)

Part-Settlement

Format	1 Character
Definition	Indicates that the settlement instruction can be part settled or not. Defaults to 'Y'.
Allowable Values	'Y' = Yes
	'N' = No

Participant Advisor Code

Format	30 Characters
Definition	Identifies an advisor within a Participant organisation
Allowable Values	

Participant Advisor Email

Format	50 Characters
Definition	An Email address specified for a Participant Advisor.
Allowable Values	Must include an @ symbol, at least one full stop and no spaces

Participant Advisor Name

Format	30 Characters
Definition	Identifies an advisor by name within a Participant organisation
Allowable Values	

Participant Name

Format	90 Characters
Definition	Full title of a CHESS participant that interfaces to ASX Clear.
Allowable Values	

Participant Reference

Format	16 Characters
Definition	The identifier allocated to a securities movement by a participant, eg. this field may be used to hold contract note numbers.
Allowable Values	

Participant Type

Format	1 Character
Definition	Defines the type of participant. Determines the services that are available to the participant.
Allowable Values	'B' = Broker
	'N' = Non-broker

Pay Receive Indicator

Format	1 Character
Definition	Indicates if funds are received or paid by a user.
Allowable Values	'P' = Pay
	'R' = Receive

Payee Clearing Member

Format	6 Numerics
Definition	Identifies the clearing participant (or ASX Clear) that is receiving funds in the daily settlement obligation.
Allowable Values	Any value allocated by ASX to identify a clearing participant (or ASX Clear).

Payee PID

Format	5 Numerics
Definition	Identifies the CHESS participant that is receiving funds free of delivery.
Allowable Values	Any value allocated by ASX to identify a CHESS participant.

Payee Payment Category

Format	4 Characters
Definition	Identifies the account type to which the payment is made.
Allowable Values	'OPT ' = Options account (House and Client accounts administratively netted).
	'FUTH' = Futures House account
	'FUTC' = Futures Client account

Payer Clearing Member

Format	6 Numerics
Definition	Identifies the clearing participant (or ASX Clear) that is paying funds in the daily settlement obligation.
Allowable Values	Any value allocated by ASX to identify a clearing participant (or ASX Clear).

Payer PID

Format	5 Numerics
Definition	Identifies the CHESS participant that is paying funds free of delivery.
Allowable Values	Any value allocated by ASX to identify a CHESS participant.

Payer Payment Category
Format   4 Characters
Definition   Identifies the account type from which the payment is made.
Allowable Values   'OPT ' = Options account (House and Client accounts
                        administratively netted)
                        'FUTH' = Futures House account
                        'FUTC' = Futures Client account

Paying PID
Format   5 Numerics
Definition   Identifies the CHESS participant that is paying funds.
Allowable Values   Any value allocated by ASX to identify a CHESS participant.

Payment Date
Format   8 Numerics
Definition   The date on which an Product Issuer Settlement Participant will
                        provide payment for redeemed Fund units requested via a
                        Participant Fund redemption request.
Allowable Values   (Refer *Date* in *CHESS Message Field Formats –Existing*)

Payment Facility Change Reason
Format   1 Character
Definition   The reason that a payment facility has changed.
Allowable Values   'A' = Associate HIN
                        'B' = Disassociate HIN
                        'C' = Default Payment Facility
                        'D' = Change Payment Provider
                        'E' = Cancel Payment Facility
                        'M' = Move Payment Facility
                        'R' = Reactivate Payment Facility
                        'S' = Suspend Payment Facility

Payment Facility Id
Format   6 Numerics
Definition   Unique identifier of a payment facility allocated by ASX Clear on
                        request from a participant.
Allowable Values   Any value allocated by ASX as an identifier of a payment facility.

Payment Facility Parameter
Format   6 Numerics
Definition   Restricts the scope of reporting to entities which relate to a specific
                        payment facility.
Allowable Values   (See Payment Facility Id)

Payment HIN
Format   10 Numerics
Definition   The HIN associated with a Payment Facility
Allowable Values   (Refer *HIN* in *CHESS Message Field Formats –Existing*)

Payment Provider Reference
Format   16 Characters
Definition   The identifier allocated to a funds authorisation or transfer by a
           payment provider.
Allowable Values

Payment Provider UIC
Format   5 Numerics
Definition   The unique identifier of a payment provider for the purposes of
           CHESS. Allocated by the ASX Clear.
Allowable Values Any value allocated by ASX Clear to identify a payment provider.

Payment Set Transaction Id
Format   10 Numerics
Definition   Identifies 'Aggregated Payment Request' messages in a unique
           Payment Set within CHESS. Allocated by the user on submission of
           'Aggregated Payment Request' messages and the 'Payment Set Total
           Record' message. The same Payment Set Transaction Id must appear
           on all such messages submitted on the same settlement date.
Allowable Values 'DDMMYY-NNN', where 'NNN' is the batch number, initialised to 001
           at the start of each settlement date.

Payment Type
Format   3 Characters
Definition   Identifies the type of payment free of delivery
Allowable Values 'DER' = Derivatives Settlement Payment

Payments from ASX Clear
Format   15 Signed Numerics, 2 decimal places
Definition   The aggregated dollar value of payments from ASX Clear in the
           CHESS batch settlement.
Allowable Values The sign will always be positive ('+')

Payments to ASX Clear
Format   15 Signed Numerics, 2 decimal places
Definition   The aggregated dollar value of payments to ASX Clear in the
           CHESS batch settlement.
Allowable Values The sign will always be positive ('+')

PDS Issue Date
Format   8 Numerics
Definition   The date of publication (issue) of a PDS associated with an AMFS
           security.
Allowable Values (Refer *Date* in *CHESS Message Field Formats –Existing*)

PID

Format	5 Numerics
Definition	Participant **Id**entifier. Unique identifier of a CHESS participant allocated by ASX Clear.
Allowable Values	Any value allocated by ASX to identify a CHESS participant

PID Parameter

Format	5 Numerics
Definition	Restricts the scope of reporting to entities which relate to a specific user.
Allowable Values	(See PID)

PIN

Format	4 Numerics
Definition	Authorisation code on an issuer sponsored holding allocated by the registry. The PIN (Personal Identification Number) may need to be provided for authorisation purposes to move securities from an issuer sponsored holding to a CHESS holding depending on the issuer's procedures.
Allowable Values	
NB:	This field is no longer in use. If a non-zero value is present, the message is rejected.

