

Form - Austraclear Proxy Request

Please complete and return to:

Attention: Austraclear Service Desk	
Email to : austraclear@asx.com.au	Phone: 1300 362 257

SECTION 1H: SYSTEM PROXIES								
To be completed by all applicants who wish to delegate access to other Sub-Participants.								
ASSIGN SERVICES FROM: Sub-Participant Code (Proxy Issuer)				GRANT SERVICES TO: Sub-Participant Code* (Proxy Agent)				
If proxy roles are required, please indicate with a ✓ which roles are required for each product.								
Services	CASH	DSS	FIS	FRA'S	FX	Market Repos	RBA Repos	Swaps
Administration Functions								
Trade Entry Functions								
Enquiry & Reporting								
Authorisation Functions								

Second System Proxy <u> 2 </u> (only complete if individual users require different proxy access)								
ASSIGN SERVICES FROM: Sub-Participant Code (Proxy Issuer)				GRANT SERVICES TO: Sub-Participant Code* (Proxy Agent)				
If different proxy roles are required by an individual user please indicate these roles below with a ✓								
Services	CASH	DSS	FIS	FRA'S	FX	Market Repos	RBA Repos	Swaps
Administration Functions								
Trade Entry Functions								
Enquiry & Reporting								
Authorisation Functions								

Guidance Notes

If a Participant of Austraclear elects to have another Participant undertake transactions on its behalf this section must be completed. The applicant is the Proxy Issuer. This operation can only be granted by the Sub-Participant who assigns the relationship and grants the authority (i.e. the Proxy Issuer and not the Proxy Agent).

*Ask the Proxy Agent for their 6 digit Austraclear Sub-Participant Code - enter this code here.

If different access is required for each user who acts on behalf of a proxy multiple proxies will need to be created in the System to accommodate this. If additional system proxies are required please attach a separate listing.