



ASX

mFund

# Schedule of Fees



Effective Date: 01-Jul-2017

Version: v.24052017

# Contents

## mFund

1 Issuer Fees

2 Broker Participant Fees

3 Product Issuer Settlement Participant (PISP) Fees

This Schedule of Fees sets out fees applicable to mFund, which are supplied by ASX Limited ABN 98 008 624 691.

All fees are shown in Australian dollars. All fees are effective 01-Jul-2017 unless otherwise indicated and are subject to change from time to time.

If you require further clarification please contact your ASX Account Manager or ASX Customer Service (telephone: 131 279)

\*GST exclusive prices are quoted in the Schedule of Fees applicable to mFund. Usually mFund services will be subject to GST and the GST inclusive prices will be charged. In certain situations, an otherwise taxable supply may be exempt from GST (for example, exports). However, ASX anticipates that mFund services will not be 'exports' for the purposes of GST and accordingly, GST inclusive prices will be charged for these services.



# mFund

Product	Service	Charge Basis	Charge Frequency	Effective Date	Charge (GST Exclusive*)
<b>1</b>	<b>Issuer Fees</b>				
04900415	New Issuers	Per AQUA Product Issuer	On Application	1-Jul-16	\$14,500.00
04900426	New Issuer Refund	Refund to apply when application under 04900415 does not proceed	On Application	1-Jul-16	\$9,500.00
06250020	Issuer Establishment Fee	Per New Issuer Code created in CHESS		1-Jul-16	\$500.00
04900416	New Funds	Per New Fund			\$5,000.00
04900417	Annual FUM Fees	Value of all mFund products held on CHESS per AQUA Product Issuer	Quarterly	1-Jul-16	1.36 bps of FUM under \$1,000m \$136,000 + 0.91 bps of FUM>\$1,000m \$227,000 + 0.45 bps of FUM>\$2,000m \$362,000 + 0.23 bps of FUM>\$5,000m \$477,000 + 0.09 bps of FUM>\$10,000m
04900265	Equity and Fund Issuer Annual Operating Charge	Value of all mFund products held on CHESS per AQUA Product Issuer		1-Jul-16	10% of the current Annual ASX FUM Fee with \$1,500 Minimum
04900065	Re-issue of Current Holding Statement - Message Request	Per Message Request	message: 503	1-Jul-17	\$1.25
04900195	Top-up to \$2,000 Minimum Annual Fee				Variable
04900200	CHESS Holding Statements	Per Statement		1-Jul-17	\$1.25
04900205	CHESS Holding Statement - Special Message	Per Message Per Month			\$100.00
04900215	Notification of Holding Details Update	Per Advice	message: 759	1-Jul-17	\$0.35
04900220	Notification of Tax File Number	Per TFN Notification	message: 534	1-Jul-17	\$0.35
04900225	Holding Adjustments & Transformations	Per Message Request	message: 512	1-Jul-17	\$0.35
<b>2</b>	<b>Broker Participant Fees</b>				
02300016	Bulk Holder Cancellation - Sponsored Holders	Per HIN Request			\$1.25
02300017	Bulk Holder Cancellation - Sponsored Holders Notification Suppressed or Direct Holders	Per HIN Request			\$0.25
04900070	Re-issue Archived/Manually Requested Holding Statement	Per Statement Requested			\$15.00
04900075	Holder Maintenance - Sponsored Holding	Per Request to Create or Update a Sponsored Holder	message: 201, 203	1-Jul-17	\$1.25
04900080	Holder Maintenance - Direct Holding	Per Request to Create or Update a Direct or Entrepot Holder	message: 201, 203	1-Jul-17	\$0.35



Product	Service	Charge Basis	Charge Frequency	Effective Date	Charge (GST Exclusive*)
04900085	Change of Controlling Participant - Message Request	Per Message Request	message: 245	1-Jul-17	\$1.25
04900090	Change of Controlling Participant - Manual Request	Per HIN Request			\$5.00
04900095	Change Controlling Participant - Partial/Bulk Base Fee	Base Charge per Processed Request			\$2,000.00
04900423	Income Statement Advice	Per Message Received	message: 816	1-Aug-16	\$0.25
04900425	Annual Income Statement Advice	Per Message Received	message: 976	1-Aug-16	\$1.00
04900100	Holder Notification Partial/Bulk Change of Participant	Per Sponsored Holder Notification			\$1.00
04900105	Holder/Holding Details Part/Bulk Change of Participant	Per Holder/Holding Report Message	message: 222, 502, 520, 522	1-Jul-17	\$0.35
04900110	Report Requests & Enquiry Output	Per Message Request	message: 503, 505, 514, 538		\$0.20
04900115	Report Request from Archived Storage Holding Balance Reports	Per Report Request			\$50.00 minimum + 0.03 per holding
04900130	PID Enquiry	Per Enquiry	message: 691		\$0.20
04900135	Application of Holding Lock	Per Manual Application			\$5.00
04900140	New/Changed Payment Facility	Per Request to Create or Modify a Payment Facility	message: 240, 242		\$5.00
04900145	Payment Provider Application Fee	Per Application			\$500.00
04900402	Fund Application Request	Per Request	message: 726		\$3.00
04900403	Fund Distribution Advice	Per Advice	message: 816		\$1.00
04900404	Fund Effected Unit Adjustment	Per Adjustment	message: 733		\$0.50
04900406	Fund Redemption Request	Per Request	message: 800		\$3.00
04900408	Settlement Fee	Per Settlement	message: 156		\$1.50
04900410	Fund Switch Request	Per Request	message: 806		\$3.00
04900418	mFund Sub Register Conversion	Per Request to Transfer a Holding	message: 715, 718		\$0.90
04900420	Issuer Sponsored Fund Holding Balance Enquiry	Per Enquiry	message: 720		\$0.70
<b>3</b>	<b>Product Issuer Settlement Participant (PISP) Fees</b>				
04900080	Holder Maintenance - Direct Holding	Per Request to Create or Update a Direct or Entrepot Holder	message: 201, 203	1-Jul-17	\$0.35



Product	Service	Charge Basis	Charge Frequency	Effective Date	Charge (GST Exclusive*)
04900110	Report Requests & Enquiry Output	Per Message Request	message: 503, 505, 514, 538		\$0.20
04900115	Report Request from Archived Storage Holding Balance Reports	Per Report Request			\$50.00 minimum + 0.03 per holding
04900140	New/Changed Payment Facility	Per Request to Create or Modify a Payment Facility	message: 240, 242		\$5.00
04900145	Payment Provider Application Fee	Per Application			\$500.00
04900401	Fund Application Response	Per initial acceptance Response	message: 729		\$3.00
04900405	Fund Redemption Response	Per initial acceptance or 'on-hold' Response	message: 802		\$3.00
04900407	Fund Unit Allocation Reminder1	Per Reminder	message: 812		\$5.00
04900408	Settlement Fee	Per Settlement	message: 156		\$1.50
04900409	Fund Switch Response	Per initial acceptance or 'on-hold' Response	message: 808		\$3.00
04900411	Fund Unit Adjustment	Per Adjustment	message: 732		\$0.50
04900412	Fund Update Order	Per Order	message: 810		\$0.20
04900413	Fund Unit Allocation Reminder2	Per Reminder	message: 812		\$20.00
04900414	Fund Allocation Reminder3	Per Reminder	message: 812		\$50.00
04900419	mFund Sub Register Conversion	Per Message Received	message: 716, 719		\$0.90
04900424	Distribution Election Request	Per Message Received	message: 866	1-Aug-16	\$0.50



This page is left intentionally blank

< end >