Position Type Parameter

Format	1 Character
Definition	Restricts the scope of reporting to a specific type of projected position.
Allowable Values	'C' = Cum Entitlements
	'F' = Funds
	'S' = Securities

Post-Settlement Advice

Format	1 Character
Definition	Identifies whether, for all RTGS buy and sell transactions targeting a given Cash Subrecord, the payment provider (i.e. the payment provider sponsoring the buyer participant) requires CHESS to advise RITS to send the payment provider a post-settlement advice to indicate completion of RITS funds movement/s on that transaction.
Allowable Values	'Y' = Yes
	'N' = No

Pre-Settlement Advice - Credit Level
Format   1 Character
Definition   Identifies whether, for all RTGS buy transactions targeting a given Cash Subrecord, the paying payment provider (i.e. the payment provider sponsoring the buyer participant) requires CHESS to advise RITS to send the paying payment provider a pre-settlement advice for client credit on that transaction.
Allowable Values   'Y'= Yes
                                'N' = No

Pre-Settlement Advice - ESA Level
Format   1 Character
Definition   Identifies whether, for all RTGS buy transactions targeting a given Cash Subrecord, the paying payment provider (i.e. the payment provider sponsoring the buyer participant) requires CHESS to advise RITS to send the paying payment provider a pre-settlement advice for payment provider liquidity on that transaction.
Allowable Values   'Y' = Yes
                                'N' = No

Previous Delivering HIN
Format   10 Numerics
Definition   Specifies the delivering HIN of a settlement instruction before it was altered.
Allowable Values   (See HIN)

Previous Destination Settlement System
Format   1 Character
Definition   Identifies the settlement system to which a scheduled settlement instruction had belonged prior to submission of a successful matched request to change settlement type.
Allowable Values   'N' = Net batch processing
                                'R' = RTGS

Previous Duty Payable
Format   14 Numerics, 2 decimal places
Definition   Specifies the duty payable of a settlement instruction before it was altered.
Allowable Values

Previous Duty Type
Format   1 Character
Definition   Specifies the duty type of a settlement instruction before it was altered.
Allowable Values   (See Duty Type)

Previous Guaranteed Foreign Indicator
Format   2 Characters
Definition   Indicates the status of the foreign guarantee indicator before it was
                    altered.
Allowable Values   (see Foreign Guarantee Indicator)

Previous HIN
Format   10 Numerics
Definition   Specifies the previous HIN of a settlement instruction before it was
                    altered.
Allowable Values   (See HIN)

Previous Loan Consideration
Format   15 Signed Numerics, 2 decimal places
Definition   Specifies the loan consideration of an SLS loan before it was
                    altered.
Allowable Values

Previous Override Basis Of Move 1
Format   2 Characters
Definition   Specifies the override basis of movement of a settlement instruction
                    before it was altered.
Allowable Values   (See Override Basis of Movement 1)

Previous Override Basis Of Move 2
Format   2 Characters
Definition   Specifies the override basis of movement of a settlement instruction
                    before it was altered.
Allowable Values   (See Override Basis of Movement 1)

Previous Override Basis Of Move 3
Format   2 Characters
Definition   Specifies the override basis of movement of a settlement instruction
                    before it was altered.
Allowable Values   (See Override Basis of Movement 1)

Previous Override Basis Of Move 4
Format   2 Characters
Definition   Specifies the override basis of movement of a settlement instruction
                    before it was altered.
Allowable Values   (See Override Basis of Movement 1)

Previous Override Basis Of Move 5
Format   2 Characters
Definition   Specifies the override basis of movement of a settlement instruction
                    before it was altered.
Allowable Values   (See Override Basis of Movement 1)

Previous Receiving HIN

Format	10 Numerics
Definition	Specifies the receiving HIN of a settlement instruction before it was altered.
Allowable Values	(See HIN)

Previous Security Code

Format	12 Characters
Definition	Specifies the security code of a settlement instruction or holding before it was altered.
Allowable Values	(See Security Code)

Previous Settlement Amount

Format	15 Signed Numerics, 2 decimal places
Definition	Specifies the settlement amount of a settlement instruction before it was altered.
Allowable Values	

Previous Settlement Date

Format	8 Numerics
Definition	Specifies the settlement date of a settlement instruction before it was altered.
Allowable Values	(See Date)

Previous Stamping Consideration

Format	14 Numerics, 2 decimal places
Definition	Specifies the stamping consideration of a settlement instruction before it was altered.
Allowable Values	

Previous Status

Format	1 Character
Definition	Specifies the previous status of a settlement instruction before it was altered.
Allowable Values	(See Settlement Instruction Status)

Previous Transaction Basis

Format	1 Character
Definition	Specifies the transaction basis of a settlement instruction before it was altered.
Allowable Values	(See Transaction Basis)

Previous Underlying Reference

Format	16 Characters
Definition	Specifies the underlying reference of a settlement instruction before it was altered.
Allowable Values	

Previous Unit Quantity

Format	11 Numerics
Definition	Specifies the unit quantity of a settlement instruction before it was altered.
Allowable Values	

Price Run Date

Format	8 Numerics
Definition	Indicates the date for which the price data is applicable.
Allowable Values	(See Date)

Price Run Time

Format	6 Numeric
Definition	Indicates the time for which the price data is applicable.
Allowable Values	A valid time in the format of HHMMSS

Price Run Type

Format	1 Character
Definition	Identifies the type of price run being transmitted
Allowable Values	'C' = Closing
	'I' = Intraday

Principal

Format	14 Numeric, 2 decimals
Definition	The principal amount used in the calculation of an interest amount.
Allowable Values	

Principal Indicator

Format	1 Character
Definition	Indicates that the participant was acting as principal for the transaction.
Allowable Values	'A' = Part-principal
	'P' = Principal

Processing Frequency

Format	2 Characters
Definition	Determines how often a scheduled reporting request must be actioned.
Allowable Values	'DL' = Daily
	'WK = Weekly'
	'FO' = Fortnightly
	'ML' = Monthly
	'YR' = Yearly
	'OO' = One-off

Processing Period

Format	1 Character
Definition	Identifies the CHESS processing period after which a reporting request must be actioned.
Allowable Values	'C' = Period following processing of CHESS RTGS End-of-Day Recalls
	'E' = End of day
	Blank = Immediate

Processing Point

Format	2 Characters
Definition	The day of the week or day of the month, or month of the year, on which a scheduled reporting request should be actioned.
Allowable Values	'MO' = Monday
	'TU' = Tuesday
	'WE' = Wednesday
	'TH' = Thursday
	'FR' = Friday
	'01' thru '31'

Processing Timestamp

Format	22 Characters
Definition	The time at which a transaction was processed to the described state or the time at which a reporting request was processed.
	(NB. If this value is part of a message created by a user - the timestamp is the time at which the transaction is processed by the user).
Allowable Values	(See Timestamp)

Product Name

Format	50 Characters
Definition	The name used to define a Fund targeted for Fund redemptions.
Allowable Values	

Projected Funds Position

Format	15 Signed Numerics, 2 decimal places
Definition	The estimated funds position for a payment facility in a future settlement cycle. Positive ('+') indicates receiving funds, negative ('-') indicates paying funds.
Allowable Values	

Projected Security Position

Format	11 Numerics
Definition	The estimated securities position for a holding in a future settlement cycle.
Allowable Values	

Reason

Format	3 Characters
Definition	The reason for a holding transaction.
Allowable Values	

ADJ	Adjustment
AFO	Adjustment for Foreign Ownership
ALT	Miscellaneous Allotment
BON	Bonus Issue Allotment
BSP	Bonus Plan Allotment
BYB	Buy-back
CAL	Call Paid
CAQ	Compulsory Acquisition by Offeror
CNA	Convertible Note Allotment
CNR	Convertible Note Redemption / Maturity
CNV	Miscellaneous Conversion
CRI	Security No Longer Meets Criteria for Eligibility as Collateral
CSC	CHESS Subregister Closed
DIS	Distribution in Specie
DRP	Dividend Plan Allotment
DVM	Divestment
EXP	Imminent Expiry of Security used as Collateral
FLT	Float
FOR	Forfeiture of Partly Paid Shares
IDA	Income Distribution Allotment
MER	Company Merger
NCN	Convertible Note Conversion
NRE	Non-Renounceable Issue Allotment
OCN	Options Conversion
ODR	ASX Clear Directive
OEX	Option Exercised
OLP	Option Lapsed
OPT	Option Allotment
PLC	Placement
PRI	Non Pro Rata Balance
REC	Reconstruction
RED	Miscellaneous Redemption
REV	Allotment Reversal
RHA	Renounceable Rights Accepted
RHE	Renounceable Rights Entitlement Allotment
RHT	Renounceable Rights Issue Allotment
SAR	Subregister Archived
SCD	Scrip Dividend
SPP	Share Purchase Plan
SOA	Scheme of Arrangement
STP	Share Top-up Plan
TKA	Takeover Consideration Allotment
WAL	Warrant Allotment
WDL	Warrant Delivery
WEX	Warrant Exercise
WRL	Warrant Rollover Application
WUX	Warrant Underlying Exercise

