

EXPLANATORY NOTES FOR ASX SETTLEMENT PROCEDURE GUIDELINES VERSION 3.9

1 INTRODUCTION

The attached document is an updated ASX Settlement Procedure Guidelines (APG) Version 3.9, dated November 2024.

Version 3.9 entails:

Sections for Version 3.9	Updated / New
Section 5	<p>Updates made to clarify terminology used to describe each Email Purpose value, Email Details and Registration Details.</p> <ul style="list-style-type: none"> - 5.1 Establishing a chess holder and allocating a HIN - Email Purpose A and B descriptions Miscellaneous amendments - 5.4 Miscellaneous amendments - 5.5 Email Details - New section 5.5.3 New Holder Opt-in for Electronic Communications and Preference - 5.5.3 updated to section 5.5.4 - 5.5.4 is now section 5.5.5 - 5.5.6 (formerly 5.5.5) Existing Email Address Opt-In for Electronic Communications and Preferences - 5.5.7.1 (formerly 5.5.6.1)_ Removed text as it is documented in the "Electronic delivery of CHESS holding statements and notifications Technical and Process Factsheet" - 5.5.7.2 (formerly 5.5.6.2) Opt-Out – Retaining Issuer Electronic Communications Only – Also reflected the correct description for email purpose 'C' - 5.5.7.5 (formerly 5.5.6.5) Reflected the correct description for email purpose 'C'

ASX SETTLEMENT PROCEDURE GUIDELINES

Glossary	Updates made to clarify terminology used to describe each Email Purpose value, Email Details and Registration Details.
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2 PRINTING

ASXS only publishes the APG electronically; it is available online at ASXOnline.com as Adobe Portable Document Format (PDF) documents.

Previous versions have been collated in bulk sections, however to reduce the printing overheads and the management of multiple documents, this version will only update directly impacted sections.

The following sections have been updated. To ensure your paper version is up to date you will need to print and replace:

Sections for Version 3.9	Updated / New
Section 5	Updated
Glossary	Updated

4 FURTHER ASSISTANCE

If you have any questions about the ASX Settlement Procedure Guidelines (APG), please contact Post Trade Operations on 1800 814 051 who, if unable to assist you, will direct your query to the appropriate ASXS staff member.



This document is available online in Adobe Portable Document Format (PDF) at: www.asxonline.com > Participants > Library > Manuals