**FORM - Notification to correct erroneous information in respect of**

**Deposited Non-Paper Security**

*(Procedure 8.6.2 Austraclear Procedures Determinations and Practice Notes)*

[On letterhead of Issuer or Issuer’s Representative]

Date: [•]

From: [[*Name of Issuer*] (“**Issuer**”)] or [[*Name of Issuer’s Representative*] (“**Issuer’s Representative**”) on behalf of [*Name of Issuer*] (“**Issuer**”)]

To: Austraclear Limited (“**Austraclear”**)

[austraclear@asx.com.au](mailto:austraclear@asx.com.au)

Unless otherwise defined in this notice, capitalised terms used in this notice have the meaning given to those terms in the Austraclear Regulations.

**ITEM 1 Deposited Non-Paper Security to which this notice relates (“Security”)**

|  |  |
| --- | --- |
| Issuer |  |
| ISIN |  |
| Type of Security |  |
| Rate |  |
| Maturity Date |  |
| Value |  |

**ITEM 2 Notification of erroneous information in respect of Security**

Details of erroneous information currently entered in the System

In accordance with Austraclear Regulation 8.6.2, Austraclear is hereby notified that the Issuer has become aware that the following information in the System in respect of the Security is incorrect or invalid:

* *[Issuer to insert details of all incorrect information]*.

Details of correct information to be entered in the System

In accordance with Austraclear Regulation 8.6.2, Austraclear is hereby notified that the correct information to be entered in the System in respect of the Security is as follows:

* *[Issuer to insert details of correct information, including all details required for Austraclear to make any necessary changes]*.

Certification to Austraclear

The [Issuer/Issuer’s Representative] hereby certifies and warrants to Austraclear that the abovementioned information to be entered into the System is true and correct as at the date of this notice.

**ITEM 3 Execution**

|  |  |
| --- | --- |
| **EXECUTED** FOR AND ON BEHALF OF:  ……………………………………………………  (Name of [Issuer/Issuer’s Representative]) |  |
| ……………………………………………………  Signature of Authorised Signatory/ Representative of [Issuer/Issuer’s Representative]  ……………………………………………………  Name (please print) | ……………………………………………………  Signature of Authorised Signatory/ Representative of [Issuer/Issuer’s Representative]  …………………………………………………….  Name (please print) |

Dated this \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ day of \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ 20 \_\_\_\_

**SIGNING INSTRUCTIONS:**

1. If the entity signing this form is the Issuer, and that Issuer is a Participant, this form must be signed by the relevant authorised signatories of that Issuer as contemplated by Regulation 3.3 of the Austraclear Regulations.
2. If the entity signing this form is the Issuer, and that Issuer is NOT a Participant, this form must be signed by the relevant authorised representatives of that Issuer, accompanied by either a copy of the legal instrument that authorises these representatives to instruct Austraclear OR a certification from the Issuer’s Representative that the form has been signed by duly authorised representatives of the Issuer.
3. If the entity signing this form is the Issuer’s Representative, this form must be signed by the relevant authorised signatories of that Issuer’s Representative as contemplated by Austraclear Regulation 3.3.

|  |  |  |
| --- | --- | --- |
| **AUSTRACLEAR USE ONLY** | **SIGNATURE** | **DATE** |
| AUTHORISED SIGNATURES VERIFIED BY: |  | **/ /** |

**ITEM 4 Instructions for Lodgement**

The Issuer (or the Issuer’s Representative) is to lodge this notification with Austraclear by way of emailing this notification form to [austraclear@asx.com.au](mailto:austraclear@asx.com.au).