Receiving HIN
Format   10 Numerics
Definition   The HIN of the holder who is the registered owner of the holding which is to receive the securities. Defaults to the participant's default settlement HIN.
Allowable Values   (See HIN)

Receiving Net Movement Transaction Id
Format   16 Characters
Definition   The identifier of the transaction which transferred securities from a holding in the settlement process.
Allowable Values   (See Transaction Id)

Receiving PID
Format   5 Numerics
Definition   The PID of the participant which controls the receiving HIN of a securities movement.
Allowable Values   (See PID)

Receiving Registration Details
Format   180 Characters
Definition   The details under which the securities involved in a movement are to be registered.
Allowable Values   (See Registration Details)

Receiving Residency Indicator
Format   1 Character
Definition   Indicates the residency of the holder for securities subject to CHESS foreign ownership restrictions.
Allowable Values   (see Residency Indicator)

Receiving Supplementary Reference
Format   16 Characters
Definition   The supplementary reference provided by the receiving participant.
Allowable Values

Recipient Name
Format   80 Characters
Definition   Name of the recipient of the contract note.
Allowable Values

Record Date
Format   8 Numerics
Definition   The date on which an Issuer of financial products determines which holders are entitled to participate and/or receive a benefit or entitlement.
Allowable Values   (Refer Date in CHESS Message Field Formats –Existing)

Redemption APIR Code

Format   9 Characters
Definition   An independently assigned Code widely used within the Funds
                       industry. May be used where a Switch request is initiated
Allowable Values

Redemption Fund Code

Format   12 Characters
Definition   Identifies the fund that is the subject of a redemption request
                       message sent between a user and ASX Clear. May be either an
                       ISIN security code or an ASX code. On input, either is accepted,
                       on output, the previously requested format is used.
Allowable Values   A valid ISIN code in the format prescribed by ISO Standard
                       6166; or a valid security code issued by ASX, left justified and
                       followed by spaces.

Redemption Unit Pricing Date

Format   8 Numerics
Definition   The date on which an Product Issuer Settlement Participant will
                       provide pricing details for Fund units requested via a Participant
                       Fund redemption request.
Allowable Values   (Refer *Date* in *CHESS Message Field Formats –Existing*)

Redemption Product Name

Format   50 Characters
Definition   The name used to define a Fund.
                             Allowable Values

Redemption Request Reason

Format   1 Character
Definition   The reason for the redemption request.
Allowable Values   'C' = Cooling Off Period
                       'R' = Fund Unit Redemption

Redemption Unit Price

Format   11 Numerics, 6 decimal
Definition   The unit price in cents of a Fund unit targeted for Fund.
redemptions.
Allowable Values

Reducing Holding Balance

Format   11 Numerics
Definition   The resulting unit balance of the holding which is reduced following
                       the application of a registry transaction.
Allowable Values

Reducing Security Code

Format	12 Characters
Definition	The code of the security that, along with the HIN, identifies the CHESS holding that is to be reduced following the application of a registry transaction.
Allowable Values	(See Security Code)

Reducing Unit Quantity

Format	11 Numerics
Definition	The unit quantity that is to be subtracted from a CHESS holding following the application of a registry transaction.
Allowable Values	

Reference

Format	16 Characters
Definition	A pricing reference to the expiry and series of non-cash collateral that has been lodged, where applicable.
Allowable Values	

Registration Details

Format	180 Characters
Definition	The registered name and address of a security holder.
Allowable Values	Meet the SRA standards for registration name and address as specified in 8.2.2

Registration Details Indicator

Format	1 Character
Definition	Indicates to the registry whether the registration details should be added to, deleted from, or changed on the company's list of members.
Allowable Values	'C' = Change
	'E" = Email Detail Only
	'N' = New
	'P' = Change of Controlling Participant
	'R' = Reporting
	'X' = ASX Clear Registration Address Standardisation
	'Z' = Zero balance

Registration Details Update Reason

Format	1 Character
Definition	Indicates the reason for the registration detail update.
Allowable Values	'A' = Participant request
	'B' = Market Support
	'C' = ASX Clear Registration Address Standardisation

Registration Name Update Reason 1

Format	1 Character
Definition	Indicates the reason for a name change to registration details.
Allowable Values	'A' = Account Designation Changes
	'C' = Change of Company Name
	'E' = Error
	'F' = First Name Extended / Shortened
	'I' = Adding / Removing Middle Name
	'L' = Legal Change of Name
	'M' = Marriage
	'R' = Revert to Maiden Name
	'S' = Salutation / Title Change

Registration Name Update Reason 2

Format	1 Character
Definition	Indicates the reason for a name change to registration details.
Allowable Values	'A' = Account Designation Changes
	'C' = Change of Company Name
	'E' = Error
	'F' = First Name Extended / Shortened
	'I' = Adding / Removing Middle Name
	'L' = Legal Change of Name
	'M' = Marriage
	'R' = Revert to Maiden Name
	'S' = Salutation / Title Change

Registry Reference

Format	16 Characters
Definition	The identifier allocated by a registry to a transaction which is submitted by a registry. Used for identification purposes between registry, ASX Clear and the controlling participant of the holder.
Allowable Values	

Registry Reject Reason

Format	2 Characters
Definition	The reason that a registry has not been able to process an issuer sponsored/certificated transfer or enquiry.
Allowable Values	'A' = No Matching SRN
	will be returned to the submitting participant if the SRN specified as part of a holding Balance Enquiry is not found.
	'B' = SRN and Verification Details do not Match
	will be returned to the submitting participant if the SRN specified as part of a Holding Balance Enquiry is found BUT the SRN verification details (i.e. surname / company name) do not match the record found.)
	'C'= No Matching Registration Details Found
	will be returned to the submitting participant if the registration details specified as part of a SRN Enquiry are not found.)
	'D' = Duplicate Registration Detail Records Exist

will be returned to the submitting participant if the registration details specified as part of a SRN Enquiry are duplicated in the issuer/registries records.)

'E' = Invalid Holder Status

will be returned to the submitting participant if the holder status is invalid.

