

**EXPLANATION OF
FORM C3 – TRANSFER OF COLLATERAL BETWEEN PARTICIPANTS**

The following details in the form must be completed by the Transferor Participant:

1. The name of the Transferor Participant.
2. The Number of the Transferor Participant.
3. The Account Numbers the Collateral is being transferred from.
4. The HINs to be transferred.
5. The Authorised Signatory of the Transferor Participant to sign here.

The following details in the form must be completed by the Receiving Participant:

6. The name of the Receiving Participant.
7. The Number of the Receiving Participant.
8. The Account Numbers the Collateral is being transferred to.
9. The Authorised Signatory of the Receiving Participant to sign here.

Introduced 11/03/04 Amended 30/04/04, 07/06/13, 01/04/20