Rejected Cash Subrecord Reason

Format	1 Character
Definition	Indicates reason why payment provider could not authorise creation of Cash Subrecord for association with an established RTGS Net Position Record.
Allowable Values	'A' = Cash Subrecord ID does not match payment provider for which Payment Facility ID is supplied
	'B' = Debit cap limit amount is incorrect
	'C' = Debit cap activity value is incorrect
	'D' = Cash Subrecord ID is incorrect
	'E' = Payment Facility ID is incorrect
	'F' = Exclusion Flag is incorrect for this Cash Subrecord
	'G' = Cannot nominate this Cash Subrecord as sell default
	'H' = Cannot nominate this Cash Subrecord as buy default

Rejected Change Reason

Format	1 Character
Definition	The reason for the rejection
Allowable Values	'A' = Outstanding transactions targeting HIN
	'B' = Non-advice by client
	'C' = Client request
	'E' = Attempted to remove a non-existent Excess Cash Standing Instruction
	'L' = Request received after settlement locked.
	'M' = Maximum allowed Excess Cash exceeded
	'S' = Amount resulted in Shortage

Rejected Holding Advice Reason

Format	1 Character
Definition	The reason the registry has rejected a holder advice request.
Allowable Values	'B' = Issuer does not support electronic direct credit
	'D' = Cancellation Bank Account details do not match recorded Bank Account details
	'H' = Failed requirements for Bank A/C structure or format

Rejected Income Statement Set Reason

Format	1 Character
Definition	Identifies the reason CHESS has rejected an Income Statement message set received from a Registry
Allowable Values	'A' = Stated number of messages in the set is incorrect

Rejected Net Position Reason

Format   1 Character

Definition   Indicates reason why payment provider could not authorise creation of Net Position Record in order to sponsor a client participant for RTGS settlement.

Allowable Values   'A' = Cash Subrecord ID does not match payment provider for which Payment Facility ID is supplied
'B' = Debit cap limit amount is incorrect
'C' = Debit cap activity value is incorrect
'D' = Cash Subrecord ID is incorrect
'E' = Payment Facility ID is incorrect
'F' = Exclusion Flag is incorrect for this Cash Subrecord
'G' = Cannot nominate this Cash Subrecord as sell default
'H' = Cannot nominate this Cash Subrecord as buy default

Rejected Movement Reason

Format   1 Character

Definition   The reason that a movement of securities from an issuer controlled subregister to the CHESS subregister has been rejected by the registry.

Note: For mFund related conversions not all reasons presented below are applicable. mFund applicable reasons are marked with an asterisk (*).

Allowable Values   'A' = Issuer holding locked *
'B' = Certificate invalid
'C' = Unauthorised access
'D' = Certificate declared lost
'E' = Insufficient securities *
'F' = Registration Details do not match *
'G' = Invalid PIN
'H' = Invalid Registry client identifier/SRN *
'J' = Documentation not received
'K' = Registry certificate quantity different to certificate quantity supplied
'L' = Duplicate certificate number detected by registry - SRN must be supplied
'M' = Insufficient Cum Entitlement
'N' = Probate not noted *
'O' = Invalid Foreign Guarantee
'P' = Insufficient Foreign Units
'R' = Documentation incorrectly signed

Rejected Order Reason

Format   5 Numeric
Definition   The reason why a message submitted to a Product Issuer Settlement
                        Participant has been rejected.
                        Allowable Values
                        50000 Order Amount is less than minimum value
                        50005 Account is a Deceased Estate
                        50006 Account has insufficient units
                        50011 AML/CTF Check Flag is not Y (Yes)
                        50013 Fund is closed to Existing Accounts
                        50014 Fund is closed to New Accounts
                        50020 Current PDS Provided indicator is not Y (Yes)
                        50021 Order amount results in holding below the minimum balance
                        50023 TFN, ABN or exemption code required for Australian residents
                        50024 Date of Birth is mandatory for Individual Applicants
                        50026 Foreign Residence Indicator is incorrect
                        50027 DRP not offered for this fund
                        50029 Invalid BSB or Bank Account Details
                        50031 PDS Issue Date supplied is not the latest issued PDS
                        50032 Switch Orders not accepted by Fund Manager
                        50033 Fund pricing is currently not available
                        50034 Fund is classified as illiquid
                        50035 ABN required for superannuation funds (account type S)
                        50036 Incomplete details provided for Individual/Joint account with
                        Foreign Tax Status
                        50037 Residential/trust/registered address for foreign resident is
                        invalid
                        50038 Registry client identifier/SRN invalid
                        50039 Order amount is invalid
                        50040 Applications from Companies or Trusts are not accepted
                        50041 Applications from foreign tax residents are not accepted
                        50042 Fund available to restricted investors only

Rejected Registry Reason

Format   1 Character
Definition   Identifies the reason a Registry has rejected an mFund request.
Allowable Values   'A' = DRP is not offered for this fund
                        'C' = Partial DRP is not offered
                        'F' = PDS issue date does not reflect the latest PDS
                        'G' = Periodic Income Statements are not offered
                        'H' = Periodic Income Statements are currently not available
                        'I' = Annual Income Statements are currently not available
                        'Z' = Please contact registry directly for further detail

Rejected Reason

Format   5 Numeric
Definition   Explains the reason why a message submitted to CHESS by a user
                        has been rejected.
Allowable Values   (See section 8.5 for a list of codes and meanings.)

Rejected Registration Update Reason

Format	1 Character
Definition	The reason that a registration details update request has been rejected by Market Support.
Allowable Values	'A' = Insufficient documentation
	'B' = Incorrect Reason Code
	'C' = Mismatch between documentation and message
	'D' = Spelling error on message
	'E' = Participant request
	'F' = Change of Legal Title – New HIN setup required
	'G' = Incorrect Holder Status
	'Z' = Rejected by Market Support

Rejected Transfer Reason

Format	1 Character
Definition	The reason that a demand dual entry transfer failed despite both sides of the transfer being matched or where an mFund CHESS to CHESS transfer has been rejected by either a PISP or by CHESS.
Allowable Values	A' = Insufficient Units
	B' = Insufficient Entitlements
	C' = Delivering Holding / Holder Locked
	D' = Receiving Holding Locked
	'E' = Insufficient Foreign Units
	'F' = Account is a Deceased Estate
	'G' = Request cannot be accepted as AML/CTF Check Flag is not 'Y' (Yes)
	'H' = TFN, ABN or exemption code required for Australian residents
	'I' = Tax Residence is mandatory for applicants
	'J' = DRP Preference is mandatory for an Initial Application
	'K' = Invalid BSB or Bank Account Details
	'L' = PDS Issue Date supplied is not the latest issued PDS
	'M' = Transfer to Companies and Trusts are not accepted
	'N' = Transfers to foreign tax residents are not accepted
	'O' = Fund available to restricted investors only
	'P' = Fund Manager does not accept transfers

Rejection Timestamp

Format	22 Characters
Definition	Records the business date, processing date and processing time on which the sending UIC completes the processing of a rejection.
Allowable Values	(See Timestamp)

Remarks

Format	40 Characters
Definition	Extra comments, special instructions, etc. that should appear on the contract note.
Allowable Values	

Removal Date

Format	8 Numerics
Definition	Identifies the nominated removal date for a warrant series being held as collateral by derivatives.
Allowable Values	(See Date field definition in EIS)

Remove Excess Cash Standing Instruction

Format	1 Character
Definition	To be used when the Participant wants to cancel their Excess Cash Standing Instruction.
Allowable Values	"Y" – Yes

Removal Reason

Format	1 Character
Definition	Reason that the Settlement Instruction was removed.
Allowable Values	'A' = Invalid Trade – ASX Clear discretion
	'T' = Invalid Trade Receipt Time
	'V' = Invalid Price Variance

Reporting End Date

Format	8 Numerics
Definition	The last date on which a scheduled reporting request is to be actioned.
Allowable Values	(See Date)

Reporting Id

Format	3 Characters
Definition	The unique identifier with which to select a report.
Allowable Values	'CEB' = Cum entitlement balance
	'CFB' = Counterparty Funds Balance
	'CMV' = Cum Entitlement Movement
	'CSI' = Cancelled Instruction
	'DSM' = Demand CHESS Holding Statement
	'FGM' = Foreign Guaranteed Net Movement
	'FHT' = Full Holding Transaction
	'FLN' = Full SLS Loan
	'FSI' = Full Settlement Instruction
	'HBL' = Holding balance
	'HCT' = Holding control
	'HLD' = Holder Detail
	'HLH' = Holder History
	'HMV' = Holding Movement
	'HRD' = Holding Registration Details
	'HSB' = Holding Subposition Balance
	'HSP' = Holding subposition detail
	'HTX' = Holding transaction
	'OBL' = Obligation
	'OSL' = Outstanding SLS Loan
	'PFD' = Payment Facility Detail

'PFH' =	Payment Facility History
'PPS' =	Projected Position
'RAC' =	Rights Accepted
'RPD' =	Reporting Detail
'SCE' =	Subregister Closure Exceptions
'SDR' =	Security Detail Report
'SET' =	Settlement
'SMP' =	Subregister Movement - Participant
'SMR' =	Subregister Movement - Registry
'TCB' =	Total Cum Entitlement Balance
'TPB' =	Third Party Subposition Balance
'TPD' =	Third Party Subposition Detail
'TSB' =	Total Security Balance
'TSH' =	Top Security Holder
'URT' =	Unauthorised Registry Transactions
'USD' =	User Detail
'USH' =	User History
'USI' =	Unmatched Instruction

Reporting Id Parameter

Format	3 Characters
Definition	Restricts the scope of reporting to a specific type of reporting (used only for reporting on current reporting requests).
Allowable Values	(See Reporting Id)

Reporting Request Status

Format	1 Character
Definition	Identifies the current status of a reporting request submitted by a user.
Allowable Values	'A' = Current
	'B' = Cancelled
	'C' = Completed

Reporting Start Date

Format	8 Numerics
Definition	The first date on which a reporting request is to be actioned.
Allowable Values	(See Date)

Requesting Participant

Format	5 Numerics
Definition	The PID of the participant who initiated the transaction.
Allowable Values	(See PID)

Reschedule Reason

Format	1 Character
Definition	The cause of the rescheduling of a settlement instruction.
Allowable Values	'B' = Barred from movement
	'C' = Change of Despatch Date
	'D' = Delivering HIN not Foreign

'F' = Failed Settlement
'G' = Insufficient Foreign Units
'H' = Holder Lock Applied
'L' = Holding Lock Applied
'P' = Participant request
'R' = Reversal
'S' = Subregister suspended
'Y' = Security Not Foreign

Residency Indicator

Format	1 Character
Definition	Indicates the residency of the holder for securities subject to CHESS foreign ownership restrictions.
Allowable Values	'D' = Domestic
	'F' = Foreign
	'M' = Mixed

Response Timestamp

Format	22 Characters
Definition	The time that the response of ASX Clear to authorise or reject a change to a transaction is recorded in the CHESS database.
Allowable Values	(See Timestamp)

Resultant Cash Balance

Format	15 +\- Numeric, 2 decimals
Definition	The cash balance the participant will have at ASX Clear, assuming settlement is successful. "Resultant Cash Balance" = "Cash Balance Carried Forward" + "Settlement Amount"
Allowable Values	

Resultant Excess

Format	15 +\- Numeric, 2 decimals
Definition	The resulting excess that the Clearing Participant has with the ASX Clear assuming settlement is successful.
Allowable Values	

Reversed Levy Value

Format	15 Signed Numerics, 2 decimal places
Definition	The dollar value of the portion of a levy being reversed.
Allowable Values	

Revised Delivering HIN

Format	10 Numerics
Definition	Specifies the revised delivering HIN of a settlement instruction after it has been altered.
Allowable Values	(See HIN)

Revised Destination Settlement System

Format	1 Character
Definition	Identifies the settlement system to which a scheduled settlement instruction now belongs, following processing of matched requests to change settlement type.
Allowable Values	'N' = net batch processing
	'R' = RTGS

Revised Duty Payable

Format	14 Numerics, 2 decimal places
Definition	Specifies the revised duty payable of a settlement instruction after it has been altered.
Allowable Values	

Revised Duty Type

Format	1 Character
Definition	Specifies the revised duty type of a settlement instruction after it has been altered.
Allowable Values	(See Duty Type)

Revised Guaranteed Foreign Indicator

Format	2 Characters
Definition	Indicates the status of the foreign guarantee indicator after it has been altered.
Allowable Values	(See Foreign Guarantee Indicator)

Revised HIN

Format	10 Numerics
Definition	Specifies the HIN of a settlement instruction before it was altered.
Allowable Values	(See HIN)

Revised Loan Consideration

Format	15 Signed Numerics, 2 decimals
Definition	Specifies the revised loan consideration of an SLS Loan after it has been altered.
Allowable Values	

Revised Override Basis Of Move 1

Format	2 Characters
Definition	Specifies the revised override basis of movement of a settlement instruction after it has been altered.
Allowable Values	(See Override Basis of Movement 1)

Revised Override Basis Of Move 2
Format	2 Characters
Definition	Specifies the revised override basis of movement of a settlement instruction after it has been altered.
Allowable Values	(See Override Basis of Movement 1)

Revised Override Basis Of Move 3
Format	2 Characters
Definition	Specifies the revised override basis of movement of a settlement instruction after it has been altered.
Allowable Values	(See Override Basis of Movement 1)

Revised Override Basis Of Move 4
Format	2 Characters
Definition	Specifies the revised override basis of movement of a settlement instruction after it has been altered.
Allowable Values	(See Override Basis of Movement 1)

Revised Override Basis Of Move 5
Format	2 Characters
Definition	Specifies the revised override basis of movement of a settlement instruction after it has been altered.
Allowable Values	(See Override Basis of Movement 1)

Revised Receiving HIN
Format	10 Numerics
Definition	Specifies the revised receiving HIN of a settlement instruction after it has been altered.
Allowable Values	(See HIN)

Revised Security Code
Format	12 Characters
Definition	Specifies the revised security code of a settlement instruction or holding after it has changed.
Allowable Values	(See Security Code)

Revised Settlement Amount
Format	15 Signed Numerics, 2 decimal places
Definition	Specifies the revised settlement amount of a settlement instruction after it has been altered.
Allowable Values	

Revised Settlement Date
Format	8 Numerics
Definition	Specifies the revised settlement date of a settlement instruction after it has been altered.
Allowable Values	(See Date)

Revised Stamping Consideration

Format	14 Numerics, 2 decimal places
Definition	Specifies the revised stamping consideration of a settlement instruction after it has been altered.
Allowable Values	

Revised Status

Format	1 Character
Definition	Specifies the revised Status of a settlement instruction after it has been altered.
Allowable Values	(See Settlement Instruction Status)

Revised Transaction Basis

Format	1 Character
Definition	Specifies the revised transaction basis of a settlement instruction after it has been altered.
Allowable Values	(See Transaction Basis)

Revised Underlying Reference

Format	16 Characters
Definition	Specifies the revised underlying reference of a settlement instruction after it has been altered.
Allowable Values	

Revised Unit Quantity

Format	11 Numerics
Definition	Specifies the revised unit quantity of a settlement instruction after it has been altered.
Allowable Values	

RPP Amount

Format	15 Numeric, 2 decimal
Definition	The RPP amount that an investor wishes to invest in additional units based on the RPP Frequency. **Do not populate**.

RPP Frequency

Format	1 Character
Definition	Identifies the frequency with which an investor wishes to invest in additional units. **Do not populate**.
Allowable Values	'W' = Weekly
	'M' = Monthly
	'Q' = Quarterly

Scheduled Timestamp

Format	22 Characters
Definition	The time at which the scheduling of a settlement instruction is recorded in the CHESS database.
Allowable Values	(See Timestamp)

Schedule Transaction for Settlement

Format   1 Character

Definition   Indicates whether the submitting participant wishes the settlement instruction, once matched, to enter active RTGS processing immediately upon settlement date, or does not wish the transaction to be scheduled for settlement until they submit, later on that settlement date, an authorisation message.

Allowable Values   'Y ' = yes, schedule transaction for immediate RTGS settlement
'N' = no, do not schedule the transaction for immediate RTGS settlement)

Securities Shortfall

Format   11 Numerics

Definition   The number of units that the specified holding was short by in the Settlement cycle in order to fulfil its aggregate delivery obligation.

Allowable Values

Security Code

Format   12 Characters

Definition   Identifies the security or non-security payment type that is the subject of a message sent between a user and ASX Clear. May be either an ISIN security code or an ASX code. On input, either is accepted, on output, the previously requested format is used.

Allowable Values   A valid ISIN code in the format prescribed by ISO Standard 6166; or a valid security code issued by ASX, left justified and followed by spaces; or one of the following ASX codes assigned to identify miscellaneous payments:

PYYAPP: Application payment
PYYCLM: Payment related to a type of claim, e.g. dividend
PYYDER: Derivatives related payment
PYYDIV: Dividend related payment
PYYOTC: Payment for OTC derivatives instruments
PYYPFT: Portfolio related payment
PYYSET: Adjustment to settlement obligation
PYYSLN: Security lending payment
PYYTKO: Takeover proceeds payment
PYYWAR: Warrant related payment

Security Code Parameter

Format   12 Characters

Definition   Restricts reporting to entities related to a particular security code.

Allowable Values   (See Security Code)

Security Code Preference

Format   1 Character

Definition   Determines the type of security which is used in messages sent to a user.

Allowable Values   'A' = ASX Code
         'I' = ISIN Code.

Securityholder Reference Number
Format   12 Characters
Definition   Unique identifier of a holder on an issuer controlled subregister allocated by the issuer or its registry.
Allowable Values

Seller Id
Format   4 Numeric
Definition   Identifies the selling broker who made the trade.
Allowable Values

Seller Order Reference Number
Format   10 Characters
Definition   The selling brokers own reference for in-house processing of the trade
                           (Example: relate a trade to a particular account or client).
Allowable Values

Serial Trade Qualifier
Format   4 Characters
Definition   Specifically assists in the identification of trades.
Allowable Values   Note: For Approved Market Operators (AMO) other than the
                           ASX the first position of this field will be an assigned value of A
                           through to Z. A unique value will relate to a specific AMO.

Set Transaction Id
Format   16 Character
Definition   Uniquely identifies a transaction set within CHESS. Allocated by the
                           user on submission of each transaction in the set to ASX Clear. This
                           value will be used to link a series of messages for processing.
Allowable Values   Refer to EIS 8.3

Settled Timestamp
Format   22 Characters
Definition   The time at which a settlement instruction is settled and recorded in
                           the CHESS database.
Allowable Values   (See Timestamp)

Settlement Advice Timestamp
Format   22 Characters
Definition   Records the business date, processing date and processing time on
                           which the sending UIC completes the processing of a settlement
                           advice.
Allowable Values   (See Timestamp)

Settlement Amount
Format   15 +\- Numeric, 2 decimals
Definition   The dollar value amount that is settled in a settlement instruction.
Allowable Values   The sign is normally positive ('+') which indicates the value is moving
                           contra to the units (ie., the receiving participant is paying the
                           settlement amount). In unusual situations, the sign is negative ('-')
                           which indicates the value moves with the units (ie., the delivering
                           participant is paying the settlement amount). If no units are specified
                           in the message, positive indicates receiving funds, negative indicates
                           paying funds.

Settlement Counterparty PID

Format   5 Numerics
Definition   Identifies the PID of the settlement counterparty for the target user.
Allowable Values   (See PID)

Settlement Date

Format   8 Numerics
Definition   Identifies a settlement cycle in which settlement instructions are settled by effecting transfers on the CHESS subregister and the transfer of funds.
Allowable Values   Any date specified by ASX as a settlement day.

Settlement Instruction Status

Format   1 Character
Definition   The current status of a settlement instruction.
Allowable Values   'B' =   Committed
                   'C' =   Cancelled
                   'I' =   Information Only
                   'N' =   Netted
                   'P' =   Suspended
                   'S' =   Scheduled
                   'T' =   Settled
                   'U' =   Unmatched

Settlement Matching Date

Format   8 Numerics
Definition   The date by which the participant requires matching of settlement instructions for settlement of the transaction. Must be included for all transactions for which the settlement date is known.
Allowable Values   (See Date)

Settlement Step

Format   1 Character
Definition   Identifies the step of the settlement process in which the message is generated. Is not used when messages are used for reporting purposes.
Allowable Values   'A' =   Authorisation-1st
                   'B' =   Authorisation-2nd
                   'N' =   Notification
                   'T' =   Transfer

Shortfall Valuation

Format   15 Signed Numerics, 2 decimal places
Definition   Specifies the current dollar value of the securities shortfall.
Allowable Values

Sign

Format	1 Character
Definition	Identifies if a value is positive or negative.
Allowable Values	'+'

SLS Loan Instruction Status

Format	1 Character
Definition	The current status of a loan instruction
Allowable Values	'A' = Active
	'C' = Cancelled
	'E' = Effected
	'R' = Requested
	'X' = Rejected

Specific Cover

Format	1 Character
Definition	Indicates whether the participant (margin lenders only) request to create collateral will be to cover a single lodgement (Y) or to cover a number of lodgements (pooled) (N).
Allowable Values	'Y' = Yes
	'N' = No
	Space = No (default)

Stamping Consideration

Format	14 Numerics, 2 decimal places
Definition	The consideration paid in respect of the securities transfer or the unencumbered market value of the securities whichever is higher.
Allowable Values	

Start Date Parameter

Format	8 Numerics
Definition	Restricts reporting to transactions processed to the required status on or after this date, eg. if the reporting relates to settled settlement instructions, all settlement instructions which have been settled on or after the specified date are included in the reporting.
Allowable Values	(See Date)

Statement Required

Format	1 Character
Definition	Indicates if holding statements must be issued by ASX Clear for holdings associated with a CHESS holder.
Allowable Values	'Y' = Yes
	'N' = No

Statement Type

Format	1 Character
Definition	Indicates to CHESS the type of statement this message relates.
Allowable Values	'I' = Daily Interest Earned Statement
	'C' = Collateral Statement

Subposition Type

Format	1 Character
Definition	Identifies the type of a holding subposition.
Allowable Values	'A' = Takeover Accepted
	'O' = Options Cover
	'M' = Margin Cover

Subposition Type Parameter

Format	1 Character
Definition	Restricts reporting to entities related to a specific subposition type.
Allowable Values	(See Subposition Type)

Subregister Status

Format	1 Character
Definition	The current status of the sub-register in CHESS for a security code.
Allowable Values	'B' = Barred
	'C' = Closed
	'O' = Open
	'S' = Suspended

Superannuation Fund ABN

Format	12 Characters
Definition	The Australian Business Number (ABN) of a Superannuation Fund. The ABN must be left-justified (with trailing spaces if necessary) and no delimiter characters should be included.
Allowable Values	Valid ABN or 'N/A'

Supplementary Reference

Format	16 Characters
Definition	A reference supplied by the participant for their own reference that is passed on to the counterparty of a dual entry transaction to assist in the matching process and printed on a holding statement. An example of its use may be to identify the client on a trade that is to be settled between a broker and a custodian.
Allowable Values	Any alphabetic, numeric or special characters.

Suspension Reason

Format	1 Character
Definition	The reason a settlement instruction has been suspended from the settlement process.
Allowable Values	'A' = Subregister closed

Takeover Acceptance Balance

Format	11 Numerics
Definition	The number of units that are pledged to the offeror on a specific CHESS holding as acceptance of their takeover offer.
Allowable Values	

Takeover Acceptance Removal Reason

Format	1 Character
Definition	Indicates the reason for the takeover acceptance removal request.
Allowable Values	'A' = Legal Entitlement

Takeover Code

Format	6 Characters
Definition	Unique identifier of a takeover or equal access buyback allocated by ASX.
Allowable Values	Any value allocated by ASX to identify a takeover.

Takeover Code Parameter

Format	6 Characters
Definition	Restricts reporting to entities relating to a specific takeover or equal access buyback.
Allowable Values	(See Takeover Code)

Target Transaction Id

Format	16 Characters
Definition	The identifier of the transaction which is the target of the required action, e.g. a settlement date update, a cancellation message.
Allowable Values	(See Transaction Id)

Target UIC

Format	5 Numeric
Definition	Identifies the CHESS user that is the target of the transaction.
Allowable Values	

Tax Credit Amount

Format	15 Numerics, 2 decimal
Definition	The dollar amount of tax credited to the holder as the result of a Fund distribution payment.
Allowable Values	

Tax Deducted

Format	15 Numerics, 2 decimal
Definition	The dollar amount of tax deducted from the holder as the result of a Fund distribution payment.
Allowable Values	

Tax Deferred

Format   14 Numeric, 2 decimal
Definition   The amount of tax deferred. An ATO Tax Statement value.
Allowable Values

Tax File Number / ABN 1

Format	12 Characters
Definition	The Australian Business Number, Tax File Number or exemption code of an individual holder or the first joint holder.
	The Australian Business Number, Tax File Number/Exemption Code should be left-justified (with trailing spaces if necessary) and no delimiter characters should be included. The Investor Type should be the 12th character if included.
Allowable Values	'N/A' is allowable.

Tax File Number / ABN 2

Format	12 Characters
Definition	The Australian Business Number, Tax File Number or exemption code of the second joint holder.
Allowable Values	'N/A' is allowable

Tax File Number / ABN 3

Format	12 Characters
Definition	The Australian Business Number, Tax File Number or exemption code of the third joint holder.
Allowable Values	'N/A' is allowable.

Tax Residence 1

Format	3 Characters
Definition	The country in which the Fund investor is a resident for tax purposes.
Allowable Values	3 Character ISIN Country Codes (refer 8.4 Country Codes and Descriptions (EIS))

Tax Residence 2

Format	3 Characters
Definition	The country in which the Fund investor is a resident for tax purposes.
Allowable Values	3 Character ISIN Country Codes (refer 8.4 Country Codes and Descriptions (EIS))

Tax Residence 3

Format	3 Characters
Definition	The country in which the Fund investor is a resident for tax purposes.
Allowable Values	3 Character ISIN Country Codes (refer 8.4 Country Codes and Descriptions (EIS))

TFN Withholding

Format   14 Numeric, 2 decimal

Definition   Share of credit for TFN amounts withheld from interest, dividends and unit trusts. An ATO Tax Statement value.

Allowable Values

Timestamp

Format   22 Characters

Definition   Records the business date, processing date and processing time that a transaction is applied to the CHESS database.

Allowable Values   A valid timestamp in the format:
                         YYYYMMDDYYYYMMDDHHMMSS
                         Where the first YYYYMMDD is the business date; and the next YYYYMMDDHHMMSS is the processing date and time.

TIN Absent (1A - 3C)

Format   1 Character

Definition   Indicates the reason why a foreign TIN has not been provided by the nominated foreign tax jurisdiction.

Allowable Values   'A' = Country of tax residency does not issue TINs to tax residents.
                         'B' = Investor has not been issued with a TIN.
                         'C' = Country of tax residency does not require a TIN to be disclosed.

Total Margin Cover

Format   11 Numerics

Definition   The total number of units that has been pledged as margin cover on a specific CHESS holding

Allowable Values

Total Fund Movements Off

Format   18 Numerics, 6 decimal

Definition   The total units subtracted from a CHESS Fund holding during a business day as a result of an authorised transaction.

Allowable Values

Total Fund Movements On

Format   18 Numerics, 6 decimal

Definition   The total units added to a CHESS Fund holding during a business day as a result of an authorised transaction.

Allowable Values

Total Fund Unit Balance

Format   18 Numerics, 6 decimal

Definition   The total unit balance of a particular fund registered on the CHESS Sub-register NB: represents the total of the total unit balance of a HIN in a particular fund.

Allowable Values

Total Fund Units on Register

Format   18 Numerics, 6 decimal
Definition   The total units of particular fund registered on the CHESS sub-register. NB: represents the total of the total unit balance of all holdings in a particular fund.
Allowable Values
Total Message Count
Format   11 Numerics
Definition   The total number of messages sent to a user as a result of a single process. This total appears in the trailer message that summarises the previous related messages.
Allowable Values

Total Messages in Set
Format   6 Numerics
Definition   The number of Single Entry Transfer Requests in the set. A set is a group of messages used to process a specific action. This value is used in conjunction with the Set Transaction Id value to link the associated requests prior to processing.
Allowable Values

Total Movements Off
Format   11 Numerics
Definition   The total number of units of a particular security that have been moved off the CHESS sub-register during a selected date range.
Allowable Values

Total Movements On
Format   11 Numerics
Definition   The total number of units of a particular security that have been moved onto the CHESS sub-register during a selected date range.
Allowable Values

Total Options Cover
Format   11 Numerics
Definition   The number of units of a security that are pledged as options cover on a specific CHESS holding.
Allowable Values

Total Payment Records
Format   5 Numerics
Definition   The number of 'Aggregated Payment Request' messages submitted in the same 'Payment Set'.
Allowable Values

Total Reminder Count

Format	11 Numerics
Definition	The total number of Fund unit allotment reminders (MT812) messages transmitted for a given target Transaction Id.
Allowable Values	

Total Statement Count
Format	11 Numerics
Definition	The number of statements that have been sent in a related series. The count includes the End Statement Notification message.
Allowable Values	

Total Unit Balance
Format	11 Numerics
Definition	The sum of the unit quantity of all transactions that target the CHESS holding (as either the delivering or receiving holding).
Allowable Values	

Total Units On Sub-Register
Format	11 Numerics
Definition	The total units of a particular security that are registered on the CHESS subregister. NB: represents the total of the total unit balance of all holdings in a particular security.
Allowable Values	

Trade Amount
Format	14 Numerics, 2 decimal places
Definition	The dollar amount of a block trade.
Allowable Values	

Trade Date
Format	8 Numerics
Definition	The date on which a trade was originally made and the basis for calculating settlement date.
Allowable values	(See Date)

Trade Execution Time
Format	6 Numerics
Definition	Time the trade was executed on the AMOs trading platform.
Allowable Values	A valid time in the format of HHMMSS

Trade Price
Format	15 Numerics, 4 decimal places (expressed as cents to four decimal places).
Definition	The price at which the trade was executed on the AMO's trading platform.
Allowable Values	

Trade Reason

Format	1 Character
Definition	Specifies the reason for the creation of a broker obligation.
Allowable Values	'I' = Isolated Counterparty
	'T' = ASX Market Trade
	'W'= TBA*
	'X' = TBA*
	'Y' = TBA*
	'Z' = TBA*

* Value applies to Approved Market Operator (other than ASX) only.

Trade Serial Number

Format	6 Numerics
Definition	A unique sales slip number generated by the AMOs trading platform. Note: This trade serial number is to be unique during the current business day.
Allowable Values	

Trade Timestamp

Format	22 Characters
Definition	Records the business date, processing date and processing time on which the Sending UIC completes the processing of a [block] trade.
Allowable Values	(See Timestamp)

Trading Broker

Format	4 Numerics
Definition	Identifies the broker that executed a [block] trade, using it's Trading System identifier.
Allowable Values	

Transaction Basis

Format	1 Character
Definition	Characterises a movement of securities.
Allowable Values	'F' = Facility for Non Reportable Transactions
	'I' = IPO Transaction
	'M' = Market
	'O' = Off-Market
	'L' = Stock Lending Activity

Transaction Class Parameter

Format	1 Character
Definition	Restricts the scope of reporting to a specific transaction class.
Allowable Values	'A' = Registry to CHESS transactions
	'B' = CHESS to Registry transactions
	'C' = CHESS to CHESS transactions
	'D' = Registry Initiated transactions

Transaction Date

Format	8 Numerics
Definition	Specifies the business date that a transaction was effected.
Allowable Values	(See Date)

Transaction Id

Format	16 Character
Definition	Uniquely identifies a transaction within CHESS. Allocated by the user on submission of a transaction to ASX Clear.
Allowable Values	Refer 8.3

Transaction Id Parameter

Format	16 Characters
Definition	Restricts reporting to a specific transaction.
Allowable Values	(See Transaction Id)

Transaction Status

Format	1 Character
Definition	Indicates the status of a Fund transaction.
Allowable Values	'A' = Accepted
	'C = Cancel Order
	'H' = Order on-hold with the Product Issuer Settlement Participant
	'P' = Reapply the corrected Unit Adjustment
	'R' = Rejected
	'U' = Update Payment or Unit Allotment Date
	'V' = Reverse the original Unit Adjustment

Transferee Holding Balance

Format	11 Numerics
Definition	The resulting holding balance of the transferee's holding following the application of a transfer.
Allowable Values	

Transferee Holding Fund Balance

Format	18 Numeric, 6 decimal
Definition	The resulting holding balance of the transferee's holding following the application of a transfer.
Allowable Values	

Transferor Holding Balance

Format	11 Numerics
Definition	The resulting holding balance of the transferor's holding following the application of a transfer.
Allowable Values	

Transferor Holding Fund Balance
Format   18 Numeric, 6 decimal
Definition   The resulting holding balance of the transferor's holding following the
                                application of a transfer.

Transferor Margin Cover
Format   11 Numerics
Definition   The number of units pledged as margin cover on the transferor's
                                holding following the release of pledged units.
Allowable Values

Transferor Options Cover
Format   11 Numerics
Definition   The number of units pledged as collateral on the transferor's holding
                                following the release of pledged units.
Allowable Values

Trust Amount
Format   15 Numeric, +/-2 decimal
Definition   To schedule a transfer of units from the participant Accumulation
                                Account to the participants Settlement Account or from the
                                participants Settlement Account to a Sponsored or Direct HIN under
                                their control, the message needs to identify the 'trust' component.
Allowable Values

Trust Franked Distributions
Format   14 Numeric, 2 decimal
Definition   Total franked distributions from trusts. An ATO Tax Statement value.
Allowable Values

UIC
Format   5 Numerics
Definition   The User Identification Code which is a unique identifier for a
                                CHESS user. Superset of PID.
Allowable Values   Any value allocated by ASX Settlement to identify a User.

Unblocking Transaction Id
Format   16 Characters
Definition   The identifier of the transaction which removes the block on a trade
                                from the netting process.
Allowable Values   (See Transaction Id)

Underlying Reference
Format   16 Characters
Definition   This field may be used to transmit additional details as required.
Allowable Values

Unit Allotment Date

Format	8 Numerics
Definition	The date on which an Product Issuer Settlement Participant will allot Fund units requested via a Participant Fund application request.
Allowable Values	(Refer *Date* in *CHESS Message Field Formats – Existing*)

Unit Price

Format	11 Numerics, 6 decimal places
Definition	The dollar unit price of a security or a unit in a Fund.
Allowable Values	

Unit Pricing Date

Format	8 Numerics
Definition	The date on which a Product Issuer Settlement Participant will price Fund units for an application, redemption or switch request.
Allowable Values	(Refer *Date* in *CHESS Message Field Formats – Existing*)

Unit Quantity

Format	11 Numerics
Definition	The number of units of a security that a particular transaction moves.
Allowable Values	

Unit Quantity Accepted

Format	11 Numerics
Definition	The unit quantity for which a holder accepts the takeover terms.
Allowable Values	

Units Participating

Format	18 Numerics, 6 decimal
Definition	The number of Fund units for a holder that are eligible for Fund distribution payments.
Allowable Values	

Units Redeemed

Format	18 Numerics, 6 decimal
Definition	The number of units described for Fund redemptions.
Allowable Values	

Update Guaranteed Foreign Indicator

Format	1 Character
Definition	Adds or deletes the Guaranteed Foreign Indicator to a scheduled settlement instruction for securities subject to CHESS foreign ownership restrictions.
Allowable Values	'A' = Add Indicator 'D' = Delete Indicator

Updating Transaction Id

Format   16 Characters
Definition   The identifier of the transaction which updates another transaction
                      that has not been processed to completion.
Allowable Values   (See Transaction Id)

User Status

Format	1 Character
Definition	The current status of a CHESS user.
Allowable Values	'A' = Active
	'B' = Non-active
	'C' = Cancelled
	'S' = Suspended

User Type

Format	1 Character
Definition	Defines the service and functions available to a user.
Allowable Values	'B' = Payment Provider
	'C' = ASX Clear
	'H' = CHESS Clearing Bank
	'I' = Issuer registry
	'O' = Derivatives
	'P' = Participant
	'S' = SLS Provider

Utilised Non-Cash Collateral

Format	15 +\- Numeric, 2 decimals
Definition	The value of non-cash collateral that was utilised to cover margin.
Allowable Values	

Verification Name

Format	12 Character
Definition	This value is used to verify that a holding record identified as belonging to a SRN at the registry, matches with the holder name provided by the participant.
Allowable Values	The first 12 bytes of the holder registration details.

Yield

Format	13 +\- Numeric, 5 decimals
Definition	The yield applicable for debt securities.
Allowable Values	